

INVITATION FOR BIDS



FOR CONSTRUCTION OF

Delta Pond Standby Generator

**CITY CONTRACT NUMBER
C02329**

ISSUED BY

**CAPITAL PROJECTS ENGINEERING DIVISION
CITY OF SANTA ROSA, CALIFORNIA**

2026



LOCATION MAP

City of Santa Rosa

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Notice Inviting Bids

1. **Bid Submission.** City of Santa Rosa (“City”) will accept sealed bids for its Delta Pond Standby Generator Project, Contract No. C02329 (“Project”), before April 29, 2026, at 2:00 p.m., at its Transportation and Public Works office, located at 69 Stony Circle, Santa Rosa, California, at which time the bids will be publicly opened and read aloud. Bidders may attend the public opening in person.
2. **Project Information.**
 - 2.1 **Location and Description.** The project is located at 727 Willowside Rd, Santa Rosa, California and is described as follows: The Delta Pump Station delivers pressurized reclaimed water from Delta Pond to the West College mainline. Delta Pump Station is located on the North side of the City of Santa Rosa Delta Pond. It is accessed through the SCWA flood control road on the SW side of Santa Rosa Creek. The project will provide standby emergency power capabilities for the pump station.
 - 2.2 **Time for Final Completion.** The Project must be fully completed within 80 Working Days from the start date set forth in the Notice to Proceed. City anticipates that the Work will begin on or about August 2026, but the anticipated start date is provided solely for convenience and is neither certain nor binding.
3. **License and Registration Requirements.**
 - 3.1 **License.** This Project requires a valid California contractor’s license for the following classification(s): A
 - 3.2 **DIR Registration.** City may not accept a Bid Proposal from, or enter into the Contract with, a bidder without proof that the bidder is registered with the California Department of Industrial Relations (“DIR”) to perform public work pursuant to Labor Code § 1725.5, subject to limited legal exceptions.
4. **Contract Documents.** The plans, specifications, bid forms and contract documents for the Project, and any addenda thereto (“Contract Documents”) may be downloaded from the City’s PlanetBids portal, which may be accessed by selecting the “Bid/Proposal Opportunities” link on the City’s website at <https://www.srcity.org/165/Bids-Proposals>. A printed copy of the Contract Documents is not available.
5. **Bid Security.** The Bid Proposal must be accompanied by bid security of ten percent of the maximum bid amount, in the form of a cashier’s or certified check made payable to City, or a bid bond executed by a surety licensed to do business in the State of California on the Bid Bond form included with the Contract Documents. The bid security must guarantee that within ten days after City issues the Notice of Award, the successful bidder will execute the Contract and submit the payment and performance bonds, insurance certificates and endorsements, valid Certificates of Reported Compliance as required under the California Air Resources Board’s In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) (“Off-Road Regulation”), if applicable, and any other submittals required by the Contract Documents and as specified in the Notice of Award.
6. **Prevailing Wage Requirements.**
 - 6.1 **General.** Pursuant to California Labor Code § 1720 et seq., this Project is subject to the prevailing wage requirements applicable to the locality in which the Work is to be performed for each craft, classification or type of worker needed to perform

the Work, including employer payments for health and welfare, pension, vacation, apprenticeship and similar purposes.

- 6.2 Rates.** The prevailing rates are on file with the City and are available online at <http://www.dir.ca.gov/DLSR>. Each Contractor and Subcontractor must pay no less than the specified rates to all workers employed to work on the Project. The schedule of per diem wages is based upon a working day of eight hours. The rate for holiday and overtime work must be at least time and one-half.
- 6.3 Compliance.** The Contract will be subject to compliance monitoring and enforcement by the DIR, under Labor Code § 1771.4.
- 7. Performance and Payment Bonds.** The successful bidder will be required to provide performance and payment bonds, each for 100% of the Contract Price, as further specified in the Contract Documents.
- 8. Substitution of Securities.** Substitution of appropriate securities in lieu of retention amounts from progress payments is permitted under Public Contract Code § 22300.
- 9. Subcontractor List.** Each Subcontractor must be registered with the DIR to perform work on public projects. Each bidder must submit a completed Subcontractor List form with its Bid Proposal, including the name, location of the place of business, California contractor license number, DIR registration number, and portion of the Work to be performed for each Subcontractor that will perform Work or service, or fabricate and install Work, for the prime contractor in excess of one-half of 1% of the total bid price, using the Subcontractor List form included with the Contract Documents.
- 10. Bidders' Conference.** A bidders' conference will be held on April 21 & 22, 2026 at 2:00 p.m., at the following location: The Delta Pond – 727 Willowside Rd, Santa Rosa, CA, 95401 to acquaint all prospective bidders with the Contract Documents and the Project site. The bidders' conference is optional. Site access is not regularly available; additional site visits can be coordinated on a case-by-case basis. Contact project staff with requests.
- 11. Instructions to Bidders.** All bidders should carefully review the Instructions to Bidders for more detailed information before submitting a Bid Proposal. The definitions provided in Article 1 of the General Conditions apply to all of the Contract Documents, as defined therein, including this Notice Inviting Bids.

By:  Tracy Duenas (Apr 7, 2026 08:54:02 PDT) Date: 04/07/2026

Tracy Duenas, Supervising Engineer

Publication Date: April 9, 2026

END OF NOTICE INVITING BIDS

Instructions to Bidders

Each Bid Proposal submitted to the City of Santa Rosa ("City") for its Delta Pond Standby Generator Project, Contract No. C02329 ("Project") must be submitted in accordance with the following instructions and requirements:

1. Bid Submission.

- 1.1 General.** Each Bid Proposal must be completed, using the form provided in the Contract Documents, signed, and submitted to City in a sealed envelope, with all required forms and attachments, before the deadline set forth in Section 1 of the Notice Inviting Bids, or as amended by subsequent addendum. Faxed or emailed Bid Proposals will not be accepted, unless otherwise specified. Late submissions (i.e., submissions at or after the exact hour of bid opening) will be returned unopened. The official time clock for accepting bids will be an electric date and time stamping clock located, and available for inspection during normal business hours upon request, at the front public counter in the Transportation and Public Work Department office, 69 Stony Circle, Santa Rosa, CA 95401. City reserves the right to postpone the date or time for receiving or opening bids. Each bidder is solely responsible for all of its costs to prepare and submit its bid and by submitting a bid waives any right to recover those costs from City. The bid price(s) must include all costs to perform the Work as specified, including all labor, materials, supplies, and equipment and all other direct or indirect costs such as applicable taxes, insurance and overhead.
- 1.2 Vendor Registration.** To participate in the bidding process, each bidder must register as a vendor on PlanetBids, download the Contract Documents, and add itself to the "Prospective Bidders" list for the Project. If City issues an addendum, each bidder must log in to PlanetBids and acknowledge the addendum prior to the bid deadline. (See Section 8, below.)
- 1.3 Bid Envelope.** The sealed envelope containing the Bid Proposal and all required forms and attachments must be clearly labeled and addressed as follows:

BID PROPOSAL:

Delta Pond Standby Generator Project
Contract No. C02329

Transportation and Public Works Department
69 Stony Circle
Santa Rosa, California 95401
Attn: Chris Balanesi

The envelope must also be clearly labeled, as follows, with the bidder's name, address, and its registration number with the California Department of Industrial Relations ("DIR") for bidding on public works contracts (Labor Code §§ 1725.5 and 1771.1):

[Contractor company name]
[street address]
[city, state, zip code]
DIR Registration No: _____

- 1.4 DIR Registration.** Subject to limited legal exceptions for joint venture bids and federally-funded projects, City may not accept a Bid Proposal from a bidder without

proof that the bidder is registered with the DIR to perform public work under Labor Code § 1725.5. If City is unable to confirm that the bidder is currently registered with the DIR, City may disqualify the bidder and return its bid unopened. (Labor Code §§ 1725.5 and 1771.1(a).)

- 1.5 Bid Tabulation.** To access the bid tabulation when available, visit the PlanetBids portal or the Project-specific website, available at cippublic.srcity.org/CIPList.html.
- 2. Bid Proposal Form and Enclosures.** Each Bid Proposal must be completed legibly using the Bid Proposal form included with the Contract Documents. The Bid Proposal form must be fully completed without interlineations, alterations, or erasures. Any necessary corrections must be clear and legible, and must be initialed by the bidder's authorized representative. A Bid Proposal submitted with exceptions or terms such as "negotiable," "will negotiate," or similar, will be considered nonresponsive. Each Bid Proposal must be accompanied by bid security, as set forth in Section 4 below, and by a completed Bid Schedule, Subcontractor List, and Noncollusion Declaration using the forms included with the Contract Documents, and any additional forms required by the Notice Inviting Bids or Instructions to Bidders.
- 3. Authorization and Execution.** Each Bid Proposal must be signed by the bidder's authorized representative. A Bid Proposal submitted by a partnership must be signed in the partnership name by a general partner with authority to bind the partnership. A Bid Proposal submitted by a corporation must be signed with the legal name of the corporation, followed by the signature and title of two officers of the corporation with full authority to bind the corporation to the terms of the Bid Proposal under California Corporations Code § 313 or as otherwise authorized by law.
- 4. Bid Security.** Each Bid Proposal must be accompanied by bid security of ten percent of the maximum bid amount, in the form of a cashier's check or certified check, made payable to the City, or bid bond using the form included in the Contract Documents and executed by a surety licensed to do business in the State of California. The bid security must guarantee that, within ten days after issuance of the Notice of Award, the bidder will: execute and submit the enclosed Contract for the bid price; submit payment and performance bonds for 100% of the maximum Contract Price; submit the insurance certificates and endorsements; and submit valid Certificates of Reported Compliance as required by the Off-Road Regulation, if applicable, and any other submittals, if any, required by the Contract Documents or the Notice of Award.
- 5. Requests for Information.** Questions or requests for clarifications regarding the Project, the bid procedures, or any of the Contract Documents must be submitted in writing to City via the PlanetBids portal. Oral responses are not authorized and are not binding on the City. Bidders should submit any such written inquiries at least five Working Days before the scheduled bid opening. Questions received any later might not be addressed before the bid deadline. An interpretation or clarification by City in response to a written inquiry will be issued in an addendum.
- 6. Pre-Bid Investigation.**

 - 6.1 General.** Each bidder is solely responsible at its sole expense for diligent and thorough review of the Contract Documents, examination of the Project site, and reasonable and prudent inquiry concerning known and potential site and area conditions prior to submitting a Bid Proposal. Each bidder is responsible for knowledge of conditions and requirements which reasonable review and investigation would have disclosed. However, except for any areas that are open to the public at large, bidders may not enter property owned or leased by the City or the Project site without prior written authorization from City.

- 6.2 Document Review.** Each bidder is responsible for review of the Contract Documents and any informational documents provided “For Reference Only,” e.g., as-builts, technical reports, test data, and the like. A bidder is responsible for notifying City of any errors, omissions, inconsistencies, or conflicts it discovers in the Contract Documents, acting solely in its capacity as a contractor and subject to the limitations of Public Contract Code § 1104. Notification of any such errors, omissions, inconsistencies, or conflicts must be submitted in writing to the City no later than five Working Days before the scheduled bid opening. (See Section 5, above.) City expressly disclaims responsibility for assumptions a bidder might draw from the presence or absence of information provided by City.
- 6.3 Project Site.** Questions regarding the availability of soil test data, water table elevations, and the like should be submitted to the City in writing, as specified in Section 5, above. Any subsurface exploration at the Project site must be done at the bidder’s expense, but only with prior written authorization from City. All soil data and analyses available for inspection or provided in the Contract Documents apply only to the test hole locations. Any water table elevation indicated by a soil test report existed on the date the test hole was drilled. The bidder is responsible for determining and allowing for any differing soil or water table conditions during construction. Because groundwater levels may fluctuate, difference(s) in elevation between ground water shown in soil boring logs and ground water actually encountered during construction will not be considered changed Project site conditions. Actual locations and depths must be determined by bidder’s field investigation. The bidder may request access to underlying or background information on the Project site in City’s possession that is necessary for the bidder to form its own conclusions, including, if available, record drawings or other documents indicating the location of subsurface lines, utilities, or other structures.
- 6.4 Utility Company Standards.** The Project must be completed in a manner that satisfies the standards and requirements of any affected utility companies or agencies (collectively, “utility owners”). The successful bidder may be required by the third party utility owners to provide detailed plans prepared by a California registered civil engineer showing the necessary temporary support of the utilities during coordinated construction work. Bidders are directed to contact the affected third party utility owners about their requirements before submitting a Bid Proposal.
- 7. Bidders Interested in More Than One Bid.** No person, firm, or corporation may submit or be a party to more than one Bid Proposal unless alternate bids are specifically called for. However, a person, firm, or corporation that has submitted a subcontract proposal or quote to a bidder may submit subcontract proposals or quotes to other bidders.
- 8. Addenda.** Subject to the limitations of Public Contract Code § 4104.5, City reserves the right to issue addenda prior to bid time. Any addenda issued prior to the bid opening are part of the Contract Documents. Bidders should check City’s PlanetBids portal periodically for any addenda or updates on the Project, which may be accessed via City’s website at: <https://www.srcity.org/165/Bids-Proposals>. Each bidder is solely responsible for ensuring it has received and reviewed all addenda prior to submitting its bid and must acknowledge each addendum in the PlanetBids portal.
- 9. Brand Designations and “Or Equal” Substitutions.** Any specification designating a material, product, thing, or service by specific brand or trade name, followed by the words “or equal,” is intended only to indicate quality and type of item desired, and bidders may request use of any equal material, product, thing, or service. All data substantiating the proposed substitute as an equal item must be submitted with the written request for substitution. A request for substitution must be submitted within 35 days after Notice of Award unless otherwise provided in the Contract Documents. This provision does not apply

to materials, products, things, or services that may lawfully be designated by a specific brand or trade name under Public Contract Code § 3400(c).

10. **Bid Protest.** Any bid protest against another bidder must be submitted in writing and received by City at 69 Stony Circle, Santa Rosa, CA 95401 or sent via email at cbalanesi@srcity.org before 5:00 p.m. no later than two Working Days following bid opening (“Bid Protest Deadline”) and must comply with the following requirements:
 - 10.1 **General.** Only a bidder who has actually submitted a Bid Proposal is eligible to submit a bid protest against another bidder. Subcontractors are not eligible to submit bid protests. A bidder may not rely on the bid protest submitted by another bidder, but must timely pursue its own protest. For purposes of this Section 10, a “Working Day” means a day that City is open for normal business, and excludes weekends and holidays observed by City. Pursuant to Public Contract Code § 4104, inadvertent omission of a Subcontractor’s DIR registration number on the Subcontractor List form is not grounds for a bid protest, provided it is corrected within 24 hours of the bid opening or as otherwise provided under Labor Code § 1771.1(b).
 - 10.2 **Protest Contents.** The bid protest must contain a complete statement of the basis for the protest and must include all supporting documentation. Material submitted after the Bid Protest Deadline will not be considered. The protest must refer to the *specific* portion or portions of the Contract Documents upon which the protest is based. The protest must include the name, address, email address, and telephone number of the protesting bidder and any person submitting the protest on behalf of or as an authorized representative of the protesting bidder.
 - 10.3 **Copy to Protested Bidder.** Upon submission of its bid protest to City, the protesting bidder must also concurrently transmit the protest and all supporting documents to the protested bidder, and to any other bidder who has a reasonable prospect of receiving an award depending upon the outcome of the protest, by email or hand delivery to ensure delivery before the Bid Protest Deadline.
 - 10.4 **Response to Protest.** The protested bidder may submit a written response to the protest, provided the response is received by City before 5:00 p.m., within two Working Days after the Bid Protest Deadline or after actual receipt of the bid protest, whichever is sooner (the “Response Deadline”). The response must attach all supporting documentation. Material submitted after the Response Deadline will not be considered. The response must include the name, address, email address, and telephone number of the person responding on behalf of or representing the protested bidder if different from the protested bidder.
 - 10.5 **Copy to Protesting Bidder.** Upon submission of its response to the bid protest to the City, the protested bidder must also concurrently transmit by email or hand delivery, by or before the Response Deadline, a copy of its response and all supporting documents to the protesting bidder and to any other bidder who has a reasonable prospect of receiving an award depending upon the outcome of the protest.
 - 10.6 **Exclusive Remedy.** The procedure and time limits set forth in this Section are mandatory and are the bidder’s sole and exclusive remedy in the event of a bid protest. A bidder’s failure to comply with these procedures will constitute a waiver of any right to further pursue a bid protest, including filing a Government Code Claim or initiation of legal proceedings.

- 10.7 Right to Award.** City reserves the right, acting in its sole discretion, to reject any bid protest that it determines lacks merit, to award the Contract to the bidder it has determined to be the responsible bidder submitting the lowest responsive bid, and to issue a Notice to Proceed with the Work notwithstanding any pending or continuing challenge to its determination.
- 11. Reservation of Rights.** Subject to the provisions of its charter and the Santa Rosa City Code ("City Code"), City reserves the unfettered right, acting in its sole discretion, to waive or to decline to waive any immaterial bid irregularities; to accept or reject any or all bids; to cancel or reschedule the bid; to postpone or abandon the Project entirely; or to perform all or part of the Work with its own forces. The Contract will be awarded, if at all, within 90 days after opening of bids or as otherwise specified in the Special Conditions, to the responsible bidder that submitted the lowest responsive bid. Any planned start date for the Project represents the City's expectations at the time the Notice Inviting Bids was first issued. City is not bound to issue a Notice to Proceed by or before such planned start date, and it reserves the right to issue the Notice to Proceed when the City determines, in its sole discretion, the appropriate time for commencing the Work. The City expressly disclaims responsibility for any assumptions a bidder might draw from the presence or absence of information provided by the City in any form. Each bidder is solely responsible for its costs to prepare and submit a bid, including site investigation costs.
- 12. Bonds.** Within ten calendar days following issuance of the Notice of Award, the successful bidder must submit payment and performance bonds to City as specified in the Contract Documents using the bond forms included in the Contract Documents. All required bonds must be calculated on the maximum total Contract Price as awarded, including additive alternates, if applicable.
- 13. License(s) and Certificate(s).** The successful bidder and its Subcontractor(s) must possess the California contractor's license(s) in the classification(s) required by law to perform the Work. The successful bidder must also obtain a City business tax certificate, issued pursuant to Chapter 6.04 of the City Code, within ten days following issuance of the Notice of Award and before performing any Work on the Project. Subcontractors must also obtain a City business tax certificate before performing any Work.
- 14. Ineligible Subcontractor.** Any Subcontractor who is ineligible to perform work on a public works project under Labor Code §§ 1777.1 or 1777.7 is prohibited from performing work on the Project.
- 15. Safety Orders.** If the Project includes construction of a pipeline, sewer, sewage disposal system, boring and jacking pits, or similar trenches or open excavations, which are five feet or deeper, each bid must include a bid item for adequate sheeting, shoring, and bracing, or equivalent method, for the protection of life or limb, which comply with safety orders as required by Labor Code § 6707.
- 16. In-Use Off-Road Diesel-Fueled Fleets.** If the Project involves the use of vehicles subject to the California Air Resources Board's In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) ("Off-Road Regulation"), then within ten calendar days following City's issuance of the Notice of Award, the successful bidder must submit to City valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, in accordance with the Off-Road Regulation, unless exempt under the Off-Road Regulation.
- 17. Community Workforce Agreement.** If checked below, the Project is subject to the City's Community Workforce Agreement ("CWA") and the successful bidder must comply with the requirements therein.

Select One:

- This Project is subject to the City's CWA, which is available on the City's website at <https://www.srcity.org/165/Bids-Proposals> and incorporated herein by reference. Within three Working Days following a request from City, the apparent low bidder must submit to City an executed Appendix A, Contractor Agreement to be Bound, using the form provided with the Contract Documents. Each bidder must provide a copy of the CWA to its Subcontractors, and the successful bidder and its Subcontractors must comply with the CWA. Entering into the CWA is a condition of award of the Contract for the Project.
- This Project is not subject to the City's CWA.

18. Bid Schedule. Each bidder must complete the Bid Schedule form with unit prices as indicated, and submit the completed Bid Schedule with its Bid Proposal.

18.1 Incorrect Totals. In the event a computational error for any bid item (base bid or alternate) results in an incorrect extended total for that item, the submitted base bid or bid alternate total will be adjusted to reflect the corrected amount as the product of the estimated quantity and the unit cost. In the event of a discrepancy between the actual total of the itemized or unit prices shown on the Bid Schedule for the base bid, and the amount entered as the base bid on the Bid Proposal form, the actual total of the itemized or unit prices shown on the Bid Schedule for the base bid will be deemed the base bid price. Likewise, in the event of a discrepancy between the actual total of the itemized or unit prices shown on the Bid Schedule for any bid alternate, and the amount entered for the alternate on the Bid Proposal form, the actual total of the itemized prices shown on the Bid Schedule for that alternate will be deemed the alternate price. Nothing in this provision is intended to prevent a bidder from requesting to withdraw its bid for material error under Public Contract Code § 5100 et seq.

18.2 Estimated Quantities. Unless identified as a "Final Pay Quantity," the quantities shown on the Bid Schedule are estimated and the actual quantities required to perform the Work may be greater or less than the estimated amount. The Contract Price will be adjusted to reflect the actual quantities required for the Work based on the itemized or unit prices provided in the Bid Schedule, with no allowance for anticipated profit for quantities that are deleted or decreased, and no increase in the unit price, and without regard to the percentage increase or decrease of the estimated quantity and the actual quantity. For any quantity that the Bid Schedule identifies a Final Pay Quantity, there will be no adjustment to the Contract Price if the actual quantity required to perform the Work is greater or less than the estimated amount.

18.3 Bid Item Description. The descriptions of bid items in the Specifications are not intended as exclusive descriptions of the Work. Each bidder must determine, and include in its unit pricing, all things necessary and incidental for the timely performance and completion of the Work as specified in the Contract Documents, including, but not limited to, all necessary labor, materials, supplies, tools, equipment, transportation, facilities, and utilities, unless otherwise specified.

19. Withdrawal. A Bid Proposal may not be withdrawn for a period of 90 days after the bid opening without forfeiture of the bid security, except as authorized for material error under Public Contract Code § 5100 et seq. In the event that a bid includes a material error, the bidder may request to withdraw its bid in accordance with Public Contract Code § 5100 et

seq. The written request must establish the elements set forth in Public Contract Code § 5103.

- 20. Subcontractor Work Limits.** The prime contractor must perform at least 30% of the Work on the Project, calculated as a percentage of the base bid price, with its own forces, except for any Work identified as "Specialty Work" in the Contract Documents. The total bid amount for any such Specialty Work, as shown on the Bid Schedule, may be deducted from the base bid price before computing the 30% self-performance requirement. The remaining Work may be performed by qualified Subcontractor(s).

END OF INSTRUCTIONS TO BIDDERS

Bid Proposal

Delta Pond Standby Generator Project

_____ (“Bidder”) hereby submits this Bid Proposal to the City of Santa Rosa (“City”) for the above-referenced project (“Project”) in response to the Notice Inviting Bids and in accordance with the Contract Documents referenced in the Notice.

1. **Base Bid.** Bidder proposes to perform and fully complete the Work for the Project as specified in the Contract Documents, within the time required for full completion of the Work, including all labor, materials, supplies, and equipment and all other direct or indirect costs including, but not limited to, taxes, insurance and all overhead, for the following price (“Base Bid”):
\$ _____.
2. **Addenda.** Bidder agrees that it has confirmed receipt of or access to, and reviewed, all addenda issued for this bid, as evidenced by its acknowledgement of each addendum on the City’s PlanetBids portal. Bidder waives any claims it might have against the City based on its failure to receive, access, or review any addenda for any reason.
3. **Bidder’s Certifications and Warranties.** By signing and submitting this Bid Proposal, Bidder certifies and warrants the following:
 - 3.1 **Examination of Contract Documents.** Bidder has thoroughly examined the Contract Documents and represents that, to the best of Bidder’s knowledge, there are no errors, omissions, or discrepancies in the Contract Documents, subject to the limitations of Public Contract Code § 1104.
 - 3.2 **Examination of Worksite.** Bidder has had the opportunity to examine the Worksite and local conditions at the Project location.
 - 3.3 **Bidder Responsibility.** Bidder is a responsible bidder, with the necessary ability, capacity, experience, skill, qualifications, workforce, equipment, and resources to perform or cause the Work to be performed in accordance with the Contract Documents and within the Contract Time.
 - 3.4 **Responsibility for Bid.** Bidder has carefully reviewed this Bid Proposal and is solely responsible for any errors or omissions contained in its completed bid. All statements and information provided in this Bid Proposal and enclosures are true and correct to the best of Bidder’s knowledge.
 - 3.5 **Nondiscrimination.** In preparing this bid, the Bidder has not engaged in discrimination against any prospective or present employee or Subcontractor on grounds of race, color, ancestry, national origin, ethnicity, religion, sex, sexual orientation, age, disability, or marital status.
 - 3.6 **Iran Contracting Act.** If the Contract Price exceeds \$1,000,000, Bidder is not identified on a list created under the Iran Contracting Act, Public Contract Code § 2200 et seq. (the “Act”), as a person engaging in investment activities in Iran, as defined in the Act, or is otherwise expressly exempt under the Act.
 - 3.7 **Agreement to be Bound by CWA.** If the Project is subject to the City’s CWA, Bidder will submit an executed Appendix A, Contractor Agreement to be Bound, using the form provided with the Contract Documents, within three Working Days following a request from City. (See Section 17 of the Instructions to Bidders.)

- 4. Award of Contract.** By signing and submitting this Bid Proposal, Bidder agrees that, if City issues the Notice of Award to Bidder, then within ten days following issuance of the Notice of Award, Bidder will do all of the following:
- 4.1 Execute Contract.** Enter into the Contract with City in accordance with the terms of this Bid Proposal, by signing and submitting to City the Contract prepared by City using the form included with the Contract Documents;
 - 4.2 Submit Required Bonds.** Submit to City a payment bond and a performance bond, each for 100% of the Contract Price, using the bond forms provided and in accordance with the requirements of the Contract Documents;
 - 4.3 Insurance Requirements.** Submit to City the insurance certificate(s) and endorsement(s) as required by the Contract Documents; and
 - 4.4 Certificates of Reported Compliance.** Submit to City valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, if the Project involves the use of vehicles subject to the Off-Road Regulation.
- 5. Bid Security.** As a guarantee that, if awarded the Contract, Bidder will perform its obligations under Section 4 above, Bidder is enclosing bid security in the amount of ten percent of its maximum bid amount in one of the following forms (check one):

_____ A cashier's check or certified check payable to City and issued by _____ [Bank name] in the amount of \$_____.

_____ A bid bond, using the Bid Bond form included with the Contract Documents, payable to City and executed by a surety licensed to do business in the State of California.

This Bid Proposal is hereby submitted on _____, 20__.

s/ _____

Name and Title

s/ _____
[See Section 3 of Instructions to Bidders]

Name and Title

Company Name

License #, Expiration Date, and Classification

Address

DIR Registration #

City, State, Zip

Phone

Contact Name

Contact Email

END OF BID PROPOSAL

Bid Schedule

BIDDER NAME: _____

This Bid Schedule must be completed legibly and included with the sealed Bid Proposal. Pricing must be provided for each item as indicated. If this Bid Schedule requests pricing for Alternates, pricing must be provided for each Alternate Item as indicated. Items marked "(SW)" are Specialty Work that must be performed by a qualified Subcontractor. The lump sum or unit cost for each item must be inclusive of all costs, whether direct or indirect, including profit and overhead.

AL = Allowance CF = Cubic Feet CY = Cubic Yard EA = Each LB = Pounds
 LF = Linear Foot LS = Lump Sum SF = Square Feet TON = Ton (2000 lbs)

BASE BID

BID ITEM NO.	ITEM DESCRIPTION	EST. QTY.	UNIT	UNIT COST	EXTENDED TOTAL AMOUNT
1	General Conditions	1	LS	\$	\$
2	Concrete	1	LS	\$	\$
3	Electrical Installation	1	LS	\$	\$
4	Equipment	1	LS	\$	\$
5	Propane Piping Installation	1	LS	\$	\$
6	Controls Commissioning	1	LS	\$	\$
7	Rigging/Placement of Units	1	LS	\$	\$
8	Earthwork Trenching	1	LS	\$	\$
9				\$	\$
10				\$	\$
11				\$	\$
12				\$	\$
13				\$	\$
14				\$	\$
15				\$	\$
16				\$	\$
17				\$	\$

* Final Pay Quantity

TOTAL BASE BID: Items 1 through _____ inclusive: \$ _____

END OF BID SCHEDULE

Noncollusion Declaration

TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

The undersigned declares:

I am the _____ [title] of _____
[business name], the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

This declaration is intended to comply with California Public Contract Code § 7106 and Title 23 U.S.C § 112.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on _____ [date], at _____ [city], _____ [state].

s/ _____

Name [print]

END OF NONCOLLUSION DECLARATION

Bid Bond

_____ (“Bidder”) has submitted a bid, dated _____, 20____ (“Bid”), to the City of Santa Rosa (“City”) for work on the Delta Pond Standby Generator Project, Contract No. C02329 (“Project”). Under this duly executed bid bond (“Bid Bond”), Bidder as Principal and _____, its surety (“Surety”), are bound to City as obligee in the penal sum of ten percent of the maximum amount of the Bid (the “Bond Sum”). Bidder and Surety bind themselves and their respective heirs, executors, administrators, successors and assigns, jointly and severally, as follows:

1. **General.** If Bidder is awarded the Contract for the Project, Bidder will enter into the Contract with City in accordance with the terms of the Bid.
2. **Submittals.** Within ten days following issuance of the Notice of Award to Bidder, Bidder must submit to City the following:
 - 2.1 **Contract.** The executed Contract, using the form provided by City in the Project contract documents (“Contract Documents”);
 - 2.2 **Payment Bond.** A payment bond for 100% of the maximum Contract Price, executed by a surety licensed to do business in the State of California using the Payment Bond form included with the Contract Documents;
 - 2.3 **Performance Bond.** A performance bond for 100% of the maximum Contract Price, executed by a surety licensed to do business in the State of California using the Performance Bond form included with the Contract Documents;
 - 2.4 **Insurance.** The insurance certificate(s) and endorsement(s) required by the Contract Documents;
 - 2.5 **Certificates of Reported Compliance.** Valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, in accordance with the In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) (“Off-Road Regulation”), if the Project involves the use of vehicles subject to the Off-Road Regulation; and
 - 2.6 **Other Submittals.** Any other documents required by the Instructions to Bidders or Notice of Award.
3. **Enforcement.** If Bidder fails to execute the Contract or to submit the bonds, insurance certificates, and valid Certificates of Reported Compliance as required by the Contract Documents, Surety guarantees that Bidder forfeits the Bond Sum to City. Any notice to Surety may be given in the manner specified in the Contract and delivered or transmitted to Surety as follows:

Attn: _____
Address: _____
City/State/Zip: _____
Phone: _____
Fax: _____
Email: _____

4. **Duration and Waiver.** If Bidder fulfills its obligations under Section 2, above, then this obligation will be null and void; otherwise, it will remain in full force and effect for 90 days

following the bid opening or until this Bid Bond is returned to Bidder, whichever occurs first.
Surety waives the provisions of Civil Code §§ 2819 and 2845.

This Bid Bond is entered into and effective on _____, 20_____.

SURETY:

Business Name

s/ _____

Date

Name, Title

(Attach Acknowledgment with Notary Seal and Power of Attorney)

BIDDER:

Business Name

s/ _____

Date

Name, Title

END OF BID BOND

Contract

This public works contract ("Contract") is entered into by and between the City of Santa Rosa ("City") and _____ ("Contractor"), for work on the Delta Pond Standby Generator Project, Contract No. C02329 ("Project").

The parties agree as follows:

1. **Award of Contract.** In response to the Notice Inviting Bids, Contractor has submitted a Bid Proposal to perform the Work to construct the Project. On _____, 20____, City authorized award of this Contract to Contractor for the amount set forth in Section 4, below. City has elected to include the following bid alternate(s) in the Contract: No alternates.
2. **Contract Documents.** The Contract Documents incorporated into this Contract include and are comprised of the documents listed below. The definitions provided in Article 1 of the General Conditions apply to all Contract Documents, including this Contract.
 - 2.1 Notice Inviting Bids;
 - 2.2 Instructions to Bidders;
 - 2.3 Addenda, if any;
 - 2.4 Bid Proposal and attachments thereto;
 - 2.5 Contract;
 - 2.6 Payment and Performance Bonds;
 - 2.7 General Conditions;
 - 2.8 Special Conditions;
 - 2.9 Project Plans and Specifications;
 - 2.10 Change Orders, if any;
 - 2.11 Notice of Award;
 - 2.12 Notice to Proceed;
 - 2.13 City Standards and City Specifications, as applicable;
 - 2.14 City's CWA, if applicable;
 - 2.15 Caltrans Standard Specifications (excluding Division I) and Caltrans Standard Plans, as applicable; and
 - 2.16 The following: No other documents
3. **Contractor's Obligations.** Contractor will perform all of the Work required for the Project, as specified in the Contract Documents. Contractor must provide, furnish, and supply all things necessary and incidental for the timely performance and completion of the Work, including all necessary labor, materials, supplies, tools, equipment, transportation, onsite facilities, and utilities, unless otherwise specified in the Contract Documents. Contractor must use its best efforts to diligently prosecute and complete the Work in a professional and expeditious manner and to meet or exceed the performance standards required by the Contract Documents.
4. **Payment.** As full and complete compensation for Contractor's timely performance and completion of the Work in strict accordance with the terms and conditions of the Contract Documents, City will pay Contractor \$ _____ ("Contract Price") for all of Contractor's direct and indirect costs to perform the Work, including all labor, materials, supplies, equipment, taxes, insurance, bonds and all overhead costs, in accordance with the payment provisions in the General Conditions.
5. **Time for Completion.** Contractor will fully complete the Work for the Project, meeting all requirements for Final Completion, within 80 Working Days from the start date set forth in the Notice to Proceed ("Contract Time"). By signing below, Contractor expressly waives any claim for delayed early completion.
6. **Liquidated Damages.** As further specified in Section 5.4 of the General Conditions, if Contractor fails to complete the Work within the Contract Time, City will assess liquidated

damages in the amount of \$1,500 per day for each day of unexcused delay in achieving Final Completion, and such liquidated damages may be deducted from City's payments due or to become due to Contractor under this Contract.

7. Labor Code Compliance.

7.1 General. This Contract is subject to all applicable requirements of Chapter 1 of Part 7 of Division 2 of the Labor Code, including requirements pertaining to wages, working hours and workers' compensation insurance, as further specified in Article 9 of the General Conditions.

7.2 Prevailing Wages. This Project is subject to the prevailing wage requirements applicable to the locality in which the Work is to be performed for each craft, classification or type of worker needed to perform the Work, including employer payments for health and welfare, pension, vacation, apprenticeship and similar purposes. Copies of these prevailing rates are available online at <http://www.dir.ca.gov/DLSR>.

7.3 DIR Registration. City may not enter into the Contract with a bidder without proof that the bidder and its Subcontractors are registered with the California Department of Industrial Relations to perform public work pursuant to Labor Code § 1725.5, subject to limited legal exceptions.

8. Workers' Compensation Certification. Pursuant to Labor Code § 1861, by signing this Contract, Contractor certifies as follows: "I am aware of the provisions of Labor Code § 3700 which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work on this Contract."

9. Conflicts of Interest. Contractor, its employees, Subcontractors, and agents may not have, maintain, or acquire a conflict of interest in relation to this Contract in violation of any City ordinance or requirement, or in violation of any California law, including Government Code § 1090 et seq., or the Political Reform Act, as set forth in Government Code § 81000 et seq. and its accompanying regulations. Any violation of this Section constitutes a material breach of the Contract.

10. Independent Contractor. Contractor is an independent contractor under this Contract and will have control of the Work and the means and methods by which it is performed. Contractor and its Subcontractors are not employees of City and are not entitled to participate in any health, retirement, or any other employee benefits from City.

11. Notice. Any notice, billing, or payment required by or pursuant to the Contract Documents must be made in writing, signed, dated, and sent to the other party by personal delivery, U.S. Mail, a reliable overnight delivery service, or by email as a PDF file. Notice is deemed effective upon delivery, except that service by U.S. Mail is deemed effective on the second working day after deposit for delivery. Notice for each party must be given as follows:

City:

Transportation and Public Works
69 Stony Circle
Santa Rosa, California 95401
Attn: Chris Balanesi
cbalanesi@srcity.org

Copy to: Allyson Gonyo
agonyo@srcity.org

Contractor:

Name: _____
Address: _____
City/State/Zip: _____
Phone: _____
Attn: _____
Email: _____
Copy to: _____

12. General Provisions.

- 12.1 Assignment and Successors.** Contractor may not assign its rights or obligations under this Contract, in part or in whole, without City’s written consent. This Contract is binding on Contractor’s and City’s lawful heirs, successors and permitted assigns.
- 12.2 Third Party Beneficiaries.** There are no intended third party beneficiaries to this Contract.
- 12.3 Governing Law and Venue.** This Contract will be governed by California law and venue will be in the Sonoma County Superior Court, and no other place. Contractor waives any right it may have pursuant to Code of Civil Procedure § 394, to file a motion to transfer any action arising from or relating to this Contract to a venue outside of Sonoma County, California.
- 12.4 Amendment.** No amendment or modification of this Contract will be binding unless it is in a writing duly authorized and signed by the parties to this Contract.
- 12.5 Integration.** This Contract and the Contract Documents incorporated herein, including authorized amendments or Change Orders thereto, constitute the final, complete, and exclusive terms of the agreement between City and Contractor.
- 12.6 Severability.** If any provision of the Contract Documents is determined to be illegal, invalid, or unenforceable, in whole or in part, the remaining provisions of the Contract Documents will remain in full force and effect.
- 12.7 Iran Contracting Act.** If the Contract Price exceeds \$1,000,000, Contractor certifies, by signing below, that it is not identified on a list created under the Iran Contracting Act, Public Contract Code § 2200 et seq. (the “Act”), as a person engaging in investment activities in Iran, as defined in the Act, or is otherwise expressly exempt under the Act.
- 12.8 Authorization.** Each individual signing below warrants that he or she is authorized to do so by the party that he or she represents, and that this Contract is legally binding on that party. If Contractor is a corporation, signatures from two officers of the corporation are required pursuant to California Corporations Code § 313 or as otherwise authorized by law.
- 12.9 Electronic Signatures.** In accordance with Government Code § 16.5 and Civil Code § 1633.1 et seq., the parties agree that this Contract may be transmitted and executed electronically and that electronic signatures will have the same force and effect as the use of manual signatures.

[Signatures are on the following page.]

The parties agree to this Contract as witnessed by the signatures below:

CITY:

Approved as to form:

s/ _____

s/ _____

Name, Title

Name, Title

Date: _____

Date: _____

Attest:

s/ _____

Name, Title

Date: _____

CONTRACTOR:

Business Name

s/ _____

Name, Title

Date: _____

Second Signature (See Section 12.8):

s/ _____

Name, Title

Date: _____

Contractor's California License Number(s) and Expiration Date(s)

END OF CONTRACT

Payment Bond

The City of Santa Rosa ("City") and _____ ("Contractor") have entered into a Contract for Work on the Delta Pond Standby Generator Project, Contract No. C02329 ("Project"). The Contract is incorporated by reference into this Payment Bond ("Bond").

- 1. General.** Under this Bond, Contractor as principal and _____, its surety ("Surety"), are bound to City as obligee in an amount not less than \$ _____, under California Civil Code § 9550 et seq., to ensure payment to authorized claimants. This Bond is binding on the respective successors, assigns, owners, heirs, or executors of Surety and Contractor.
- 2. Surety's Obligation.** If Contractor or any of its Subcontractors fails to pay a person authorized in California Civil Code § 9100 to assert a claim against a payment bond, any amounts due under the Unemployment Insurance Code with respect to work or labor performed under the Contract, or any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of Contractor and its Subcontractors under California Unemployment Insurance Code § 13020 with respect to the work and labor, then Surety will pay the obligation.
- 3. Beneficiaries.** This Bond inures to the benefit of any of the persons named in California Civil Code § 9100, so as to give a right of action to those persons or their assigns in any suit brought upon this Bond. Contractor must promptly provide a copy of this Bond upon request by any person with legal rights under this Bond.
- 4. Duration.** If Contractor promptly makes payment of all sums for all labor, materials, and equipment furnished for use in the performance of the Work required by the Contract, in conformance with the time requirements set forth in the Contract and as required by California law, Surety's obligations under this Bond will be null and void. Otherwise, Surety's obligations will remain in full force and effect.
- 5. Waivers.** Surety waives any requirement to be notified of alterations to the Contract or extensions of time for performance of the Work under the Contract. Surety waives the provisions of Civil Code §§ 2819 and 2845. City waives the requirement of a new bond for any supplemental contract under Civil Code § 9550. Any notice to Surety may be given in the manner specified in the Contract and sent to Surety as follows:

Attn: _____
Address: _____
City/State/Zip: _____
Phone: _____
Email: _____

- 6. Law and Venue.** This Bond will be governed by California law, and venue for any dispute pursuant to this Bond will be in the Sonoma County Superior Court, and no other place. Surety will be responsible for City's attorneys' fees and costs in any action to enforce the provisions of this Bond.

[Signatures are on the following page.]

7. **Effective Date; Execution.** This Bond is entered into and is effective on _____,
20__.

SURETY:

Business Name

s/ _____

Date

Name, Title

(Attach Acknowledgment with Notary Seal and Power of Attorney)

CONTRACTOR:

Business Name

s/ _____

Date

Name, Title

APPROVED BY CITY:

s/ _____

Date

Name, Title

END OF PAYMENT BOND

Performance Bond

The City of Santa Rosa ("City") and _____ ("Contractor") have entered into a Contract for Work on the Delta Pond Standby Generator Project, Contract No. C02329 ("Project"). The Contract is incorporated by reference into this Performance Bond ("Bond").

1. **General.** Under this Bond, Contractor as principal and _____, its surety ("Surety"), are bound to City as obligee for an amount not less than \$_____ to ensure Contractor's faithful performance of its obligations under the Contract. This Bond is binding on the respective successors, assigns, owners, heirs, or executors of Surety and Contractor.
2. **Surety's Obligations.** Surety's obligations are co-extensive with Contractor's obligations under the Contract. If Contractor fully performs its obligations under the Contract, including its warranty obligations under the Contract, Surety's obligations under this Bond will become null and void. Otherwise, Surety's obligations will remain in full force and effect.
3. **Waiver.** Surety waives any requirement to be notified of and further consents to any alterations to the Contract made under the applicable provisions of the Contract Documents, including changes to the scope of Work or extensions of time for performance of Work under the Contract. Surety waives the provisions of Civil Code §§ 2819 and 2845.
4. **Application of Contract Balance.** Upon making a demand on this Bond for completion of the Work prior to acceptance of the Project, City will make the Contract Balance available to Surety for completion of the Work under the Contract. For purposes of this provision, the Contract Balance is defined as the total amount payable by City to Contractor as the Contract Price minus amounts already paid to Contractor, and minus any liquidated damages, credits, or backcharges to which City is entitled under the terms of the Contract.
5. **Contractor Default.** Upon written notification from City of Contractor's termination for default under Article 13 of the Contract General Conditions, time being of the essence, Surety must act within the time specified in Article 13 to remedy the default through one of the following courses of action:
 - 5.1 Arrange for completion of the Work under the Contract by Contractor, with City's consent, but only if Contractor is in default solely due to its financial inability to complete the Work;
 - 5.2 Arrange for completion of the Work under the Contract by a qualified contractor acceptable to City, and secured by performance and payment bonds issued by an admitted surety as required by the Contract Documents, at Surety's expense; or
 - 5.3 Waive its right to complete the Work under the Contract and reimburse City the amount of City's costs to have the remaining Work completed.
6. **Surety Default.** If Surety defaults on its obligations under the Bond, City will be entitled to recover all costs it incurs due to Surety's default, including legal, design professional, or delay costs.
7. **Notice.** Any notice to Surety may be given in the manner specified in the Contract and sent to Surety as follows:

Attn: _____
Address: _____
City/State/Zip: _____
Phone: _____
Fax: _____
Email: _____

8. Law and Venue. This Bond will be governed by California law, and venue for any dispute pursuant to this Bond will be in the Sonoma County Superior Court, and no other place. Surety will be responsible for City's attorneys' fees and costs in any action to enforce the provisions of this Bond.

9. Effective Date; Execution. This Bond is entered into and effective on _____, 20____.

SURETY:

Business Name

s/ _____

Date

Name, Title

(Attach Acknowledgment with Notary Seal and Power of Attorney)

CONTRACTOR:

Business Name

s/ _____

Date

Name, Title

APPROVED BY CITY:

s/ _____

Date

Name, Title

END OF PERFORMANCE BOND

General Conditions

Article 1 - Definitions

Definitions. The following definitions apply to all of the Contract Documents unless otherwise indicated, e.g., additional definitions that apply solely to the Specifications or other technical documents. Defined terms and titles of documents are capitalized in the Contract Documents, with the exception of the following (in any tense or form): “day,” “furnish,” “including,” “install,” “work day,” or “working day.”

Allowance means a specific amount that must be included in the Bid Proposal for a specified purpose.

Article, as used in these General Conditions, means a numbered Article of the General Conditions, unless otherwise indicated by the context.

Awarding Authority means the City Council or its authorized delegee(s) unless the Contract is awarded by the Water Department, in which case it means the Board of Public Utilities.

Change Order means a written document duly approved and executed by City, which changes the scope of Work, the Contract Price, or the Contract Time.

City means the City of Santa Rosa, acting through its City Council, officers, employees, City Engineer, and any other authorized representatives.

City Engineer means the City Engineer for City and his or her authorized delegee(s).

City Specifications means the City’s Construction Specifications for Public Improvements, which may be accessed on the City’s website at <https://www.srcity.org/2321/Design-Construction-Standards>.

City Standards means the City’s Design and Construction Standards, which may be accessed on the City’s website at <https://www.srcity.org/2321/Design-Construction-Standards>.

Claim means a separate demand by Contractor for a change in the Contract Time or Contract Price, that has previously been submitted to City in accordance with the requirements of the Contract Documents, and which has been rejected by City, in whole or in part; a written demand by Contractor disputing a unilateral Change Order or a portion thereof; or a written demand by Contractor objecting to the amount of Final Payment.

Contract means the signed agreement between City and Contractor for performing the Work required for the Project, and all documents expressly incorporated therein.

Contract Documents means, collectively, all of the documents listed as such in Section 2 of the Contract, including the Notice Inviting Bids; the Instructions to Bidders; addenda, if any; the Bid Proposal and attachments thereto; the Contract; the Notice of Award and Notice to Proceed; the payment and performance bonds; the General Conditions; the Special Conditions; the Project Plans and Specifications; any Change Orders; and any other documents which are clearly and unambiguously made part of the Contract Documents. The Contract Documents do not include documents provided “For Reference Only,” or documents that are intended solely to provide information regarding existing conditions.

Contract Price means the total compensation to be paid to Contractor for performance of the Work, as set forth in the Contract and as may be amended by Change Order or adjusted for an Allowance. The Contract Price is not subject to adjustment due to inflation or due to the increased cost of labor, material, supplies, or equipment following submission of the Bid Proposal.

Contract Time means the time specified for complete performance of the Work, as set forth in the Contract and as may be amended by Change Order.

Contractor (or **You**) means the individual, partnership, corporation, or joint venture that has signed the Contract with City to perform the Work.

CWA means the Community Workforce Agreement for the City.

Day means a calendar day unless otherwise specified.

Design Professional means the licensed individual(s) or firm(s) retained by City to provide architectural, engineering, or other design professional services for the Project. If no Design Professional has been retained for this Project, any reference to Design Professional is deemed to refer to the Engineer.

DIR means the California Department of Industrial Relations.

Drawings has the same meaning as Plans.

Engineer means the City Engineer for the City of Santa Rosa and his or her authorized delegees.

Excusable Delay is defined in Section 5.3(B), Excusable Delay.

Extra Work means new or unforeseen work added to the Project, as determined by the Engineer in his or her sole discretion, including Work that was not part of or incidental to the scope of the Work when the Contractor's bid was submitted; Work that is substantially different from the Work as described in the Contract Documents at bid time; or Work that results from a substantially differing and unforeseeable condition.

Final Completion means Contractor has fully completed all of the Work required by the Contract Documents to the City's satisfaction, including all punch list items and any required commissioning or training, and has provided the City with all required submittals, including the instructions and manuals, product warranties, and as-built drawings.

Final Payment means payment to Contractor of the unpaid Contract Price, including release of undisputed retention, less amounts withheld or deducted pursuant to the Contract Documents.

Furnish means to purchase and deliver for the Project.

Government Code Claim means a claim submitted pursuant to California Government Code § 900 et seq.

Hazardous Materials means any substance or material identified now or in the future as hazardous under any Laws, or any other substance or material that may be considered hazardous or otherwise subject to Laws governing handling, disposal, or cleanup.

Including, whether or not capitalized, means "including, but not limited to," unless the context clearly requires otherwise.

Inspector means the individual(s) or firm(s) retained or employed by City to inspect the workmanship, materials, and manner of construction of the Project and its components to ensure compliance with the Contract Documents and all Laws.

Install means to fix in place for materials, and to fix in place and connect for equipment.

Laws means all applicable local, state, and federal laws, regulations, rules, codes, ordinances, permits, orders, and the like enacted or imposed by or under the auspices of any governmental entity with jurisdiction over any of the Work or any performance of the Work, including health and safety requirements.

Materials Lab means City's Materials Engineering Laboratory, which may perform quality assurance functions for a Project relating to asphalt and concrete, including inspection and/or testing of workmanship, materials, and the manner of construction.

Non-Excusable Delay is defined in Section 5.3(D), Non-Excusable Delay.

Plans means the City-provided plans, drawings, details, or graphical depictions of the Project requirements, but does not include Shop Drawings.

Project means the public works project referenced in the Contract, as modified by any Project alternates elected by City, if any.

Project Manager means the individual designated by City to oversee and manage the Project on City's behalf and may include his or her authorized delegee(s) when the Project Manager is unavailable. If no Project Manager has been designated for this Project, any reference to Project Manager is deemed to refer to the Engineer.

Recoverable Costs is defined in Section 5.3(F), Recoverable Costs.

Request for Information or **RFI** means Contractor's written request for information about the Contract Documents, the Work or the Project, submitted to City in the manner and format specified by City.

Section, when capitalized in these General Conditions, means a numbered section or subsection of the General Conditions, unless the context clearly indicates otherwise.

Shop Drawings means drawings, plan details or other graphical depictions prepared by or on behalf of Contractor, and subject to City acceptance, which are intended to provide details for fabrication, installation, and the like, of items required by or shown in the Plans or Specifications.

Specialty Work means Work that must be performed by a specialized Subcontractor with the specified license or other special certification, and that the Contractor is not qualified to self-perform.

Specifications means the technical, text specifications describing the Project requirements, which are prepared for and incorporated into the Contract by or on behalf of City, and does not include the Contract, General Conditions or Special Conditions.

Subcontractor means an individual, partnership, corporation, or joint venture retained by Contractor directly or indirectly through a subcontract to perform a specific portion of the Work. The term Subcontractor applies to subcontractors of all tiers, unless otherwise indicated by the context. A third party such as a utility performing related work on the Project is not a Subcontractor, even if Contractor must coordinate its Work with the third party.

Technical Specifications has the same meaning as Specifications.

Water Department means the City of Santa Rosa Water Department.

Work means all of the construction and services necessary for or incidental to completing the Project in conformance with the requirements of the Contract Documents.

Work Day or **Working Day**, whether or not capitalized, means a weekday when the City is open for business, and does not include the following holidays observed by the City:

- (A) New Year's Day, January 1;
- (B) Martin Luther King Jr. Birthday, the third Monday in January;
- (C) President's Day, the third Monday in February;
- (D) Cesar Chavez Day, March 31;
- (E) Memorial Day, the last Monday in May;
- (F) Juneteenth, June 19;
- (G) Independence Day, July 4;
- (H) Labor Day, the first Monday in September;
- (I) Veterans Day, November 11;
- (J) Thanksgiving Day, the fourth Thursday in November;
- (K) The day after Thanksgiving Day; and
- (L) Christmas Day, December 25.

Worksite means the place or places where the Work is performed, which includes, but may extend beyond the Project site, including separate locations for staging, storage, or fabrication.

Article 2 - Roles and Responsibilities

2.1 City.

(A) **City Council.** The City Council has final authority in all matters affecting the Project, except to the extent it has delegated authority to the Engineer.

(B) **Engineer.** The Engineer, acting within the authority conferred by the City Council, is responsible for administration of the Project on behalf of City, including authority to provide directions to the Design Professional and to Contractor to ensure proper and timely completion of the Project. The Engineer's decisions are final and conclusive within the scope of his or her authority, including interpretation of the Contract Documents.

(C) **Project Manager.** The Project Manager assigned to the Project will be the primary point of contact for the Contractor and will serve as City's representative for daily administration of the Project on behalf of City. Unless otherwise specified, all of Contractor's communications to City (in any form) will go to or through the Project Manager. City reserves the right to reassign the Project Manager role at any time or to delegate duties to additional City representatives, without prior notice to or consent of Contractor.

(D) **Design Professional.** The Design Professional is responsible for the overall design of the Project and, to the extent authorized by City, may act on City's behalf to ensure performance of the Work in compliance with the Plans and Specifications, including any design changes authorized by Change Order. The Design Professional's duties may include review of Contractor's submittals, visits to any Worksite, inspecting the Work, evaluating test and inspection results, and participation in Project-related meetings, including any pre-construction conference, weekly meetings, and coordination meetings. The Design Professional's interpretation of the Plans or Specifications is final and conclusive.

2.2 Contractor.

(A) **General.** Contractor must provide all labor, materials, supplies, equipment, services, and incidentals necessary to perform and timely complete the Work in strict accordance with the Contract Documents, and in an economical and efficient manner in the best interests of City, and with minimal inconvenience to the public.

(B) **Responsibility for the Work and Risk of Loss.** Contractor is responsible for supervising and directing all aspects of the Work to facilitate the efficient and timely completion of the Work. Contractor is solely responsible for and required to exercise full control over the Work, including the construction means, methods, techniques, sequences, procedures, safety precautions and programs, and coordination of all portions of the Work with that of all other contractors and Subcontractors, except to the extent that the Contract Documents provide other specific instructions. Contractor's responsibilities extend to any plan, method or sequence suggested but not required by City or specified in the Contract Documents. From the date of commencement of the Work until either the date on which City formally accepts the Project or the effective date of termination of the Contract, whichever is later, Contractor bears all risks of injury or damage to the Work and the materials and equipment delivered to any Worksite, by any cause including fire, earthquake, wind, weather, vandalism, or theft, subject to the limitations of Laws, including Public Contract Code § 7105.

(C) **Project Administration.** Contractor must provide sufficient and competent administration, staff, and skilled workforce necessary to perform and timely complete the

Work in accordance with the Contract Documents. Before starting the Work, Contractor must designate in writing and provide complete contact information, including telephone numbers and email address, for the officer or employee in Contractor's organization who is to serve as Contractor's primary representative for the Project, and who has authority to act on Contractor's behalf. A Subcontractor may not serve as Contractor's primary representative.

(D) **On-Site Superintendent.** Contractor must, at all times during performance of the Work, provide a qualified and competent full-time superintendent acceptable to City, and assistants as necessary, who must be physically present at the Project site while any aspect of the Work is being performed. The superintendent must have full authority to act and communicate on behalf of Contractor, and Contractor will be bound by the superintendent's communications to City. City's approval of the superintendent is required before the Work commences. If City is not satisfied with the superintendent's performance, City may request a qualified replacement of the superintendent. Failure to comply may result in temporary suspension of the Work, at Contractor's sole expense and with no extension of Contract Time, until an approved superintendent is physically present to supervise the Work. Contractor must provide written notice to City, as soon as practicable, before replacing the superintendent.

(E) **Standards.** Contractor must, at all times, ensure that the Work is performed in an efficient, skillful manner following best practices and in full compliance with the Contract Documents, Laws, and applicable manufacturer's recommendations. Contractor has a material and ongoing obligation to provide true and complete information, to the best of its knowledge, with respect to all records, documents, or communications pertaining to the Project, including oral or written reports, statements, certifications, Change Order requests, or Claims.

(F) **Meetings.** Contractor, its project manager, superintendent and any primary Subcontractors requested by City, must attend and participate in a pre-construction conference, weekly Project progress meetings, and coordination meetings, as set forth herein.

(1) **Pre-Construction Conference.** City will designate a date and time for a pre-construction conference with Contractor following Contract execution. Project administration procedures and coordination between City and Contractor will be discussed. Contractor must present City with the following information or documents at the conference, unless otherwise specified by City, for City's review and acceptance before the Work commences:

- a. Name, 24-hour contact information, and qualifications of the proposed on-site superintendent;
- b. List of all key Project personnel and their complete contact information, including email addresses and telephone numbers during regular hours and after hours;
- c. Staging plans that identify the sequence of the Work, including any phases and alternative sequences or phases, with the goal of minimizing the impacts on residents, businesses and other operations in the Project vicinity;
- d. If required, traffic control plans associated with the staging plans that are signed and stamped by a licensed traffic engineer;

- e. Draft baseline schedule for the Work as required under Section 5.2, to be finalized within ten days after City issues the Notice to Proceed or as otherwise specified by City;
- f. Breakdown of lump sum bid items, to be used for determining the value of Work completed for future progress payments to Contractor;
- g. Schedule with list of Project submittals that require City review, and list of the proposed material suppliers;
- h. Plan for coordination with affected utility owner(s) and compliance with any related permit requirements;
- i. Videotape and photographs recording the conditions throughout the pre-construction Project site, showing the existing improvements and current condition of the curbs, gutters, sidewalks, signs, landscaping, streetlights, structures near the Project such as building faces, canopies, shades and fences, and any other features within the Project area limits;
- j. If requested by City, Contractor's cash flow projections; and
- k. Any other documents specified by City.

(2) *Progress Meetings.* Contractor, its project manager, superintendent and any primary Subcontractors requested by City, must participate in weekly Project progress meetings scheduled with City.

(3) *Coordination Meetings.* If applicable, Contractor may also be required to participate in coordination meetings with other parties relating to other work being performed on or near the Project site or in relation to the Project, including work or activities performed by City, other contractors, or other utility owners.

(G) **Construction Records.** Contractor will maintain up-to-date, thorough, legible, and dated daily job reports, which document all significant activity on the Project for each day that Work is performed on the Project. The daily report for each day must include the number of workers at the Project site; primary Work activities; major deliveries; problems encountered, including injuries, if any; weather and site conditions; and delays, if any. Contractor will take date and time-stamped photographs to document general progress of the Project, including site conditions prior to construction activities, before and after photographs at offset trench laterals, existing improvements and utilities, damage and restoration. Contractor will maintain copies of all subcontracts, Project-related correspondence with Subcontractors, and records of meetings with Subcontractors. Upon request by the City, Contractor will permit review of and/or provide copies of any of these construction records.

(H) **Responsible Party.** Contractor is solely responsible to City for the acts or omissions of any Subcontractors, or any other party or parties performing portions of the Work or providing equipment, materials or services for or on behalf of Contractor or the Subcontractors. Upon City's written request, Contractor must promptly and permanently remove from the Project, at no cost to City, any employee or Subcontractor or employee of a Subcontractor who the Engineer has determined to be incompetent, intemperate or disorderly, or who has failed or refused to perform the Work as required under the Contract Documents.

(I) **Correction of Defects.** Contractor must promptly correct, at Contractor's sole expense, any Work that is determined by City to be deficient or defective in any way, including workmanship, materials, parts, or equipment. Workmanship, materials, parts, or equipment that do not conform to the requirements under the Contract Documents, as determined by City, will be considered defective and subject to rejection. Contractor must also promptly correct, at Contractor's sole expense, any Work performed beyond the lines and grades shown on the Plans or established by City, and any Extra Work performed without City's prior written approval. If requested by City in City's notice to correct, Contractor must submit a Work plan for correcting defective Work in advance of Contractor taking corrective action. If Contractor fails to correct or to take reasonable steps toward correcting defective Work within five days following notice from City, or within the time specified in City's notice to correct, City may elect to have the defective Work corrected by its own forces or by a third party, in which case the cost of correction will be deducted from the Contract Price. If City elects to correct defective Work due to Contractor's failure or refusal to do so, City or its agents will have the right to take possession of and use any equipment, supplies, or materials available at the Project site or any Worksite on City property, in order to effectuate the correction, at no extra cost to City. Contractor's warranty obligations under Section 11.2, Warranty, will not be waived nor limited by City's actions to correct defective Work under these circumstances. Alternatively, City may elect to retain defective Work, and deduct the difference in value, as determined by the Engineer, from payments otherwise due to Contractor. This paragraph also applies to any defective Work performed by Contractor during the one-year warranty period under Section 11.2.

(J) **Contractor's Records.** Contractor must maintain all of its records relating to the Project in any form, including paper documents, photos, videos, electronic records, approved samples, and the construction records required pursuant to paragraph (G), above. Project records subject to this provision include complete Project cost records, copies of the insurance policies and endorsements required by the Contract Documents, and records relating to preparation of Contractor's bid, including estimates, take-offs, and price quotes or bids.

(1) Contractor's cost records must include all supporting documentation, including original receipts, invoices, and payroll records, evidencing its direct costs to perform the Work, including, but not limited to, costs for labor, materials, and equipment. Each cost record should include, at a minimum, a description of the expenditure with references to the applicable requirements of the Contract Documents, the amount actually paid, the date of payment, and whether the expenditure is part of the original Contract Price, related to an executed Change Order, or otherwise categorized by Contractor as Extra Work. Contractor's failure to comply with this provision as to any claimed cost operates as a waiver of any rights to recover the claimed cost.

(2) Contractor must continue to maintain its Project-related records in an organized manner for a period of five years after City's acceptance of the Project or following Contract termination, whichever occurs first. Subject to prior notice to Contractor, City is entitled to inspect or audit any of Contractor's records relating to the Project during Contractor's normal business hours. Contractor's records may also be subject to examination and audit by the California State Auditor, pursuant to Government Code § 8546.7. The record-keeping requirements set forth in this subsection 2.2(J) will survive expiration or termination of the Contract.

(K) **Copies of Project Documents.** Contractor and its Subcontractors must keep copies, at the Project site, of all Work-related documents, including the Contract, permit(s), Plans, Specifications, addenda, Contract amendments, Change Orders, RFIs

and RFI responses, Shop Drawings, as-built drawings, schedules, daily records, testing and inspection reports or results, and any related written interpretations. These documents must be available to City for reference at all times during construction of the Project.

(L) **Quality Control.** Contractor is responsible for developing, implementing, and maintaining a quality control plan that includes sampling, testing, and inspecting the Work to control material quality and to ensure that the Work satisfies the quality characteristics in the Contract Documents. Contractor must submit copies of the quality control plan to City, within two Working Days after the pre-construction conference, and make one copy available at each Worksite.

(1) **Records.** Contractor must prepare and maintain quality control records, including the names and qualifications of samplers, testers, and inspectors; testing laboratories' identification and certifications; testing equipment calibrations and certifications; inspection reports; sampling and testing records organized by date and type of material; test results with comparison of quality characteristic requirements; test results in relation to action and any suspension limits; and records of corrective actions and suspensions. Contractor will submit any quality control test data and test results to the Engineer within two Working Days following test completion. Contractor must immediately notify the Engineer of any noncompliant Work.

(2) **Quality Control Manager.** Unless otherwise specified in the Special Conditions or Specifications, before starting Work, Contractor will designate in writing, and provide complete contact information for, the quality control manager for the Project who will be responsible for receiving, reviewing, and approving all correspondence and submittals prior to submission to the City; signing and implementing Contractor's quality control plan; and maintaining quality control records. The quality control manager must either be an employee of Contractor, or a Subcontractor retained solely to provide quality control services for the Project. The quality control manager must not be employed or compensated by a Subcontractor who will provide other Work for the Project.

(3) **Test Modifications.** The following specific tests are modified as follows: For California Test 216 (Relative Compaction), a mechanical compactor (Ploog Engineering Co. Model M 100 or equal) with a 10-pound hammer and split compaction molds must be used in lieu of the specified manual compaction equipment. For California Test 231 (Nuclear Gage Determination of In-Place Density), in-place density and relative compaction may be determined on the basis of individual test sites in lieu of the area concept at the discretion of the Engineer.

2.3 Subcontractors.

(A) **General.** All Work which is not performed by Contractor with its own forces must be performed by Subcontractors. City reserves the right to approve or reject any and all Subcontractors proposed to perform the Work, for reasons including the Subcontractor's poor reputation, lack of relevant experience, financial instability, and lack of technical ability or adequate trained workforce. Each Subcontractor must obtain a City business tax certificate before performing any Work.

(B) **Contractual Obligations.** Contractor must require each Subcontractor to comply with the provisions of the Contract Documents as they apply to the Subcontractor's portion(s) of the Work, including the generally applicable terms of the Contract Documents, and to likewise bind their subcontractors. Contractor will provide that the rights that each Subcontractor may have against any manufacturer or supplier for

breach of warranty or guarantee relating to items provided by the Subcontractor for the Project, will be assigned to City. Nothing in these Contract Documents creates a contractual relationship between a Subcontractor and City, but City is deemed to be a third-party beneficiary of the contract between Contractor and each Subcontractor.

(C) **Termination.** If the Contract is terminated, each Subcontractor's agreement must be assigned by Contractor to City, subject to the prior rights of any surety, but only if and to the extent that City accepts, in writing, the assignment by written notification, and assumes all rights and obligations of Contractor pursuant to each such subcontract agreement.

(D) **Substitution of Subcontractor.** If Contractor requests substitution of a listed Subcontractor under Public Contract Code § 4107, Contractor is solely responsible for all costs City incurs in responding to the request, including legal fees and costs to conduct a hearing, and any increased subcontract cost to perform the Work that was to be performed by the listed Subcontractor. If City determines that a Subcontractor is unacceptable to City based on the Subcontractor's failure to satisfactorily perform its Work, or for any of the grounds for substitution listed in Public Contract Code § 4107(a), City may request removal of the Subcontractor from the Project. Upon receipt of a written request from City to remove a Subcontractor pursuant to this paragraph, Contractor will immediately remove the Subcontractor from the Project and, at no further cost to City, will either (1) self-perform the remaining Work to the extent that Contractor is duly licensed and qualified to do so, or (2) substitute a Subcontractor that is acceptable to City, in compliance with Public Contract Code § 4107, as applicable.

2.4 Coordination of Work.

(A) **Concurrent Work.** City reserves the right to perform, have performed, or permit performance of other work on or adjacent to the Project site while the Work is being performed for the Project. Contractor is responsible for coordinating its Work with other work being performed on or adjacent to the Project site, including by any City work forces or utility companies or agencies, and must avoid hindering, delaying, or interfering with the work of other contractors, individuals, or entities, and must ensure safe and reasonable site access and use as required or authorized by City. To the full extent permitted by law, Contractor must hold harmless and indemnify City against any and all claims arising from or related to Contractor's avoidable, negligent, or willful hindrance of, delay to, or interference with the work of any City work forces, utility company or agency, or another contractor or subcontractor.

(B) **Coordination.** If Contractor's Work will connect or interface with work performed by others, Contractor is responsible for independently measuring and visually inspecting such work to ensure a correct connection and interface. Contractor is responsible for any failure by Contractor or its Subcontractors to confirm measurements before proceeding with connecting Work. Before proceeding with any portion of the Work affected by the construction or operations of others, Contractor must give the Project Manager prompt written notification of any defects Contractor discovers which will prevent the proper execution of the Work. Failure to give notice of any known or reasonably discoverable defects will be deemed acknowledgement by Contractor that the work of others is not defective and will not prevent the proper execution of the Work. Contractor must also promptly notify City if work performed by others, including work or activities performed by City's own forces, is operating to hinder, delay, or interfere with Contractor's timely performance of the Work. City reserves the right to backcharge Contractor for any additional costs incurred due to Contractor's failure to comply with the requirements in this Section 2.4.

2.5 Submittals. Unless otherwise specified, Contractor must submit to the Engineer for review and acceptance, all schedules, Shop Drawings, samples, product data, and similar submittals required by the Contract Documents, or upon request by the Engineer. Unless otherwise specified, all submittals, including Requests for Information, are subject to the general provisions of this Section, as well as specific submittal requirements that may be included elsewhere in the Contract Documents, including the Special Conditions or Specifications. The Engineer may require submission of a submittal schedule at or before a pre-construction conference, as may be specified in the Notice to Proceed.

(A) **General.** Contractor is responsible for ensuring that its submittals are accurate and conform to the Contract Documents.

(B) **Time and Manner of Submission.** Contractor must ensure that its submittals are prepared and delivered in a manner consistent with the current City-accepted schedule for the Work and within the applicable time specified in the Contract Documents, or if no time is specified, in such time and sequence so as not to delay the performance of the Work or completion of the Project. Contractor must provide submittals in electronic format, unless otherwise specified by the Engineer.

(C) **Required Contents.** Each submittal must be uniquely numbered and include the Project name and contract number, Contractor's name and address, the name and address of any Subcontractor or supplier involved with the submittal, the date, and references to applicable Specification section(s) and/or drawing and detail number(s). Submittal resubmissions must include a revision designation.

(D) **Required Corrections.** If corrections are required, Contractor must promptly make and submit any required corrections as specified in full conformance with the requirements of this Section, or other requirements that apply to that submittal. Except as required for corrections, Contractor will not make changes to a submittal upon resubmission. City reserves the right to reject a partial resubmission of a submittal.

(E) **Effect of Review and Acceptance.** Review and acceptance of a submittal by City will not relieve Contractor from complying with the requirements of the Contract Documents. Contractor is responsible for any errors in any submittal, and review or acceptance of a submittal by City is not an assumption of risk or liability by City.

(F) **Enforcement.** Any Work performed or any material furnished, installed, fabricated or used without City's prior acceptance of a required submittal is performed or provided at Contractor's risk, and Contractor may be required to bear the costs incident thereto, including the cost of removing and replacing such Work, repairs to other affected portions of the Work or material, and the cost of additional time or services required of City, including costs for the Design Professional, Project Manager, Inspector, and Materials Lab.

(G) **Excessive RFIs.** A RFI will be considered excessive or unnecessary if City determines that the explanation or response to the RFI is clearly and unambiguously discernable from the Contract Documents. City's costs to review and respond to excessive or unnecessary RFIs may be deducted from payments otherwise due to Contractor.

2.6 Shop Drawings. When Shop Drawings are required by the Specifications or requested by the Engineer, they must be prepared according to best practices at Contractor's expense. The Shop Drawings must be of a size and scale to clearly show all necessary details. Unless otherwise specified by City, Shop Drawings must be provided to the Engineer for review and acceptance at least 30 days before the Work will be performed. If City requires changes, the corrected Shop Drawings must be resubmitted to the

Engineer for review within the time specified by the Engineer. For all Project components requiring Shop Drawings, Contractor will not furnish materials or perform any Work until the Shop Drawings for those components are accepted by City. Contractor is responsible for any errors or omissions in the Shop Drawings, shop fits and field corrections; any deviations from the Contract Documents; and for the results obtained by the use of Shop Drawings. Acceptance of Shop Drawings by City does not relieve Contractor of Contractor's responsibility.

- 2.7 Material List.** Unless otherwise specified by City, Contractor must submit to the Engineer, at or before the pre-construction conference, a list of all materials proposed for use in the Work and any supporting documentation and samples required by the Contract Documents and source of supply. For a material listed on the "Engineer's List of Approved Items," located in the Sewer and Water sections of the City Standards, Contractor must provide the name of the manufacturer and model and part number for each material proposed for the Work, unless the item has been replaced for the Project, as specifically set forth in the Contract Documents. For all other materials, Contractor must provide the name of the manufacturer, model and part number, and supporting documentation and samples that will enable the Engineer to evaluate the material.
- 2.8 Access to Work.** Contractor must afford prompt and safe access to any Worksite by City and its employees, agents, or consultants authorized by City; and upon request by City, Contractor must promptly arrange for City representatives to visit or inspect manufacturing sites or fabrication facilities for items to be incorporated into the Work.
- 2.9 Personnel.** Contractor and its Subcontractors must employ only competent and skillful personnel to perform the Work. Contractor and its Subcontractor's supervisors, security or safety personnel, and employees who have unescorted access to the Project site must possess proficiency in English sufficient to read, understand, receive, and implement oral or written communications or instructions relating to their respective job functions, including safety and security requirements. Upon written notification from the Engineer, Contractor and its Subcontractors must immediately discharge any personnel who are incompetent, disorderly, disruptive, threatening, abusive, or profane, or otherwise refuse or fail to comply with the requirements of the Contract Documents or Laws, including Laws pertaining to health and safety. Any such discharged personnel may not be re-employed or permitted on the Project in any capacity without City's prior written consent.

Article 3 - Contract Documents

3.1 Interpretation of Contract Documents.

(A) **Plans and Specifications.** The Plans and Specifications included in the Contract Documents are complementary. If Work is shown on one but not on the other, Contractor must perform the Work as though fully described on both, consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results. The Plans and Specifications are deemed to include and require everything necessary and reasonably incidental to completion of the Work, whether or not particularly mentioned or shown. Contractor must perform all Work and services and supply all things reasonably related to and inferable from the Contract Documents. In the event of a conflict between the Plans and Specifications, the Specifications will control, unless the drawing(s) at issue are dated later than the Specification(s) at issue. Detailed drawings take precedence over general drawings, and large-scale drawings take precedence over smaller scale drawings. Any arrangement or division of the Plans and Specifications into sections is for convenience and is not intended to limit the Work required by separate trades. A conclusion presented in the Plans or Specifications is only a recommendation. Actual locations and depths must be determined by Contractor's field investigation. Contractor may request access to underlying or background information in City's possession that is necessary for Contractor to form its own conclusions.

(B) **Duty to Notify and Seek Direction.** If Contractor becomes aware of a changed condition in the Project, or of any ambiguity, conflict, inconsistency, discrepancy, omission, or error in the Contract Documents, including the Plans or Specifications, Contractor must promptly submit a Request for Information to the Engineer and wait for a response from City before proceeding further with the related Work. The RFI must notify City of the issue and request clarification, interpretation or direction. The Engineer's clarification, interpretation or direction will be final and binding on the Contractor. If Contractor proceeds with the related Work before obtaining the City's response, Contractor will be responsible for any resulting costs, including the cost of correcting any incorrect or defective Work that results. Timely submission of a clear and complete RFI is essential to avoiding delay. Delay resulting from Contractor's failure to submit a timely and complete RFI to the Engineer is Non-Excusable Delay. If Contractor believes that City's response to an RFI justifies a change to the Contract Price or Contract Time, Contractor must perform the Work as directed, but may submit a timely Change Order request in accordance with the Contract Documents. (See Articles 5 and 6.)

(C) **Figures and Dimensions.** Figures control over scaled dimensions.

(D) **Technical or Trade Terms.** Any terms that have well-known technical or trade meanings will be interpreted in accordance with those meanings, unless otherwise specifically defined in the Contract Documents.

(E) **Measurements.** Contractor must verify all relevant measurements in the Contract Documents and at the Project site before ordering any material or performing any Work, and will be responsible for the correctness of those measurements or for costs that could have been avoided by independently verifying measurements.

(F) **Compliance with Laws.** The Contract Documents are intended to comply with Laws and will be interpreted to comply with Laws.

3.2 Order of Precedence.

Information included in one Contract Document but not in another will not be considered a conflict or inconsistency. Unless otherwise specified in the Special Conditions, in case of any conflict or inconsistency among the Contract

Documents, the following order of precedence will apply, beginning from highest to lowest, with the most recent version taking precedent over an earlier version:

- (A) Change Orders;
- (B) Addenda;
- (C) Contract;
- (D) Notice to Proceed;
- (E) Attachment B – Caltrans Contract Requirements (only if used);
- (F) Special Conditions;
- (G) General Conditions;
- (H) Payment and Performance Bonds;
- (I) Specifications;
- (J) Plans;
- (K) Notice of Award;
- (L) Notice Inviting Bids;
- (M) Attachment A – Caltrans Bidding Requirements (only if used);
- (N) Instructions to Bidders;
- (O) Community Workforce Agreement, if applicable;
- (P) Contractor’s Bid Proposal and attachments;
- (Q) City Standards and City Specifications, as applicable; and
- (R) Any generic documents prepared by and on behalf of a third party, that were not prepared specifically for this Project, such as the Caltrans Standard Specifications.

3.3 Caltrans Standard Specifications and Standard Plans. Any reference to or incorporation of the Standard Specifications of the State of California, Department of Transportation (“Caltrans”), including “Standard Specifications,” “Caltrans Specifications,” “State Specifications,” or “CSS,” means the most current edition of Caltrans’ Standard Specifications, unless otherwise specified (“Caltrans Standard Specifications”), including the most current amendments and revisions as of the date that Contractor’s bid was submitted for this Project. Any reference to or incorporation of Caltrans’ Standard Plans means the most current edition of Caltrans’ Standard Plans, unless otherwise specified (“Caltrans Standard Plans”), including the most current amendments or revisions as of the date that Contractor’s bid was submitted for this Project. The following provisions apply to use of or reference to the Caltrans Standard Specifications:

(A) **Limitations.** The “Division I General Provisions” of the Caltrans Standard Specifications, i.e., Division I (sections 1 through 9), do not apply to these Contract Documents with the exception of any specific provisions, if any, which are expressly stated to apply to these Contract Documents. Unless otherwise specified in the Specifications, the remaining Divisions of the Caltrans Standard Specifications, i.e., Division II through Division XII, are applicable to the extent relevant to the Work and are subject to any modifications set forth in the Specifications. A specific reference in the Specifications to a section from the Caltrans Standard Specifications will not be construed as excluding other applicable sections from the Caltrans Standard Specifications.

(B) **Conflicts or Inconsistencies.** If there is a conflict or inconsistency between any provision in the Caltrans Standard Specifications and a provision of these Contract Documents, as determined by City, the provision in the Contract Documents will govern.

(C) **Meanings.** Terms used in the Caltrans Standard Specifications are to be interpreted as follows:

- (1) Any reference to the “Engineer” or “Director” is deemed to mean the City Engineer.

(2) Any reference to the "Special Provisions" is deemed to mean the Specifications.

(3) Any reference to the "Department" or "State" is deemed to mean City.

(4) Any reference to "Laboratory" is deemed to mean the Materials Lab, or such other laboratory as may be authorized by the City.

- 3.4 For Reference Only.** Contractor is responsible for the careful review of any document, study, or report provided by City or appended to the Contract Documents solely for informational purposes and identified as "For Reference Only." Nothing in any document, study, or report so appended and identified is intended to supplement, alter, or void any provision of the Contract Documents. Contractor is advised that the City or its representatives may be guided by information or recommendations included in such reference documents, particularly when making determinations as to the acceptability of proposed materials, methods, or changes in the Work. Any record drawings or similar final or accepted drawings or maps that are not part of the Contract Documents are deemed to be For Reference Only. The provisions of the Contract Documents are not modified by any perceived or actual conflict with provisions in any document that is provided For Reference Only.
- 3.5 Current Versions.** Unless otherwise specified by the City, any reference to standard specifications, technical specifications, or any City or state codes or regulations means the latest specification, code, or regulation in effect on the date that bids were due.
- 3.6 Conformed Copies.** If City prepares a conformed set of the Contract Documents following award of the Contract, it will provide Contractor with two hard copy (paper) sets and one copy of the electronic file in PDF format. It is Contractor's responsibility to ensure that all Subcontractors, including fabricators, are provided with the conformed set of the Contract Documents at Contractor's sole expense.
- 3.7 Ownership.** No portion of the Contract Documents may be used for any purpose other than construction of the Project, without prior written consent from City. Contractor is deemed to have conveyed the copyright in any designs, drawings, specifications, Shop Drawings, or other documents (in paper or electronic form) developed by Contractor for the Project, and City will retain all rights to such works, including the right to possession.

Article 4 - Bonds, Indemnity, and Insurance

- 4.1 Payment and Performance Bonds.** Within ten days following issuance of the Notice of Award, Contractor is required to provide a payment bond and a performance bond, each in the penal sum of not less than 100% of the Contract Price, and each executed by Contractor and its surety using the bond forms included with the Contract Documents.
- (A) **Surety.** Each bond must be issued and executed by a surety admitted in California. If an issuing surety cancels the bond or becomes insolvent, within seven days following written notice from City, Contractor must substitute a surety acceptable to City. If Contractor fails to substitute an acceptable surety within the specified time, City may, at its sole discretion, withhold payment from Contractor until the surety is replaced to City's satisfaction, or terminate the Contract for default.
- (B) **Supplemental Bonds for Increase in Contract Price.** If the Contract Price increases during construction by five percent or more over the original Contract Price, Contractor must provide supplemental or replacement bonds within ten days of written notice from City pursuant to this Section, covering 100% of the increased Contract Price and using the bond forms included with the Contract Documents.
- 4.2 Indemnity.** To the fullest extent permitted by law, Contractor must indemnify, defend, and hold harmless City, its Council, officers, officials, employees, agents, volunteers, and consultants (individually, an "Indemnitee," and collectively the "Indemnitees") from and against any and all liability, loss, damage, claims, causes of action, demands, charges, fines, costs, and expenses (including, without limitation, attorney fees, expert witness fees, paralegal fees, fees and costs of litigation or arbitration, and fees and expenses incurred in enforcing this Section) (collectively, "Liability") of every nature arising out of or in connection with the acts or omissions of Contractor, its employees, Subcontractors, representatives, or agents, in bidding or performing the Work or in failing to comply with any obligation of Contractor under the Contract, except such Liability caused by the active negligence, sole negligence, or willful misconduct of an Indemnitee. This indemnity requirement applies to any Liability arising from alleged defects in the content or manner of submission of Contractor's bid for the Contract. Contractor's failure or refusal to timely accept a tender of defense pursuant to this Contract will be deemed a material breach of the Contract. City will timely notify Contractor upon receipt of any third-party claim relating to the Contract, as required by Public Contract Code § 9201. Contractor waives any right to express or implied indemnity against any Indemnitee. Contractor's indemnity obligations under this Contract will survive the expiration or any early termination of the Contract.
- 4.3 Insurance.** No later than ten days following issuance of the Notice of Award, Contractor must procure and provide proof of the insurance coverage required by this Section in the form of certificates and endorsements acceptable to City. The required insurance must cover the activities of Contractor and its Subcontractors relating to or arising from the performance of the Work. The required insurance must remain in full force and effect at all times during the period covered by the Contract through the date of City's acceptance of the Project, except as specified for commercial general liability insurance in subsection (A)(1), below, which requires a longer duration. All required insurance must be issued by a company licensed to do business in the State of California, and each such insurer must have an A.M. Best's financial strength rating of "A-" or better and a financial size rating of "VII" or better. Each certificate of insurance must identify this Contract by the Project number and state: "The listed insurance policies include endorsements as required by contract." The City may, but has no obligation to, review insurance policies submitted by Contractor. The City's failure to demand evidence of full compliance with the insurance requirements set forth in this Contract or the City's failure to identify any insurance deficiency will not relieve Contractor from, nor be construed or deemed a waiver of, its

obligation to maintain the required insurance in accordance with this Section. If Contractor fails to provide any of the required coverage in full compliance with the requirements of the Contract Documents, City may, at its sole discretion, purchase such coverage at Contractor's expense and deduct the cost from payments due to Contractor, or terminate the Contract for default. The procurement of the required insurance, or Contractor's failure to procure and maintain the required insurance, will not be construed to limit Contractor's liability under this Contract. The procurement of the required insurance will not be construed to fulfill Contractor's indemnification obligations under this Contract.

(A) **Policies and Limits.** The following insurance policies and limits are required for this Contract, unless otherwise specified in the Special Conditions:

(1) **Commercial General Liability ("CGL") Insurance:** The CGL insurance policy must be issued on an occurrence basis, written on a comprehensive general liability form with coverage at least as broad as ISO CG 00 01, and must include coverage for liability arising from Contractor's or its Subcontractor's acts or omissions in the performance of the Work, including contractor's protective coverage, contractual liability, products liability, completed operations, and broad form property damage, with limits of at least \$3,000,000 per occurrence and at least \$3,000,000 general aggregate. If insurance applies separately to a project/location, aggregate may be equal to per occurrence amount. The CGL insurance coverage may be arranged under a single policy for the full limits required or by a combination of underlying policies with the balance provided by excess or umbrella policies, provided each such policy complies with the requirements set forth in this Section, including required endorsements. The products liability and completed operations coverage must continue for a period of three years following City's acceptance of the Project.

(2) **Automobile Liability Insurance:** The automobile liability insurance policy must provide coverage of at least \$1,000,000 combined single-limit per accident for bodily injury, death, or property damage, including hired, owned, and non-owned auto liability. Coverage must be at least as broad as ISO Form Number CA 00 01 covering any auto (Code 1).

(3) **Workers' Compensation Insurance and Employer's Liability:** The workers' compensation and employer's liability insurance policy must comply with the requirements of the California Labor Code, providing coverage of at least \$1,000,000 or as otherwise required by statute, per accident for bodily injury or disease. If Contractor is self-insured, Contractor must provide its Certificate of Permission to Self-Insure, duly authorized by the DIR.

(B) **Notice.** Each certificate of insurance must state that the coverage afforded by the policy or policies will not be reduced, cancelled or allowed to expire without at least 30 days prior written notice to City, unless due to non-payment of premiums, in which case ten days prior written notice must be made to City.

(C) **Waiver of Subrogation.** Each required policy must include an endorsement providing that the carrier will waive any right of subrogation it may have against City.

(D) **Required Endorsements.** The CGL policy, automobile liability policy, pollution liability policy, and builder's risk policy must include the following specific endorsements:

(1) The City of Santa Rosa, including its Council, officials, officers, employees, agents, volunteers and consultants (collectively, "Additional Insured") must be named as an additional insured for all liability arising out of the operations by or

on behalf of the named insured, and the policy must protect the Additional Insured against any and all liability for personal injury, death or property damage or destruction arising directly or indirectly in the performance of the Contract. Coverage for an Additional Insured will not be limited to the Additional Insured's vicarious liability. The additional insured endorsement must be provided using ISO forms at least as broad as CG 20 10 04 13 or 20 38 04 13 (ongoing operations) and CG 20 37 04 13 (completed operations), or equivalent form(s) approved by the City.

(2) The inclusion of more than one insured will not operate to impair the rights of one insured against another, and the coverages afforded will apply as though separate policies have been issued to each insured.

(3) The insurance provided by Contractor is primary and no insurance held or owned by any Additional Insured may be called upon to contribute to a loss. This endorsement must be provided using ISO form CG 20 01 04 13 or an equivalent form approved by the City.

(4) This policy does not exclude explosion, collapse, underground excavation hazard, or removal of lateral support.

(E) **Contractor's Responsibilities.** This Section 4.3 establishes the minimum requirements for Contractor's insurance coverage in relation to this Project, but is not intended to limit Contractor's ability to procure additional or greater coverage. Contractor is responsible for its own risk assessment and needs and is encouraged to consult its insurance provider to determine what coverage it may wish to carry beyond the minimum requirements of this Section. Contractor is solely responsible for the cost of its insurance coverage, including premium payments, deductibles, or self-insured retentions, and no Additional Insured will be responsible or liable for any of the cost of Contractor's insurance coverage. Contractor's insurance coverage applies to the full extent of the policies, and nothing contained herein will be construed to limit the application of such coverage.

(F) **Deductibles and Self-Insured Retentions.** Any deductibles or self-insured retentions that apply to the required insurance (collectively, "deductibles") in excess of \$10,000 are subject to approval by the City's Risk Manager, acting in his or her sole discretion, and must be declared by Contractor when it submits its certificates of insurance and endorsements pursuant to this Section 4.3. If the City's Risk Manager determines that the deductibles are unacceptably high, at City's option, Contractor must either reduce or eliminate the deductibles as they apply to City and all required Additional Insured; or must provide a financial guarantee, to City's satisfaction, guaranteeing payment of losses and related investigation, claim administration, and legal expenses.

(G) **Subcontractors.** Contractor must ensure that each Subcontractor is required to maintain the same insurance coverage required under this Section 4.3, with respect to its performance of Work on the Project, including those requirements related to the Additional Insureds and waiver of subrogation, but excluding pollution liability or builder's risk insurance unless otherwise specified in the Special Conditions. A Subcontractor may be eligible for reduced insurance coverage or limits, but only to the extent approved in writing in advance by the City's Risk Manager. Contractor must confirm that each Subcontractor has complied with these insurance requirements before the Subcontractor is permitted to begin Work on the Project. Upon request by the City, Contractor must provide certificates and endorsements submitted by each Subcontractor to prove compliance with this requirement. The insurance requirements for Subcontractors do not replace or limit the Contractor's insurance obligations.

Article 5 - Contract Time

5.1 Time is of the Essence. Time is of the essence in Contractor's performance and completion of the Work, and Contractor must diligently prosecute the Work and complete it within the Contract Time.

(A) **General.** Contractor must commence the Work on the date indicated in the Notice to Proceed and must fully complete the Work in strict compliance with all requirements of the Contract Documents and within the Contract Time. Contractor may not begin performing the Work before the date specified in the Notice to Proceed.

(B) **Authorization.** Contractor is not entitled to compensation or credit for any Work performed before the date specified in the Notice to Proceed, with the exception of any schedules, submittals, or other requirements, if any, that must be provided or performed before issuance of the Notice to Proceed.

(C) **Rate of Progress.** Contractor and its Subcontractors must, at all times, provide workers, materials, and equipment sufficient to maintain the rate of progress necessary to ensure full completion of the Work within the Contract Time. Contractor will diligently prosecute the Work to minimize the public's exposure to construction activities. If City determines that Contractor is failing to prosecute the Work at a sufficient rate of progress, City may, in its sole discretion, direct Contractor to provide additional workers, materials, or equipment, or to work additional hours or days without additional cost to City, in order to achieve a rate of progress satisfactory to City. If Contractor fails to comply with City's directive in this regard, City may, at Contractor's expense, separately contract for additional workers, materials, or equipment or use City's own forces to achieve the necessary rate of progress. Alternatively, City may terminate the Contract based on Contractor's default.

5.2 Schedule Requirements. Contractor must prepare all schedules using standard, commercial scheduling software acceptable to the Engineer, and must provide the schedules in electronic and paper form as requested by the Engineer. Contractor must provide the Engineer with a license for use of Contractor's scheduling software, unless otherwise specified by the Engineer. In addition to the general scheduling requirements set forth below, Contractor must also comply with any scheduling requirements included in the Special Conditions or in the Technical Specifications.

(A) **Baseline (As-Planned) Schedule.** Within ten calendar days following City's issuance of the Notice to Proceed (or as otherwise specified in the Notice to Proceed), Contractor must submit to City for review and acceptance a baseline (as-planned) schedule using critical path methodology showing in detail how Contractor plans to perform and fully complete the Work within the Contract Time, including labor, equipment, materials, and fabricated items. The baseline schedule must show the order of the major items of Work and the dates of start and completion of each item, including when the materials and equipment will be procured. The schedule must also include the work of all trades, reflecting anticipated labor or crew hours and equipment loading for the construction activities, and must be sufficiently comprehensive and detailed to enable progress to be monitored on a day-by-day basis. For each activity, the baseline schedule must be dated, provided in the format specified in the Contract Documents or as required by City, and must include, at a minimum, a description of the activity, the start and completion dates of the activity, and the duration of the activity.

(1) **Specialized Materials Ordering.** Within five calendar days following issuance of the Notice to Proceed, Contractor must order any specialized material or equipment for the Work that is not readily available from material suppliers. Contractor must also retain documentation of the purchase order date(s).

(2) *High Dollar or Long Duration Projects.* In addition to the requirements set forth above, if the Contract Price is \$5,000,000 or more or if the Contract Time is 100 Working Days or more, Contractor's baseline (as-planned) schedule must include the following: the start and completion dates for submittal development, submittal review, milestones and constraints, equipment and plant setup, interfaces with outside entities, erection and removal of falsework and shoring, test periods, major traffic stage change, and final cleanup; logical links between time-scaled Work activities; controlling activities; at least 50 but no more than 500 activities, unless otherwise specified or authorized by the Engineer; alphanumeric activity identification and activity description system for labeling Work activities; identification code for each activity for responsibility, stage, Work shifts, location, and bid items; activity durations of at least one Working Day and no more than 20 Working Days for each activity, unless otherwise authorized by the Engineer; and float as the predecessor activity to Final Completion. Each activity description must indicate its associated scope or location of Work.

(B) **City's Review of Schedules.** City will review and may note exceptions to the baseline schedule, and to the progress schedules submitted as required below, to assure completion of the Work within the Contract Time. Contractor is solely responsible for resolving any exceptions noted in a schedule and, within seven days, must correct the schedule to address the exceptions. City's review or acceptance of Contractor's schedules will not operate to waive or limit Contractor's duty to complete the Project within the Contract Time, nor to waive or limit City's right to assess liquidated damages for Contractor's unexcused failure to do so.

(C) **Progress Schedules.** After City accepts the final baseline schedule with no exceptions, Contractor must submit an updated progress schedule and three-week look-ahead schedule, in the format specified by City, for review and acceptance with each application for a progress payment, or when otherwise specified by City, until completion of the Work. The updated progress schedule must: show how the actual progress of the Work as constructed to date compares to the baseline schedule; reflect any proposed changes in the construction schedule or method of operations, including to achieve Project milestones within the Contract Time; and identify any actual or potential impacts to the critical path. Contractor must also submit periodic reports to City of any changes in the projected material or equipment delivery dates for the Project.

(1) *Float.* The progress schedule must show early and late completion dates for each task. The number of days between those dates will be designated as the "float." Any float belongs to the Project and may be allocated by the Engineer to best serve timely completion of the Project.

(2) *Failure to Submit Schedule.* Reliable, up-to-date schedules are essential to efficient and cost-effective administration of the Project and timely completion. If Contractor fails to submit a schedule within the time periods specified in this Section or submits a schedule to which City has noted exceptions that are not corrected, City may withhold up to five percent from payment(s) otherwise due to Contractor until the exceptions are resolved, the schedule is corrected and resubmitted, and City has accepted the schedule. In addition, Contractor's failure to comply with the schedule requirements in this Section 5.2 will be deemed a material default and a waiver of any claims for Excusable Delay or loss of productivity arising during any period when Contractor is out of compliance, subject only to the limits of Public Contract Code § 7102.

(D) **Recovery Schedule.** If City determines that the Work is more than one week behind schedule, within seven days following written notice of such determination,

Contractor must submit a recovery schedule, showing how Contractor intends to perform and complete the Work within the Contract Time, based on actual progress to date.

(E) **Effect of Acceptance.** Contractor and its Subcontractors must perform the Work in accordance with the most current City-accepted schedule unless otherwise directed or approved by City. If Contractor wants to perform non-critical Work activities that are out of sequence with the current City-accepted schedule, Contractor must notify and request approval from the Engineer in advance of performance of any such activities. Performance of any such Work must not impact the critical path Work activities. City's acceptance of a schedule does not operate to extend the time for completion of the Work or any component of the Work and will not affect City's right to assess liquidated damages for Contractor's unexcused delay in completing the Work within the Contract Time.

(F) **Posting.** Contractor must at all times prominently post a copy of the most current City-accepted progress or recovery schedule in its on-site office.

(G) **Reservation of Rights.** City reserves the right to direct the sequence in which the Work must be performed or to make changes in the sequence of the Work in order to facilitate the performance of work by City or others, to facilitate City's use of its property, or to minimize the public's exposure to construction activities. The Contract Time or Contract Price may be adjusted to the extent such changes in sequence actually increase or decrease Contractor's time or cost to perform the Work.

(H) **Authorized Working Days and Times.** Contractor is limited to working Monday through Friday, excluding holidays observed by City, with noise generating activities limited to 7:00 a.m. to 7:00 p.m. and vehicular traffic impacts limited to 8:30 a.m. to 4:30 p.m., except as provided in the Special Conditions or as authorized in writing by City. City reserves the right to charge Contractor for additional costs incurred by City due to Work performed on days or during hours not expressly authorized in the Contract Documents, including reimbursement of costs incurred for inspection, testing, and construction management services.

5.3 Delay and Extensions of Contract Time.

(A) **Notice of Delay.** If Contractor becomes aware of any actual or potential delay affecting the critical path, Contractor must promptly notify the Engineer in writing, regardless of the nature or cause of the delay, so that City has a reasonable opportunity to mitigate or avoid the delay.

(B) **Excusable Delay.** The Contract Time may be extended if Contractor encounters "Excusable Delay," which is an unavoidable delay in completing the Work within the Contract Time due to causes completely beyond Contractor's control, and which Contractor could not have avoided or mitigated through reasonable care, planning, foresight, or diligence, provided that Contractor is otherwise fully performing its obligations under the Contract Documents. Grounds for Excusable Delay may include fire, natural disasters including earthquake or unusually severe weather, acts of terror or vandalism, epidemic, unforeseeable adverse government actions, unforeseeable actions of third parties, encountering unforeseeable hazardous materials, unforeseeable site conditions, or suspension for convenience under Article 13. The Contract Time will not be extended based on circumstances which will not unavoidably delay completing the Work within the Contract Time based on critical path analysis.

(C) **Weather Delays.** A "Weather Delay Day" is a Working Day during which Contractor and its forces, including Subcontractors, are unable to perform more than 40% of the critical path Work scheduled for that day due to adverse weather conditions which

impair the ability to safely or effectively perform the scheduled critical path Work that day. Adverse weather conditions may include rain, saturated soil, and Project site clean-up required due to adverse weather. Determination of what constitutes critical path Work scheduled for that day will be based on the most current, City accepted schedule. Contractor will be entitled to a non-compensable extension of the Contract Time for each Weather Delay Day in excess of the normal Weather Delay Days within a given month as determined by reliable records, including monthly rainfall averages, for the preceding ten years (or as otherwise specified in the Special Conditions or Specifications).

(1) Contractor must fully comply with the applicable procedures in Articles 5 and 6 of the General Conditions regarding requests to modify the Contract Time.

(2) Contractor will not be entitled to an extension of time for a Weather Delay Day to the extent Contractor is responsible for concurrent delay on that day.

(3) Contractor must take reasonable steps to mitigate the consequences of Weather Delay Days, including prudent workforce management and protecting the Work, Project Site, materials, and equipment.

(D) **Non-Excusable Delay.** Delay which Contractor could have avoided or mitigated through reasonable care, planning, foresight, or diligence is "Non-Excusable Delay." Contractor is not entitled to an extension of Contract Time or any compensation for Non-Excusable Delay, or for Excusable Delay that is concurrent with Non-Excusable Delay. Non-Excusable Delay includes delay caused by:

(1) weather conditions which are normal for the location of the Project, as determined by reliable records, including monthly rainfall averages, for the preceding ten years;

(2) Contractor's failure to order equipment and materials sufficiently in advance of the time needed for completion of the Work within the Contract Time;

(3) Contractor's failure to provide adequate notification to utility companies or agencies for connections or services necessary for completion of the Work within the Contract Time;

(4) foreseeable conditions which Contractor could have ascertained from reasonably diligent inspection of the Project site or review of the Contract Documents or other information provided or available to Contractor;

(5) Contractor's failure, refusal, or financial inability to perform the Work within the Contract Time, including insufficient funds to pay its Subcontractors or suppliers;

(6) performance or non-performance by Contractor's Subcontractors or suppliers;

(7) the time required to respond to excessive RFIs (see Section 2.5(G));

(8) delayed submission of required submittals, or the time required for correction and resubmission of defective submittals;

(9) time required for repair of, re-testing, or re-inspection of defective Work;

(10) enforcement of Laws by City, or outside agencies with jurisdiction over the Work; or

(11) City's exercise or enforcement of any of its rights or Contractor's duties pursuant to the Contract Documents, including correction of defective Work, extra inspections or testing due to non-compliance with Contract requirements, safety compliance, environmental compliance, or rejection and return of defective or deficient submittals.

(E) **Compensable Delay.** Pursuant to Public Contract Code § 7102, in addition to entitlement to an extension of Contract Time, Contractor is entitled to compensation for costs incurred due to delay caused solely by City, when that delay is unreasonable under the circumstances involved and not within the contemplation of the parties ("Compensable Delay"). Contractor is not entitled to an extension of Contract Time or recovery of costs for Compensable Delay that is concurrent with Non-Excusable Delay. Delay due to Weather Delay Days in excess of normal for a given month, as set forth in Section 5.3(C), is not Compensable Delay, and will only entitle Contractor to an extension of time commensurate with the time lost due to such delay.

(F) **Recoverable Costs.** Contractor is not entitled to compensation for Excusable Delay unless it is Compensable Delay, as defined above. Contractor is entitled to recover only the actual, direct, reasonable, and substantiated costs ("Recoverable Costs") for each working day that the Compensable Delay prevents Contractor from proceeding with more than 50% of the critical path Work scheduled for that day, based on the most recent progress schedule accepted by City. Recoverable Costs will not include home office overhead or lost profit.

(G) **Request for Extension of Contract Time or Recoverable Costs.** A request for an extension of Contract Time or any associated Recoverable Costs must be submitted in writing to City within 14 calendar days of the date the delay is first encountered, even if the duration of the delay is not yet known at that time, or any entitlement to the Contract Time extension or to the Recoverable Costs will be deemed waived. In addition to complying with the requirements of this Article 5, the request must be submitted in compliance with the Change Order request procedures in Article 6 below. Strict compliance with these requirements is necessary to ensure that any delay or consequences of delay may be mitigated as soon as possible, and to facilitate cost-efficient administration of the Project and timely performance of the Work. Any request for an extension of Contract Time or Recoverable Costs that does not strictly comply with all of the requirements of Article 5 and Article 6 will be deemed waived.

(1) **Required Contents.** The request must include a detailed description of the cause(s) of the delay and must also describe the measures that Contractor has taken to mitigate the delay and/or its effects, including efforts to mitigate the cost impact of the delay, such as by workforce management or by a change in sequencing. If the delay is still ongoing at the time the request is submitted, the request should also include Contractor's plan for continued mitigation of the delay or its effects.

(2) **Delay Days and Costs.** The request must specify the number of days of Excusable Delay claimed or provide a realistic estimate if the duration of the delay is not yet known. If Contractor believes it is entitled to Recoverable Costs for Compensable Delay, the request must specify the amount and basis for the Recoverable Costs that are claimed or provide a realistic estimate if the amount is not yet known. Any estimate of delay duration or cost must be updated in writing and submitted with all required supporting documentation as soon as the actual time and cost is known. The maximum extension of Contract Time will be the number of days, if any, by which an Excusable Delay or a Compensable

Delay exceeds any concurrent Non-Excusable Delay. Contractor is entitled to an extension of Contract Time, or compensation for Recoverable Costs, only if, and only to the extent that, such delay will unavoidably delay Final Completion.

(3) *Supporting Documentation.* The request must also include any and all supporting documentation necessary to evidence the delay and its actual impacts, including scheduling and cost impacts with a time impact analysis using critical path methodology and demonstrating the unavoidable delay to Final Completion. The time impact analysis must be submitted in a form or format acceptable to City.

(4) *Burden of Proof.* Contractor has the burden of proving that: the delay was an Excusable Delay or Compensable Delay, as defined above; Contractor has fully complied with its scheduling obligations in Section 5.2, Schedule Requirements; Contractor has made reasonable efforts to mitigate the delay and its schedule and cost impacts; the delay will unavoidably result in delaying Final Completion; and any Recoverable Costs claimed by Contractor were actually incurred and were reasonable under the circumstances.

(5) *Legal Compliance.* Nothing in this Section 5.3 is intended to require the waiver, alteration, or limitation of the applicability of Public Contract Code § 7102.

(6) *No Waiver.* Any grant of an extension of Contract Time, or compensation for Recoverable Costs due to Compensable Delay, will not operate as a waiver of City's right to assess liquidated damages for Non-Excusable Delay.

(7) *Dispute Resolution.* In the event of a dispute over entitlement to an extension of Contract Time or compensation for Recoverable Costs, Contractor may not stop Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work. Contractor's sole recourse for an unresolved dispute based on City's rejection of a Change Order request for an extension of Contract Time or compensation for Recoverable Costs is to comply with the dispute resolution provisions set forth in Article 12 below.

5.4 Liquidated Damages. It is expressly understood that if Final Completion is not achieved within the Contract Time, City will suffer damages from the delay that are difficult to determine and accurately specify. Pursuant to Public Contract Code § 7203, if Contractor fails to achieve Final Completion within the Contract Time due to Contractor's Non-Excusable Delay, City will charge Contractor in the amount specified in the Contract for each calendar day that Final Completion is delayed beyond the Contract Time, as liquidated damages and not as a penalty. Any waiver of accrued liquidated damages, in whole or in part, is subject to approval of the City Council or its authorized delegee.

(A) **Liquidated Damages.** Liquidated damages will not be assessed for any Excusable Delay or Compensable Delay, as set forth above.

(B) **Milestones.** Liquidated damages may also be separately assessed for failure to meet milestones specified elsewhere in the Contract Documents.

(C) **Setoff.** City is entitled to deduct the amount of liquidated damages assessed against any payments otherwise due to Contractor, including progress payments, Final Payment, or unreleased retention. If there are insufficient Contract funds remaining to cover the full amount of liquidated damages assessed, City is entitled to recover the balance from Contractor or its performance bond surety.

(D) **Occupancy or Use.** Occupancy or use of the Project in whole or in part prior to Final Completion does not constitute City's acceptance of the Project and will not operate as a waiver of City's right to assess liquidated damages for Contractor's Non-Excusable Delay in achieving Final Completion.

(E) **Other Remedies.** City's right to liquidated damages under this Section applies only to damages arising from Contractor's Non-Excusable Delay or failure to complete the Work within the Contract Time. City retains its right to pursue all other remedies under the Contract for other types of damage, including damage to property or persons, costs or diminution in value from defective materials or workmanship, costs to repair or complete the Work, or other liability caused by Contractor.

Article 6 - Contract Modification

6.1 Contract Modification. Subject to the limited exception set forth in subsection (D) below, any change in the Work or the Contract Documents, including the Contract Price or Contract Time, will not be a valid and binding change to the Contract unless it is formalized in a Change Order, including a “no-cost” Change Order or a unilateral Change Order. Changes in the Work pursuant to this Article 6 will not operate to release, limit, or abridge Contractor’s warranty obligations pursuant to Article 11 or any obligations of Contractor’s bond sureties.

(A) **City-Directed Changes.** City may direct changes in the scope or sequence of Work or the requirements of the Contract Documents, without invalidating the Contract. Such changes may include Extra Work as set forth in subsection (C) below, or deletion or modification of portions of the Work. Contractor must promptly comply with City-directed changes in the Work in accordance with the original Contract Documents, even if Contractor and City have not yet reached agreement as to adjustments to the Contract Price or Contract Time for the change in the Work or for the Extra Work. Contractor is not entitled to extra compensation for cost savings resulting from “value engineering” pursuant to Public Contract Code § 7101, except to the extent authorized in advance by City in writing, and subject to any applicable procedural requirements for submitting a proposal for value engineering cost savings.

(B) **Disputes.** In the event of a dispute over entitlement to or the amount of a change in Contract Time or a change in Contract Price related to a City-directed change in the Work, Contractor must perform the Work as directed and may not delay its Work or cease Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work, including the Work in dispute. Likewise, in the event that City and Contractor dispute whether a portion or portions of the Work are already required by the Contract Documents or constitute Extra Work, or otherwise dispute the interpretation of any portion(s) of the Contract Documents, Contractor must perform the Work as directed and may not delay its Work or cease Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work, including the Work in dispute, as directed by City. If Contractor refuses to perform the Work in dispute, City may, acting in its sole discretion, elect to delete the Work from the Contract and reduce the Contract Price accordingly, and self-perform the Work or direct that the Work be performed by others. Alternatively, City may elect to terminate the Contract for convenience or for cause. Contractor’s sole recourse for an unresolved dispute related to changes in the Work or performance of any Extra Work is to comply with the dispute resolution provisions set forth in Article 12, below.

(C) **Extra Work.** City may direct Contractor to perform Extra Work related to the Project. Contractor must promptly perform any Extra Work as directed or authorized by City in accordance with the original Contract Documents, even if Contractor and City have not yet reached agreement on adjustments to the Contract Price or Contract Time for such Extra Work. If Contractor believes it is necessary to perform Extra Work due to changed conditions, Contractor must notify the Engineer in writing, within one Working Day following the date the Contractor first encounters the circumstances giving rise to Contractor’s contention that Extra Work is necessary. Contractor’s written notice must specifically identify the Extra Work and the reason(s) the Contractor believes it is Extra Work. This notification requirement does not constitute a Change Order request pursuant to Section 6.2, below. Contractor must maintain detailed daily records that itemize the cost of each element of Extra Work, and sufficiently distinguish the direct cost of the Extra Work from the cost of other Work performed. For each day that Contractor performs Extra Work, or Work that Contractor contends is Extra Work, Contractor must submit, by no later than close of business on that same Working Day, a daily report of the

Extra Work performed that day, signed by the City and Contractor, identifying the labor, materials, and equipment used in the Extra Work ("Extra Work Report"). The Engineer may make any adjustments to Contractor's Extra Work Report(s) based on the Engineer's records of the Work. The Extra Work Report enables the parties to document and track the Extra Work, or Work that the Contractor contends is Extra Work. City's signature on the Extra Work Report is intended solely to document City's receipt of the Extra Work Report; it does not constitute any acknowledgement, acceptance, or approval of the Extra Work by City. To request compensation for Extra Work, Contractor must comply with the requirements in Section 6.2, below, including submission of the Extra Work Reports and a breakdown of the costs related to the Extra Work, together with copies of certified payroll, invoices, and other documentation substantiating the costs. Failure to submit the Extra Work Report by close of business on the same Working Day as the Extra Work is deemed a full and complete waiver for any change in the Contract Price or Contract Time for any Extra Work performed that day.

(D) **Minor Changes and RFIs.** Minor field changes, including RFI replies from City, that do not affect the Contract Price or Contract Time and that are approved by the Engineer acting within his or her scope of authority, do not require a Change Order. By executing an RFI reply from City, Contractor agrees that it will perform the Work as clarified therein, with no change to the Contract Price or Contract Time.

(E) **Remedy for Non-Compliance.** Contractor's failure to promptly comply with a City-directed change is deemed a material breach of the Contract, and in addition to all other remedies available to it, City may, at its sole discretion, hire another contractor or use its own forces to complete the disputed Work at Contractor's sole expense, and may deduct the cost from the Contract Price.

6.2 Contractor Change Order Requests. Contractor must submit a request or proposal for a change in the Work, compensation for Extra Work, or a change in the Contract Price or Contract Time as a written Change Order request or proposal.

(A) **Time for Submission.** Any request for a change in the Contract Price or the Contract Time must be submitted in writing to the Engineer within 14 calendar days of the date that Contractor first encounters the circumstances, information or conditions giving rise to the Change Order request, even if the total amount of the requested change in the Contract Price or impact on the Contract Time is not yet known at that time. If City requests that Contractor propose the terms of a Change Order, unless otherwise specified in City's request, Contractor must provide the Engineer with a written proposal for the change in the Contract Price or Contract Time within five working days of receiving City's request, in a form satisfactory to the Engineer.

(B) **Required Contents.** Any Change Order request or proposal submitted by Contractor must include a complete breakdown of actual or estimated costs and credits, and must itemize labor, materials, equipment, taxes, insurance, subcontract amounts, and, if applicable, Extra Work Reports. Any estimated cost must be updated in writing as soon as the actual amount is known.

(C) **Required Documentation.** All claimed costs must be fully documented, and any related request for an extension of time or delay-related costs must be included at that time and in compliance with the requirements of Article 5 of the General Conditions. Upon request, Contractor must permit City to inspect its original and unaltered bidding records, subcontract agreements, subcontract change orders, purchase orders, invoices, or receipts associated with the claimed costs.

(D) **Required Form.** Contractor must use City's form(s) for submitting all Change Order requests or proposals, unless otherwise specified by City.

(E) **Certification.** All Change Order requests must be signed by Contractor and must include the following certification:

“The undersigned Contractor certifies under penalty of perjury that its statements and representations in this Change Order request are true and correct. Contractor warrants that this Change Order request is comprehensive and complete as to the Work or changes referenced herein, and agrees that any known or foreseeable costs, expenses, or time extension requests not included herein, are deemed waived.”

6.3 Adjustments to Contract Price. The amount of any increase or decrease in the Contract Price will be determined based on one of the following methods listed below, in the order listed with unit pricing taking precedence over the other methods. Markup applies only to City-authorized time and material Work and does not apply to any other payments to Contractor. For Work items or components that are deleted in their entirety, Contractor will only be entitled to compensation for those direct, actual, and documented costs (including restocking fees), reasonably incurred before Contractor was notified of the City’s intent to delete the Work, with no markup for overhead, profit, or other indirect costs.

(A) **Unit Pricing.** Amounts previously provided by Contractor in the form of unit prices, either in a bid schedule or in a post-award schedule of values pursuant to Section 8.1, Schedule of Values, will apply to determine the price for the affected Work, to the extent applicable unit prices have been provided for that type of Work. No additional markup for overhead, profit, or other indirect costs will be added to the calculation.

(B) **Lump Sum.** A mutually agreed upon, all-inclusive lump sum price for the affected Work with no additional markup for overhead, profit, or other indirect costs.

(C) **Time and Materials.** On a time and materials basis, if and only to the extent compensation on a time and materials basis is expressly authorized by City in advance of Contractor’s performance of the Work and subject to any not-to-exceed limit. Time and materials compensation for increased costs or Extra Work (but not decreased costs or deleted Work) will include allowed markup for overhead, profit, and other indirect costs, calculated as the total of the following sums, the cumulative total of which may not exceed the maximum markup rate of 15% (excluding bond or insurance premium markup):

- (1) All direct labor costs provided by the Contractor, excluding superintendence, project management, or administrative costs, plus 15% markup;
- (2) All direct material costs provided by the Contractor, including sales tax, plus 15% markup;
- (3) All direct plant and equipment rental costs provided by the Contractor, plus 15% markup;
- (4) All actual direct labor, material, and equipment costs for Work performed by Subcontractors, plus markup on those costs not to exceed 10% of the cumulative total of those actual direct costs, regardless of the number of subcontract tiers; and
- (5) Increased bond and insurance premium costs computed at 1.5% of the total of the previous four sums.

- 6.4 Unilateral Change Order.** If the parties dispute the terms of a proposed Change Order, including disputes over the amount of compensation or extension of time that Contractor has requested, the value of deleted or changed Work, what constitutes Extra Work, or quantities used, City may elect to issue a unilateral Change Order, directing performance of the Work, and authorizing a change in the Contract Price or Contract Time for the adjustment to compensation or time that the City believes is merited. Contractor's sole recourse to dispute the terms of a unilateral Change Order is to submit a timely Claim pursuant to Article 12, below.
- 6.5 Non-Compliance Deemed Waiver.** Contractor waives its entitlement to any increase in the Contract Price or Contract Time if Contractor fails to fully comply with the provisions of this Article. Contractor will not be paid for unauthorized Extra Work.
- 6.6 Post-Bid Cost Increases.** The City is not responsible for any post-bid cost increases that were foreseeable at the time of the bid based on generally available information (e.g., scheduled tariffs), or that arose due to Contractor's failure to timely procure materials or equipment.

Article 7 - General Construction Provisions

7.1 Permits, Fees, Licenses, Certificates, and Taxes.

(A) **Fees, Licenses, Certificates, and Permits.** Contractor must obtain and pay for all fees, licenses, and certificates required to perform the Work, including a City business tax certificate. Contractor must obtain all permits required to perform the Work. Contractor is not responsible for the fees associated with obtaining permits unless otherwise specified in the Special Conditions or Specifications. Contractor must cooperate with and provide notifications to all government agencies with jurisdiction over the Project, as may be required. Contractor must provide City with copies of all records of permits and permit applications, payment of required fees, and any licenses and certificates required for the Work.

(B) **Taxes.** Contractor must pay for all taxes on labor, material, and equipment, except Federal Excise Tax to the extent that City is exempt from Federal Excise Tax.

7.2 Temporary Facilities.

Contractor must provide, at Contractor's sole expense, any and all temporary facilities for the Project, including an onsite staging area for materials and equipment, a field office, sanitary facilities, utilities, storage, scaffolds, barricades, walkways, and any other temporary structure required to safely perform the Work along with any incidental utility services. The location of all temporary facilities must be approved by the City prior to installation. Temporary facilities must be safe and adequate for the intended use and installed and maintained in accordance with Laws and the Contract Documents. Contractor must fence and screen the Project site and, if applicable, any separate Worksites, including the staging area, and its operation must minimize inconvenience to neighboring properties. Additional provisions pertaining to temporary facilities are set forth in this Article 7 and may also be included in the Specifications or Special Conditions.

(A) **Utilities.** Contractor must install and maintain the power, water, sewer, and all other utilities required for the Project site and performance of the Work, including the piping, wiring, internet and Wi-Fi connections, and any related equipment necessary to maintain the temporary facilities. Contractor may obtain water from the City's water system or from a source other than City's water system, if approved in advance by the Engineer. Before obtaining water from the City's water system, Contractor must obtain a Water Use Permit from the Water Department and rent a hydrant or bridge meter. Contractor is responsible for the cost of all water and all related deposits, permits, and fees. Contractor is prohibited from operating gate valves or fire hydrants on the City's water system. The acquisition of water from the City's water system through un-metered hydrants or other facilities is a violation of Laws. Citations and fines may be levied for violation of these and other utility regulations and may be deducted from payment otherwise due Contractor.

(B) **Removal and Repair.** Contractor must promptly remove all such temporary facilities when they are no longer needed or upon completion of the Work, whichever comes first. Contractor must promptly repair any damage to City's property or to other property caused by the installation, use, or removal of the temporary facilities, and must promptly restore the property to its original or intended condition.

7.3 Noninterference and Site Management.

Contractor must avoid interfering with City's use of its property at or adjacent to the Project site, including use of roadways, entrances, parking areas, walkways, and structures. Contractor must also minimize disruption of access to private property in the Project vicinity. Contractor must coordinate with affected property owners, tenants, and businesses, and maintain some vehicle and pedestrian access to their residences or properties at all times. Temporary access ramps, fencing or

other measures must be provided as needed. Before blocking access to a private driveway or parking lot, Contractor must provide effective notice to the affected parties at least 48 hours in advance of the pending closure and allow them to remove vehicles. Private driveways, residences and parking lots must have access to a roadway during non-Work hours. Property owners, tenants, and businesses must have full access to their driveways during non-Work hours. The Engineer may, at any time, direct or approve of opening completed sections of surfacing, pavement, or structure roadway surface for public use.

(A) **Offsite Acquisition.** Unless otherwise provided by City, Contractor must acquire, use, and dispose of, at its sole expense, any Worksites, licenses, easements, and temporary facilities necessary to access and perform the Work.

(B) **Offsite Staging Area and Field Office.** If additional space beyond the Project site is needed, such as for the staging area or the field office, Contractor may need to make arrangements with the nearby property owner(s) to secure the space and obtain a temporary use permit, in accordance with City Code § 20-52.040. Before using or occupying any property owned by a third party, Contractor must provide City with a copy of the necessary license agreement, easement, or other written authorization from the property owner, together with a written release from the property owner holding City harmless from any related liability, in a form acceptable to the City Attorney.

(C) **Traffic Management.** Contractor must provide traffic management and traffic controls as specified in the Contract Documents, as required by Laws, and as otherwise required to ensure public and worker safety, and to avoid interference with public or private operations or the normal flow of vehicular, bicycle, and pedestrian traffic.

(D) **Railroad Property.** Sonoma-Marín Area Rail Transit (“SMART”) maintains railroad property within the City. Contractor will not interfere with railroad operations or perform Work on or adjacent to railroad property unless Contractor has obtained an encroachment permit from SMART. Contractor is responsible for obtaining an encroachment permit from SMART if necessary for the Work or for Contractor’s traffic control. Contractor will not be entitled to an extension of time or additional compensation to obtain the SMART permit. For any excavation on or affecting railroad property, Contractor must submit Work plans to the City and SMART, if requested by SMART, showing the system to be used to protect the railroad facilities. Contractor will prevent material, equipment, and debris from falling onto railroad property.

(E) **Third Party Material Sourcing and Disposal.** If Contractor intends to procure materials from or dispose of materials on any property owned by a third party, before procuring material or disposing of material, Contractor must provide City with a copy of the agreement between Contractor and the third party authorizing the use of the property and absolving the City from responsibility in connection with the property. Contractor must obtain authorization from the third party to start sourcing or disposing of material on the property. As a condition precedent to Final Completion, Contractor must submit a document to the City, signed by the third party property owner, stating that the Contractor complied with its agreement with the third party.

7.4 Signs. No signs may be displayed on or about City’s property, except signage which is required by Laws or by the Contract Documents, without City’s prior written approval as to size, design, and location.

7.5 Project Site and Nearby Property Protections.

(A) **General.** Contractor is responsible at all times, on a 24-hour basis and at its sole cost, for protecting the Work, the Project site, and the materials and equipment to be

incorporated into the Work, until the City has accepted the Project, excluding any exceptions to acceptance, if any. Except as specifically authorized by City, Contractor must confine its operations to the area of the Project site indicated in the Plans and Specifications. Contractor is liable for any damage caused by Contractor or its Subcontractors to the Work, City's property, the property of adjacent or nearby property owners and the work or personal property of other contractors working for City, including damage related to Contractor's failure to adequately secure the Work or any Worksite.

(1) Subject to City's approval, Contractor will provide and install safeguards to protect the Work; any Worksite, including the Project site; City's real or personal property and the real or personal property of adjacent or nearby property owners, including plant and tree protections.

(2) City wastewater systems may not be interrupted. If the Work disrupts existing sewer facilities, Contractor must immediately notify City and establish a plan, subject to City's approval, to convey the sewage in closed conduits back into the sanitary sewer system. Sewage must not be permitted to flow in trenches or be covered by backfill.

(3) Contractor must remove with due care, and store at City's request, any objects or material from the Project site that City will salvage or reuse at another location.

(4) If directed by Engineer, Contractor must promptly repair or replace any property damage, as specified by the Engineer. However, acting in its sole discretion, City may elect to have the property damage remedied otherwise, and may deduct the cost to repair or replace the damaged property from payment otherwise due to Contractor.

(5) Contractor will not permit any structure or infrastructure to be loaded in a manner that will damage or endanger the integrity of the structure or infrastructure.

(6) All valves, hydrants, and other appurtenances of the City's water system that are the property of City and removed by Contractor in the performance of the Work must be delivered to City's Municipal Services Center (55 Stony Point Road) as a condition precedent to Final Completion, unless Contractor has obtained specific written approval from the Water Department to dispose of the items.

(B) **Securing Project Site.** After completion of Work each day, Contractor must secure the Project site and, to the extent feasible, make the area reasonably accessible to the public unless City approves otherwise. All excess materials and equipment not protected by approved traffic control devices must be relocated to the staging area or demobilized. Trench spoils must be hauled off the Project site daily and open excavations must be protected with steel plates. Contractor and Subcontractor personnel may not occupy or use the Project site for any purpose during non-Work hours, except as may be provided in the Contract Documents or pursuant to prior written authorization from City.

(C) **Unforeseen Conditions.** If Contractor encounters facilities, utilities, or other unknown conditions not shown on or reasonably inferable from the Plans or apparent from inspection of the Project site, Contractor must immediately notify the City and promptly submit a Request for Information to obtain further directions from the Engineer. Contractor must avoid taking any action which could cause damage to the facilities or utilities pending further direction from the Engineer. The Engineer's written response will be final and binding on Contractor. If the Engineer's subsequent direction to Contractor

affects Contractor's cost or time to perform the Work, Contractor may submit a Change Order request as set forth in Article 6 above.

(D) **Support; Adjacent Properties.** Contractor must provide, install, and maintain all shoring, bracing, and underpinning necessary to provide support to City's property and adjacent properties and improvements thereon. Contractor must provide notifications to adjacent property owners as may be required by Laws. See also, Section 7.15, Trenching of Five Feet or More.

(E) **Notification of Property Damage.** Contractor must immediately notify the City of damage to any real or personal property resulting from Work on the Project, including damage to City's water system. Contractor must immediately provide a written report to City of any such property damage in excess of \$500 (based on estimated cost to repair or replace) within 24 hours of the occurrence. The written report must include: (1) the location and nature of the damage, and the owner of the property, if known; (2) the name and address of each employee of Contractor or any Subcontractor involved in the damage; (3) a detailed description of the incident, including precise location, time, and names and contact information for known witnesses; and (4) a police or first responder report, if applicable. If Contractor is required to file an accident report with another government agency, Contractor will provide a copy of the report to City.

(F) **Damage to City's Water System.** Contractor must promptly repair and remediate, at its sole expense, any damage caused by Contractor to the City's water system, in a manner satisfactory to the Water Department. This includes damage to property and facilities resulting from Contractor's failure to make a written request for a markout or starting Work without providing the Water Department a reasonable opportunity to mark facilities; Contractor's destruction of markouts; Contractor's failure to perform hand digging or probing for utilities near markouts; and Contractor's failure to use reasonable caution, regardless of whether markouts are present or clear. Reasonable caution includes any efforts to avoid damaging existing facilities, such as when excavating in the vicinity of water mains. All repairs must be witnessed, inspected, and approved by the Water Department prior to backfilling the excavation. If backfilling occurs prior to inspection and approval, City may require re-excavation by Contractor, at Contractor's sole expense. Acting in its sole discretion, City may elect to have the damage remedied otherwise, including by its own forces, and may deduct the cost thereof from payment otherwise due to Contractor. If City elects to remedy damage to the water system with its own forces, the cost thereof will be in accordance with the emergency repair rate schedule of the Water Department.

7.6 Materials and Equipment.

(A) **General.** Unless otherwise specified, all materials and equipment required for the Work must be new, free from defects, and of the best grade for the intended purpose, and furnished in sufficient quantities to ensure the proper and expeditious performance of the Work. All materials, equipment, and tools furnished or installed by Contractor must meet or exceed applicable Occupational Safety and Health Administration ("OSHA") standards. Contractor must employ measures to preserve the specified quality and fitness of the materials and equipment. Unless otherwise specified, all materials and equipment required for the Work are deemed to include all components required for complete installation and intended operation and must be installed in accordance with the manufacturer's recommendations or instructions. Contractor is responsible for timely procurement of materials and equipment to avoid delay in Project completion and to avoid incurring additional costs due to known market volatility (e.g., scheduled tariffs). Contractor is responsible for all shipping, handling, and storage costs associated with the materials and equipment required for the Work. Contractor is responsible for providing security and protecting the Work and all of the required materials, supplies, tools and

equipment at Contractor's sole cost until City has formally accepted the Project as set forth in Section 11.1, Final Completion. Contractor will not assign, sell, mortgage, or hypothecate any materials or equipment for the Project, or remove any materials or equipment that have been installed or delivered.

(B) **City-Provided.** If the Work includes installation of materials or equipment to be provided by City, Contractor is solely responsible for the proper examination, handling, storage, and installation in accordance with the Contract Documents. Contractor must notify City of any defects discovered in City-provided materials or equipment, sufficiently in advance of scheduled use or installation to afford adequate time to procure replacement materials or equipment as needed. Contractor is solely responsible for any loss of or damage to such items which occurs while the items are in Contractor's custody and control, the cost of which may be offset from the Contract Price and deducted from any payment(s) due to Contractor.

(C) **Intellectual Property Rights.** Contractor must, at its sole expense, obtain any authorization or license required for use of patented or copyright-protected materials, equipment, devices, or processes that are incorporated into the Work. Upon request, Contractor must provide proof of any such authorization or license to City. Contractor's indemnity obligations in Article 4 apply to any claimed violation of intellectual property rights.

(D) **Equipment Labeling and Information.** Contractor must label each piece of equipment, except hand tools, with the following information, at a clearly visible location on each piece of equipment using a stencil or stamp: an identifying number; and for compacting equipment, its make, model number, and empty gross weight that is either the manufacturer's rated weight or the scale weight, or for meters and on the load-receiving element and indicators of each scale, the make, model, serial number, and manufacturer's rated capacity. Upon request, Contractor must submit the manufacturer's information that designates portable vehicle scale capacities.

(E) **Measuring Devices.** For proportioning materials, Contractor must use measuring devices, material plant controllers, and undersupports that comply with 4 CCR § 4000 et seq. and Business and Professions Code § 12001 et seq. Measuring devices must be tested and approved under California Test 109 in the Engineer's presence by any of the following: County Sealer of Weights and Measures; Scale Service Agency; or Official of the Division of Measurement Standards. The indicator over-travel must be at least one-third of the loading travel. The indicators must be enclosed against moisture and dust. Contractor must group the measuring system dials such that the smallest increment for each indicator can be read from the location at which proportioning is controlled.

7.7 Substitutions.

(A) **"Or Equal."** Any Specification designating a material, product, or thing (collectively, "item") or service by specific brand or trade name, followed by the words "or equal," is intended only to indicate the quality and type of item or service desired, and Contractor may request use of any equal item or service. Unless otherwise stated in the Specifications, any reference to a specific brand or trade name for an item or service that is used solely for the purpose of describing the type of item or service desired, will be deemed to be followed by the words "or equal." A substitution will only be approved if it is a true "equal" item or service in every aspect of design, function, and quality, as determined by City, including dimensions, weight, maintenance requirements, durability, fit with other elements, and schedule impacts.

(B) **Request for Substitution.** A post-award request for substitution of an item or service must be submitted in writing to the Engineer for approval at least four weeks in advance of Contractor's proposed order date and sufficiently in advance of the time needed to avoid delay of the Work. A request for substitution must contain a description of any proposed changes to the Work required to accommodate the substitution and drawings and details showing all such changes.

(C) **Substantiation.** Any available data substantiating the proposed substitute as an equal item or service must be submitted with the written request for substitution. Contractor's failure to timely provide all necessary substantiation, including any required test results as soon as they are available, is grounds for rejection of the proposed substitution, without further review.

(D) **Burden of Proving Equality.** Contractor has the burden of proving the equality of the proposed substitution at Contractor's sole cost. City has sole discretion to determine whether a proposed substitution is equal, and City's determination is final.

(E) **Approval or Rejection.** If the proposed substitution is approved, Contractor is solely responsible for any additional costs or time associated with the substituted item or service. If the proposed substitution is rejected, Contractor must, without delay, install the item or use the service as specified by City.

(F) **Contractor's Obligations.** City's approval of a proposed substitution will not relieve Contractor from any of its obligations under the Contract Documents. In the event Contractor makes an unauthorized substitution, Contractor will be solely responsible for all resulting cost impacts, including the cost of removal and replacement and the impact to other design elements.

7.8 Testing and Inspection.

(A) **General.** All materials, equipment, and workmanship used in the Work are subject to inspection and testing by City at all times and at all locations during construction and/or fabrication, including at any Worksite, shops, and yards. All manufacturers' application or installation instructions must be provided to the Engineer at least ten days prior to the first such application or installation. Contractor must, at all times, make the Work available for testing or inspection. City may record, including by photograph or video, all materials, equipment, and workmanship used in the Work. Neither City's inspection or testing of Work, nor its failure to do so, operate to waive or limit Contractor's duty to complete the Work in accordance with the Contract Documents.

(B) **Scheduling and Notification.** Contractor must cooperate with City in coordinating the inspections and testing. Contractor must submit samples of materials, at Contractor's expense, and schedule all tests required by the Contract Documents in time to avoid any delay to the progress of the Work. Contractor will coordinate directly with the Engineer when scheduling inspections or tests, unless otherwise specified in the Special Conditions or Specifications. Contractor must notify the Engineer no later than noon of the Working Day before any inspection or testing and must provide timely notice to the other necessary parties as specified in the Contract Documents. If Contractor schedules an inspection or test beyond regular Work hours, or on a Saturday, Sunday, or recognized City holiday, Contractor must notify the Engineer at least two Working Days in advance for approval. If approved, Contractor must reimburse City for the cost of the overtime inspection or testing. Such costs, including the City's hourly costs for required personnel, may be deducted from payments otherwise due to Contractor. Contractor will not coordinate directly with, or provide direction to, the Materials Lab.

(C) **Responsibility for Costs.** City will bear the initial cost of inspection and testing to be performed by independent consultants retained by City, subject to the following exceptions:

- (1) Contractor will be responsible for the costs of any subsequent inspections or tests which are required to substantiate compliance with the Contract Documents, and any associated remediation costs.
- (2) Contractor will be responsible for inspection costs, at City's hourly rates, for inspection time lost because the Work is not ready, or Contractor fails to appear for a scheduled inspection.
- (3) If any portion of the Work that is subject to inspection or testing is covered or concealed by Contractor prior to the inspection or testing, Contractor will bear the cost of making that portion of the Work available for the inspection or testing required by the Contract Documents, and any associated repair or remediation costs.
- (4) Contractor is responsible for properly shoring all compaction test sites deeper than five feet below grade, as required under Section 7.15 below.
- (5) Any Work or material that is defective or fails to comply with the requirements of the Contract Documents must be promptly repaired, removed, replaced, or corrected by Contractor, at Contractor's sole expense, even if that Work or material was previously inspected or included in a progress payment.

(D) **Contractor's Obligations.** Contractor is solely responsible for any delay occasioned by remediation of defective or noncompliant Work or material. Inspection or testing of the Work does not in any way relieve Contractor of its obligations to perform the Work as specified. Contractor has an independent duty to test and inspect its Work and perform quality control activities to ensure that the Work and the materials, products, and equipment incorporated into the Work comply with the Contract Documents. City is not responsible for any testing performed by Contractor or a third-party retained by Contractor. Contractor will submit its testing methodology to City for review and acceptance. Any Work done without the inspection(s) or testing required by the Contract Documents will be subject to rejection by City.

(E) **Distant Locations.** If required off-site testing or inspection must be conducted at a location more than 100 miles from the Project site, Contractor is solely responsible for the additional travel costs required for testing and/or inspection at such locations.

(F) **Final Inspection.** The provisions of this Section 7.8 also apply to final inspection under Article 11, Completion and Warranty Provisions.

7.9 Project Site Conditions and Maintenance. Contractor must at all times, on a 24-hour basis and at its sole cost, maintain the Project site and staging and storage areas in clean, neat, and sanitary condition and in compliance with all Laws pertaining to safety, air quality, and dust control. Adequate toilets must be provided and properly maintained and serviced for all workers on the Project site, and located in a suitably secluded area, subject to City's prior approval. Contractor must also, on a daily basis and at its sole cost, remove and properly dispose of the debris and waste materials from the Project site.

(A) **Air Emissions Control.** Contractor must not discharge smoke or other air contaminants into the atmosphere in violation of any Laws. Contractor must comply with all Laws, including the California Air Resources Board's In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.).

(B) **Dust and Debris.** Contractor must minimize and confine dust and debris resulting from the Work. Contractor must abate dust nuisance by cleaning, sweeping, and immediately sprinkling with water excavated areas of dirt or other materials prone to cause dust, and within one hour after the Engineer notifies Contractor that an airborne nuisance exists. The Engineer may direct that Contractor provide an approved water-spraying truck for this purpose. If water is used for dust control, Contractor will only use the minimum necessary. Contractor must take all necessary steps to keep wastewater out of streets, gutters, or storm drains. See Section 7.19, Environmental Control. If City determines that the dust control is not adequate, City may have the work done by others and deduct the cost from payment otherwise due to Contractor. Contractor will immediately remove any excess excavated material from the Project site and any dirt deposited on public streets.

(C) **Clean up.** Before discontinuing Work in an area, Contractor must clean the area and remove all debris and waste along with the construction equipment, tools, machinery, and surplus materials.

(1) Except as otherwise specified, all excess Project materials, and the materials removed from existing improvements on the Project site with no salvage value or intended reuse by City, will be Contractor's property.

(2) Hauling trucks and other vehicles leaving the Project site must be cleaned of exterior mud or dirt before traveling on City streets. Materials and loose debris must be delivered and loaded to prevent dropping materials or debris. Contractor must immediately remove spillage from hauling on any publicly traveled way. Streets affected by Work on the Project must be kept clean by street sweeping.

(D) **Disposal.** Contractor must dispose of all Project debris and waste materials in a safe and legal manner. Contractor may not burn or bury waste materials on the Project site. Contractor will not allow any dirt, refuse, excavated material, surplus concrete or mortar, or any associated washings, to be disposed of onto streets, into manholes or into the storm drain system.

(E) **Completion.** At the completion of the Work, Contractor must remove from the Project site all of its equipment, tools, surplus materials, waste materials and debris, presenting a clean and neat appearance. Before demobilizing from the Project site, Contractor must ensure that all surfaces are cleaned, sealed, waxed, or finished as applicable, and that all marks, stains, paint splatters, and the like have been properly removed from the completed Work and the surrounding areas. Contractor must ensure that all parts of the construction are properly joined with the previously existing and adjacent improvements and conditions. Contractor must provide all cutting, fitting and patching needed to accomplish that requirement. Contractor must also repair or replace, in accordance with City Standards, all existing improvements that are damaged or removed during the Work, both on and off the Project site, including curbs, sidewalks, driveways, fences, gates, signs, landscaping, drainage ditches, irrigation systems, utilities, street surfaces and structures. Repairs and replacements must be at least equal to the previously existing improvements, and the condition, finish and dimensions must match the previously existing improvements. Concrete surface treatment and score marks must match adjacent existing concrete improvements. Contractor must restore to original condition all property or items that are not designated for alteration under the Contract Documents and leave each Worksite clean and ready for occupancy or use by City.

(F) **Non-Compliance.** If Contractor fails to comply with its maintenance and cleanup obligations or any City clean up order, City may, acting in its sole discretion, elect to

suspend the Work until the condition(s) is corrected with no increase in the Contract Time or Contract Price, or undertake appropriate cleanup measures without further notice and deduct the cost from any amounts due or to become due to Contractor.

7.10 Instructions and Manuals. Contractor must provide to City three copies each of all instructions and manuals required by the Contract Documents, unless otherwise specified. These must be complete as to drawings, details, parts lists, performance data, and other information that may be required for City to easily maintain and service the materials and equipment installed for this Project.

(A) **Submittal Requirements.** The instructions and manuals, along with any required guarantees, must be delivered to City for review prior to requesting final inspection pursuant to Section 11.1(A), unless otherwise specified.

(B) **Training.** Contractor or its Subcontractors must train City's personnel in the operation and maintenance of any complex equipment or systems as a condition precedent to Final Completion, if required in the Contract Documents.

7.11 As-built Drawings. Contractor and its Subcontractors must prepare and maintain at the Project site a detailed, complete and accurate as-built set of the Plans which will be used solely for the purpose of recording changes made in any portion of the original Plans in order to create accurate record drawings at the end of the Project.

(A) **Duty to Update.** The as-built drawings must be updated as changes occur, on a daily basis if necessary. City may withhold the estimated cost for City to have the as-built drawings prepared from payments otherwise due to Contractor, until the as-built drawings are brought up to date to the satisfaction of City. Actual locations to scale must be identified on the as-built drawings for all runs of mechanical and electrical work, including all site utilities installed underground, in walls, floors, or otherwise concealed. Deviations from the original Plans must be shown in detail. The exact location of all main runs, whether piping, conduit, ductwork or drain lines, must be shown by dimension and elevation. The location of all buried pipelines, appurtenances, or other improvements must be represented by coordinates and by the horizontal distance from visible above-ground improvements.

(B) **Final Completion.** Contractor must verify that all changes in the Work are depicted in the as-built drawings and must deliver the complete set of as-built drawings to the Engineer for review and acceptance as a condition precedent to Final Completion and Final Payment.

7.12 Existing Utilities.

(A) **General.** The Work may be performed in developed, urban areas with existing utilities, both above and below ground, including utilities identified in the Contract Documents or in other informational documents or records. Contractor must take due care to locate identified or reasonably identifiable utilities before proceeding with trenching, excavation, or any other activity that could damage or disrupt existing utilities. This may include excavation with small equipment, potholing, or hand excavation, and, if practical, using white paint or other suitable markings to delineate the area to be excavated. Except as otherwise provided herein, Contractor will be responsible for costs resulting from damage to identified or reasonably identifiable utilities due to Contractor's negligence or failure to comply with the Contract Documents, including the requirements in this Article 7.

(B) **Unidentified Utilities.** Pursuant to Government Code § 4215, if, during the performance of the Work, Contractor discovers utility facilities not identified by City in the

Contract Documents, Contractor must immediately provide written notice to City and the utility. City assumes responsibility for the timely removal, relocation, or protection of existing main or trunkline utility facilities located on the Project site if those utilities are not identified in the Contract Documents. Contractor will be compensated in accordance with the provisions of the Contract Documents for the costs of locating, repairing damage not due to Contractor's failure to exercise reasonable care, and removing or relocating utility facilities not indicated in the Plans or Specifications with reasonable accuracy, and for equipment on the Project necessarily idled during such work. Contractor will not be assessed liquidated damages for delay in completion of the Work, to the extent the delay was caused by City's failure to provide for removal or relocation of the utility facilities.

(C) **Alteration or Relocation of Utilities.** If Contractor wishes to alter or relocate utilities for Contractor's convenience, and not due to a conflict that requires alteration or relocation, Contractor will be solely responsible for the time and cost required for such alteration or relocation, which may not proceed except as specified by the prior written authorization of the utility owner. Any damage to utilities or improvements caused by Contractor must be repaired by Contractor at its sole expense and to the full satisfaction of the utility owner and Engineer. Contractor will not be entitled to an extension of the Contract Time in connection with any such Work.

7.13 Notice of Excavation. Contractor must comply with all applicable requirements in Government Code § 4216 et seq., which are incorporated by reference herein, including, but not limited to, the requirement to notify Underground Service Alert ("USA") of a proposed excavation and provide USA all relevant data relating to the excavation, at least two Working Days before starting any excavation Work.

7.14 Trenching and Excavations of Four Feet or More. As required by Public Contract Code § 7104, if the Work includes digging trenches or other excavations that extend deeper than four feet below the surface, the provisions in this Section apply to the Work and the Project.

(A) **Duty to Notify.** Contractor must promptly, and before the following conditions are disturbed, provide written notice to City if Contractor finds any of the following conditions:

(1) Material that Contractor believes may be a hazardous waste, as defined in § 25117 of the Health and Safety Code, that is required to be removed to a Class I, Class II, or Class III disposal site in accordance with the provisions of existing Laws;

(2) Subsurface or latent physical conditions at the Project site differing from those indicated by information about the Project site made available to bidders prior to the deadline for submitting bids; or

(3) Unknown physical conditions at the Project site of any unusual nature, materially different from those ordinarily encountered and generally recognized as inherent in work of the character required by the Contract Documents.

(B) **City Investigation.** City will promptly investigate the conditions and if City finds that the conditions materially differ from those indicated, apparent, or reasonably inferred from information about the Project site made available to bidders, or involve hazardous waste, and cause a decrease or increase in Contractor's cost of, or the time required for, performance of any part of the Work, City will issue a Change Order.

(C) **Disputes.** In the event that a dispute arises between City and Contractor regarding any of the conditions specified in subsection (B) above, or the terms of a

Change Order issued by City, Contractor will not be excused from completing the Work within the Contract Time but must proceed with all Work to be performed under the Contract. Contractor will retain any and all rights provided either by the Contract or by Laws which pertain to the resolution of disputes between Contractor and City.

7.15 Trenching of Five Feet or More. As required by Labor Code § 6705, if the Contract Price exceeds \$25,000 and the Work includes the excavation of any trench or trenches of five feet or more in depth, a detailed plan must be submitted to City for acceptance in advance of the excavation. The detailed plan must show the design of shoring, bracing, sloping, or other provisions to be made for worker protection from the hazard of caving ground during the excavation. If the plan varies from the shoring system standards or if the trench is expected to exceed 20 feet, the plan must be prepared by a California registered civil or structural engineer. Use of a shoring, sloping, or protective system less effective than that required by the Construction Safety Orders is prohibited.

7.16 New Utility Connections. Except as otherwise specified, City will pay connection charges and meter costs for new permanent utilities required by the Contract Documents, if any. Contractor must notify City sufficiently in advance of the time needed to request service from each utility provider so that connections and services are initiated in accordance with the Project schedule.

7.17 Lines and Grades. Contractor is required to use any benchmark provided by the Engineer. Unless otherwise specified in the Contract Documents, Contractor must provide all lines and grades required to execute the Work. Contractor must also provide, preserve, and replace if necessary, all construction stakes required for the Project unless otherwise specified in the Special Conditions. All stakes or marks must be set by a California licensed land surveyor or a California registered civil engineer. All survey monuments that may be disturbed or destroyed during performance of the Work must be tied-out by Contractor prior to the start of Work. Contractor must also file a Pre-Construction Corner Record, prepared by a California licensed land surveyor, with the County of Sonoma Surveyor's Office, prior to the start of Work. Contractor must notify the Engineer of any discrepancies found between Contractor's staking and grading and information provided by the Contract Documents. Contractor must replace any survey monuments that are disturbed, damaged, or destroyed during the Work and must file a Post-Construction Corner Record, prepared by a licensed land surveyor as required by law, with the County of Sonoma Surveyor's Office. Upon completion, all Work must conform to the lines, elevations, and grades shown in the Plans, including any changes directed by a Change Order.

7.18 Historic or Archeological Items.

(A) **Contractor's Obligations.** Contractor must ensure that all persons performing Work at the Project site are required to immediately notify the Project Manager, upon discovery of any potential historic or archeological items, including historic or prehistoric ruins, a burial ground, archaeological or vertebrate paleontological site, including fossilized footprints or other archeological, paleontological or historical feature on the Project site (collectively, "Historic or Archeological Items").

(B) **Discovery; Cessation of Work.** Upon discovery of any potential Historic or Archeological Items, Work must be stopped within an 85-foot radius of the find and may not resume until authorized in writing by City. If required by City, Contractor must assist in protecting or recovering the Historic or Archeological Items, with any such assistance to be compensated as Extra Work on a time and materials basis under Article 6, Contract Modification. At City's discretion, a suspension of Work required due to discovery of

Historic or Archeological Items may be treated as Excusable Delay pursuant to Article 5, or as a suspension for convenience under Article 13.

7.19 Environmental Control. Contractor must not pollute any drainage course or its tributary inlets with fuels, oils, bitumens, acids, insecticides, herbicides or other harmful materials. Contractor must prevent the release of any hazardous material or hazardous waste into the soil or groundwater and prevent the unlawful discharge of pollutants into City's storm drain system and watercourses as required below. Contractor and its Subcontractors must at all times in the performance of the Work comply with all Laws concerning pollution of waterways.

(A) **Stormwater Permit.** Contractor must comply with all applicable conditions of the State Water Resources Control Board National Pollutant Discharge Elimination System General Permit for Waste Discharge Requirements for Discharges of Stormwater Runoff Associated with Construction Activity ("Stormwater Permit").

(B) **Contractor's Obligations.** If required for the Work, a copy of the Stormwater Permit is on file in City's principal administrative offices, and Contractor must comply with it without adjustment of the Contract Price or the Contract Time. Contractor must timely and completely submit required reports and monitoring information required by the conditions of the Stormwater Permit. Contractor also must comply with all other Laws governing discharge of stormwater, including applicable municipal stormwater management programs.

(C) **Pest Management.** Contractor must comply with the City-Wide Integrated Pest Management ("IPM") Policy, Policy Number 000-74, which is available at <https://www.srcity.org/DocumentCenter/View/41774/Integrated-Pest-Management-Policy-030524>. Contractor will not use pesticides or herbicides in the Work without City's prior written approval. Contractor may submit a written request for use of pesticides or herbicides to the Engineer. Contractor's written request must include the location proposed for use, the proposed date and time of application, product specifications, and all other information required by the IPM policy. City reserves the right, in its sole discretion, to approve or reject the use of pesticides or herbicides, for any reason.

7.20 Noise Control. Contractor must comply with all applicable noise control Laws. Noise control requirements apply to all equipment used for the Work or related to the Work, including trucks, transit mixers or transient equipment that may or may not be owned by Contractor.

7.21 Mined Materials. Pursuant to Public Contract Code § 20676, Contractor will not purchase any sand, gravel, or other minerals for the Work from an operation subject to the Surface Mining and Reclamation Act of 1975 (Public Resources Code § 2710 et seq.) unless Contractor certifies, under penalty of perjury, that the minerals are from a mining operation included on the AB 3098 List, which may be accessed online at: <https://www.conservation.ca.gov/smgb/Pages/AB-3098-List.aspx>.

7.22 Water Department Notification. If Contractor requires the services of the Water Department in connection with the Work, Contractor must request such services at least two Working Days in advance of the time the services are needed. If the requested services require Water Department forces for more than eight hours or an extensive number of City-provided parts, Contractor must request services at least seven calendar days in advance of the time the services are needed.

(A) **Service Shut Down.** Contractor must minimize disruption of utility service to the greatest extent practicable. Contractor must coordinate any shut down or disruption of utility service with the Engineer, Water Department, and affected utility customers. If it is

necessary to shut down or disrupt utility service to any customer of the Water Department, Contractor must request the services of the Water Department an additional three Working Days in advance of the time such services are needed, for a total of five Working Days advance notification for a standard service request, to allow affected customers a minimum of three days' advance notice. If Contractor fails to keep field appointments, Contractor will be billed for scheduled Water Department crew standby time and for costs incurred by the Water Department for re-notification of customers.

(B) **Water Department Scheduling.** Water Department crews work a 9/80 schedule. This schedule may prohibit shutdowns for tie-ins on alternating Fridays. After-hours work or weekend work may be performed if authorized in advance by the Engineer. Requests by Contractor for after-hours or weekend work are to be avoided when possible. Contractor will be responsible for any overtime costs incurred by City for such work and the cost thereof may be deducted from payment otherwise due Contractor.

7.23 Public Safety and Traffic Control. Contractor must undertake all required and appropriate measures to ensure public safety during construction of the Project, in accordance with Laws, including, but not limited to, the Americans with Disabilities Act of 1990 (42 U.S.C. § 12101 et seq.). Contractor will ensure the safe passage of pedestrians around the Project site at all times. If Work is within a City-owned right-of-way, Contractor will ensure the safe passage of public traffic through the Project site at all times, consistent with the requirements of City Code Chapter 13-04. Contractor is solely responsible for the costs of all public safety and traffic control measures.

(A) **Warning Devices.** Contractor must furnish, install, and maintain, at its sole expense, all fences, barricades, signs, lights, and other devices necessary to prevent accidents, injuries, death, and property damage. All such devices must conform to the requirements of the current edition of the California Manual on Uniform Traffic Control Devices ("CA MUTCD") and the directions of the Engineer. Contractor's warning and safety devices will not obscure the visibility of or conflict with existing signs and traffic control devices. Contractor may be required to cover certain signs which regulate or direct public traffic to roadways that are not open to traffic, as directed by the Engineer.

(B) **Flaggers.** Contractor must also furnish, at Contractor's sole expense, trained flaggers as necessary to provide adequate warning to the public of construction conditions that may impact pedestrian or vehicular traffic.

(C) **Project Signage.** Unless otherwise specified in the Special Conditions, Contractor must install and maintain Project identification signs at each boundary of the Project site or as directed by the Engineer. Contractor must install the signs two weeks prior to the start of Work at the Project site, using sign panels furnished by City. To mount sign panels, Contractor must furnish and install 4" X 4" posts or mount by other appropriate methods as approved by the Engineer. Upon completion of the Project, Contractor will remove Project identification signs, in a timely manner, and return the City-furnished sign panels to the City Corporation Yard at 55 Stony Point Road.

(D) **Road Closure Signage.** If the Work requires road closures, Contractor must furnish and install advance notice signs for road closures at each boundary of the Project site. Panel construction and lettering are subject to advance approval of the Engineer. Contractor must install the signs two weeks prior to the start of Work at the Project site. The signs must remain in place for the duration of the road closure and must be removed by Contractor when no longer necessary for the Work.

(E) **Emergency Response Agencies.** Contractor is responsible for notifying emergency response agencies operating in the jurisdiction of the Worksite(s) of obstructions to roads resulting from Contractor's Work.

(F) **Additional Devices.** City reserves the right to require additional warning or safety devices for the Project at the Contractor's sole expense, but no actions by City to add to or improve signage or any other public safety requirements will waive or limit Contractor's duties under the Contract Documents.

(G) **Compliance.** If Contractor fails or refuses to comply with the requirements of this Section, the Engineer may take immediate action to protect the public, including, but not limited to, furnishing the required safety measures at Contractor's expense or suspending the Work, in addition to all other remedies available to City. Any such remedial costs incurred by City may be deducted from payment otherwise due to Contractor as specified in Section 8.3, Adjustment of Payment Application. If there are insufficient Contract funds remaining to cover the remedial costs, City is entitled to recover the balance from Contractor or its performance bond surety.

Article 8 - Payment

8.1 Schedule of Values. Prior to submitting its first application for payment, Contractor must prepare and submit to the Project Manager a schedule of values apportioned to the various divisions and phases of the Work, including mobilization and demobilization. If a Bid Schedule was submitted with Contractor's bid, the amounts in the schedule of values must be consistent with the Bid Schedule. Each line item contained in the schedule of values must be assigned a value such that the total of all items equals the Contract Price. The items must be sufficiently detailed to enable accurate evaluation of the percentage of completion claimed in each application for payment, and the assigned value consistent with any itemized or unit pricing submitted with Contractor's bid.

(A) **Measurements for Unit Price Work.** Materials and items of Work to be paid for on the basis of unit pricing will be measured according to the methods specified in the Contract Documents.

(B) **Deleted or Reduced Work.** Contractor will not be compensated for Work that City has deleted or reduced in scope, except for any labor, material, or equipment costs for such Work that Contractor reasonably incurred before Contractor learned that the Work could be deleted or reduced. Contractor will only be compensated for those actual, direct and documented costs incurred, and will not be entitled to any mark up for overhead or lost profits.

8.2 Progress Payments. Following the last day of each month, or as otherwise required by the Special Conditions or Specifications, Contractor will submit to the Project Manager a monthly application for payment for Work performed during the preceding month based on the estimated value of the Work performed during that preceding month.

(A) **Application for Payment.** Each application for payment must be itemized to include labor, materials, and equipment incorporated into the Work, and materials and equipment delivered to the Project site, as well as authorized and approved Change Orders. Each payment application must be supported by the unit prices submitted with Contractor's Bid Schedule and/or schedule of values and any other substantiating data required by the Contract Documents.

(B) **Payment of Undisputed Amounts.** City will pay the undisputed amount due within 30 days after Contractor has submitted a complete and accurate payment application, subject to Public Contract Code § 20104.50. City will deduct a percentage from each progress payment as retention, as set forth in Section 8.5, below, and may deduct or withhold additional amounts as set forth in Section 8.3, below.

8.3 Adjustment of Payment Application. City may adjust or reject the amount requested in a payment application, including application for Final Payment, in whole or in part, if the amount requested is disputed or unsubstantiated. Contractor will be notified in writing of the basis for the modification to the amount requested. City may also deduct or withhold from payment otherwise due based upon any of the circumstances and amounts listed below. Sums withheld from payment otherwise due will be released when the basis for that withholding has been remedied and no longer exists.

(A) For Contractor's unexcused failure to perform the Work as required by the Contract Documents, including correction or completion of punch list items, City may withhold or deduct an amount based on the City's estimated cost to correct or complete the Work.

(B) For loss or damage caused by Contractor or its Subcontractors arising out of or relating to performance of the Work or any failure to protect the Project site, City may

deduct an amount based on the estimated cost to repair or replace. (See, e.g., Sections 7.5, 7.6, 7.9, and 7.12.)

(C) For Contractor's failure to pay its Subcontractors and suppliers when payment is due, City may withhold an amount equal to the total of past due payments and may opt to pay that amount separately via joint check pursuant to Section 8.6(B), Joint Checks.

(D) For Contractor's failure to timely correct rejected, nonconforming, or defective Work, City may withhold or deduct an amount based on the City's estimated cost to correct or complete the Work.

(E) For any unreleased stop notice, City may withhold 125% of the amount claimed.

(F) For Contractor's failure to submit any required schedule or schedule update in the manner specified or within the time specified in the Contract Documents, City may withhold an amount equal to five percent of the total amount requested until Contractor complies with its schedule submittal obligations.

(G) For Contractor's failure to maintain or submit as-built documents in the manner specified or within the time specified in the Contract Documents, City may withhold or deduct an amount based on the City's cost to prepare the as-builts.

(H) For Work performed without Shop Drawings that have been accepted by City, when accepted Shop Drawings are required before proceeding with the Work, City may deduct an amount based on the estimated cost to correct unsatisfactory Work or diminution in value.

(I) For fines, payments, or penalties assessed under the Labor Code, City may deduct from payments due to Contractor as required by Laws and as directed by the Division of Labor Standards Enforcement.

(J) For fines, charges, or penalties assessed against the City due to Contractor's acts or omissions, including violations of Laws, City may withhold or deduct such amounts from payment otherwise due to Contractor.

(K) For any other costs or charges that may be withheld or deducted from payments to Contractor, as provided in the Contract Documents, including liquidated damages, City may withhold or deduct such amounts from payment otherwise due to Contractor.

8.4 Early Occupancy. Neither City's payment of progress payments nor its partial or full use or occupancy of the Project constitutes acceptance of any part of the Work.

8.5 Retention. City will retain five percent of the full amount due on each progress payment (i.e., the amount due before any withholding or deductions pursuant to Section 8.3, Adjustment of Payment Application), or the percentage stated in the Notice Inviting Bids, whichever is greater, as retention to ensure full and satisfactory performance of the Work. Contractor is not entitled to any reduction in the rate of withholding at any time, nor to release of any retention before 35 days following City's recordation of the Notice of Completion, subject to the terms of Public Contract Code § 7107.

(A) **Substitution of Securities.** As provided by Public Contract Code § 22300, Contractor may request in writing that it be allowed, at its sole expense, to substitute securities for the retention withheld by City. Any escrow agreement entered into pursuant to this provision must fully comply with Public Contract Code § 22300 and will be subject to approval as to form by City's legal counsel. If City exercises its right to draw upon such securities in the event of default pursuant to section (7) of the statutory Escrow

Agreement for Security Deposits in Lieu of Retention, pursuant to subdivision (g) of Public Contract Code § 22300 (“Escrow Agreement”), and if Contractor disputes that it is in default, its sole remedy is to comply with the dispute resolution procedures in Article 12 and the provisions therein. It is agreed that for purposes of this paragraph, an event of default includes City’s rights pursuant to these Contract Documents to withhold or deduct sums from retention, including withholding or deduction for liquidated damages, incomplete or defective Work, stop payment notices, or back charges. It is further agreed that if any individual authorized to give or receive written notice on behalf of a party pursuant to section (10) of the Escrow Agreement are unavailable to give or receive notice on behalf of that party due to separation from employment, retirement, death, or other circumstances, the successor or delegee of the named individual is deemed to be the individual authorized to give or receive notice pursuant to section (10) of the Escrow Agreement.

(B) **Release of Undisputed Retention.** All undisputed retention, less any amounts that may be assessed as liquidated damages, retained for stop notices, or otherwise withheld pursuant to Section 8.3, Adjustment of Payment Application, will be released as Final Payment to Contractor no sooner than 35 days following recordation of the notice of completion, and no later than 60 days following acceptance of the Project by City’s governing body or authorized designee pursuant to Section 11.1(C), Acceptance, or, if the Project has not been accepted, no later than 60 days after the Project is otherwise considered complete pursuant to Public Contract Code § 7107(c).

8.6 Payment to Subcontractors and Suppliers. Each month, Contractor must promptly pay each Subcontractor and supplier the value of the portion of labor, materials, and equipment incorporated into the Work or delivered to the Project site by the Subcontractor or supplier during the preceding month. Such payments must be made in accordance with the requirements of Laws pertaining to such payments, and those of the Contract Documents and applicable subcontract or supplier contract.

(A) **Withholding for Stop Notice.** Pursuant to Civil Code § 9358, City will withhold 125% of the amount claimed by an unreleased stop notice, a portion of which may be retained by City for the costs incurred in handling the stop notice claim, including attorneys’ fees and costs, as authorized by law.

(B) **Joint Checks.** City reserves the right, acting in its sole discretion, to issue joint checks made payable to Contractor and a Subcontractor or supplier, if City determines this is necessary to ensure fair and timely payment for a Subcontractor or supplier who has provided services or goods for the Project. As a condition to release of payment by a joint check, the joint check payees may be required to execute a joint check agreement in a form provided or approved by the City Attorney’s Office. The joint check payees will be jointly and severally responsible for the allocation and disbursement of funds paid by joint check. Payment by joint check will not be construed to create a contractual relationship between City and a Subcontractor or supplier of any tier beyond the scope of the joint check agreement.

8.7 Final Payment. Contractor’s application for Final Payment must comply with the requirements for submitting an application for a progress payment as stated in Section 8.2, above. Corrections to previous progress payments, including adjustments to estimated quantities for unit priced items, may be included in the Final Payment. If Contractor fails to submit a timely application for Final Payment, City reserves the right to unilaterally process and issue Final Payment without an application from Contractor in order to close out the Project. For the purposes of determining the deadline for Claim submission pursuant to Article 12, the date of Final Payment is deemed to be the date that City acts to release undisputed retention as final payment to Contractor, or otherwise provides written notice to Contractor of Final Payment or that no undisputed funds remain

available for Final Payment due to offsetting withholdings or deductions pursuant to Section 8.3, Adjustment of Payment Application. If the amount due from Contractor to City exceeds the amount of Final Payment, City retains the right to recover the balance from Contractor or its sureties.

- 8.8 Release of Claims.** City may, at any time, require that payment of the undisputed portion of any progress payment or Final Payment be contingent upon Contractor furnishing City with a written waiver and release of all claims against City arising from or related to the portion of Work covered by those undisputed amounts subject to the limitations of Public Contract Code § 7100. Any disputed amounts may be specifically excluded from the release.
- 8.9 Warranty of Title.** Contractor warrants that title to all work, materials, or equipment incorporated into the Work and included in a request for payment will pass over to City free of any claims, liens, or encumbrances upon payment to Contractor.

Article 9 - Labor Provisions

9.1 Discrimination Prohibited. Discrimination against any prospective or present employee engaged in the Work on grounds of race, color, ancestry, national origin, ethnicity, religion, sex, sexual orientation, age, disability, or marital status is strictly prohibited. Contractor and its Subcontractors are required to comply with all applicable Laws prohibiting discrimination, including the California Fair Employment and Housing Act (Govt. Code § 12900 et seq.), Government Code § 11135, and Labor Code §§ 1735, 1777.5, 1777.6, and 3077.5.

9.2 Labor Code Requirements.

(A) **Eight Hour Day.** Pursuant to Labor Code § 1810, eight hours of labor constitute a legal day's work under this Contract.

(B) **Penalty.** Pursuant to Labor Code § 1813, Contractor will forfeit to City as a penalty, the sum of \$25.00 for each day during which a worker employed by Contractor or any Subcontractor is required or permitted to work more than eight hours in any one calendar day or more than 40 hours per calendar week, except if such workers are paid overtime under Labor Code § 1815.

(C) **Apprentices.** Contractor is responsible for compliance with the requirements governing employment and payment of apprentices, as set forth in Labor Code § 1777.5, which is fully incorporated by reference.

(D) **Notices.** Pursuant to Labor Code § 1771.4, Contractor is required to post all job site notices prescribed by Laws.

9.3 Prevailing Wages. Each worker performing Work under this Contract that is covered under Labor Code §§ 1720, 1720.3, or 1720.9, including cleanup at the Project site, must be paid at a rate not less than the prevailing wage as defined in §§ 1771 and 1774 of the Labor Code. The prevailing wage rates are on file with the City and available online at <http://www.dir.ca.gov/dlsr>. Contractor must post a copy of the applicable prevailing rates at the Project site.

(A) **Penalties.** Pursuant to Labor Code § 1775, Contractor and any Subcontractor will forfeit to City as a penalty up to \$200.00 for each calendar day, or portion thereof, for each worker paid less than the applicable prevailing wage rate. Contractor must also pay each worker the difference between the applicable prevailing wage rate and the amount actually paid to that worker.

(B) **Federal Requirements.** If this Project is subject to federal prevailing wage requirements in addition to California prevailing wage requirements, Contractor and its Subcontractors are required to pay the higher of the currently applicable state or federal prevailing wage rates.

9.4 Payroll Records. Contractor must comply with the provisions of Labor Code §§ 1771.4, 1776, and 1812 and all implementing regulations, which are fully incorporated by this reference, including requirements for monthly electronic submission of payroll records to the DIR.

(A) **Contractor and Subcontractor Obligations.** Contractor and each Subcontractor must keep accurate payroll records, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed in connection with the Work. Each payroll record must

contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:

- (1) The information contained in the payroll record is true and correct; and
- (2) Contractor or the Subcontractor has complied with the requirements of Labor Code §§ 1771, 1811, and 1815 for any Work performed by its employees on the Project.

(B) **Certified Record.** A certified copy of an employee's payroll record must be made available for inspection or furnished to the employee or his or her authorized representative on request, to City, to the Division of Labor Standards Enforcement, and Division of Apprenticeship Standards of the DIR, and as further required by the Labor Code.

(C) **Enforcement.** Contractor or Subcontractor has ten days in which to comply with the requirements of Labor Code § 1776 following receipt of a written notice requesting certified copies of payroll records pursuant to Labor Code § 1776. If Contractor or Subcontractor fails to comply within the ten-day period, Contractor or Subcontractor will forfeit a penalty of \$100.00 per day, or portion thereof, for each worker for whom compliance is required, until strict compliance is achieved. Upon request by the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement, these penalties will be withheld from payments then due to Contractor.

9.5 Labor Compliance. Pursuant to Labor Code § 1771.4, the Contract for this Project is subject to compliance monitoring and enforcement by the DIR.

Article 10 - Safety Provisions

10.1 Safety Precautions and Programs. Contractor and its Subcontractors are fully responsible for safety precautions and programs, and for the safety of persons and property in the performance of the Work. Contractor and its Subcontractors must at all times comply with all applicable health and safety Laws and seek to avoid injury, loss, or damage to persons or property by taking reasonable steps to protect its employees and other persons at any Worksite, materials and equipment stored on or off site, and property at or adjacent to any Worksite.

(A) **Reporting Requirements.** Contractor must immediately notify the City of any death, serious injury or illness resulting from Work on the Project. Contractor must immediately provide a written report to City of each recordable accident or injury occurring at any Worksite within 24 hours of the occurrence. The written report must include: (1) the name and address of the injured or deceased person; (2) the name and address of each employee of Contractor or of any Subcontractor involved in the incident; (3) a detailed description of the incident, including precise location, time, and names and contact information for known witnesses; and (4) a police or first responder report, if applicable. If Contractor is required to file an accident report with a government agency, Contractor will provide a copy of the report to City.

(B) **Legal Compliance.** Contractor's safety program must comply with the applicable legal and regulatory requirements. Contractor must provide City with copies of all notices required by Laws.

(C) **Contractor's Obligations.** Any damage or loss caused by Contractor arising from the Work which is not insured under property insurance must be promptly remedied by Contractor.

(D) **Remedies.** If City determines, in its sole discretion, that any part of the Work or Project site is unsafe, City may, without assuming responsibility for Contractor's safety program, require Contractor or its Subcontractor to cease performance of the Work or to take corrective measures to City's satisfaction. If Contractor fails to promptly take the required corrective measures, City may perform them and deduct the cost from the Contract Price. Contractor agrees it is not entitled to submit a Claim for damages, for an increase in Contract Price, or for a change in Contract Time based on Contractor's compliance with City's request for corrective measures pursuant to this provision.

10.2 Hazardous Materials. Unless otherwise specified in the Contract Documents, this Contract does not include the removal, handling, or disturbance of any asbestos or other Hazardous Materials. If Contractor encounters materials on the Project site that Contractor reasonably believes to be asbestos or other Hazardous Materials, and the asbestos or other Hazardous Materials have not been rendered harmless, Contractor may continue Work in unaffected areas reasonably believed to be safe but must immediately cease work on the area affected and report the condition to City. No asbestos, asbestos-containing products or other Hazardous Materials may be used in performance of the Work.

10.3 Material Safety. Contractor is solely responsible for complying with § 5194 of Title 8 of the California Code of Regulations, including by providing information to Contractor's employees about any hazardous chemicals to which they may be exposed in the course of the Work. A hazard communication program and other forms of warning and training about such exposure must be used. Contractor must also maintain Safety Data Sheets ("SDS") at the Project site, as required by Laws, for materials or substances used or consumed in the performance of the Work. The SDS will be accessible and available to Contractor's employees, Subcontractors, and City.

(A) **Contractor Obligations.** Contractor is solely responsible for the proper delivery, handling, use, storage, removal, and disposal of all materials brought to the Project site and/or used in the performance of the Work. Contractor must notify the Engineer if a specified product or material cannot be used safely.

(B) **Labeling.** Contractor must ensure proper labeling on any material brought onto the Project site so that any persons working with or in the vicinity of the material may be informed as to the identity of the material, any potential hazards, and requirements for proper handling, protections, and disposal.

10.4 Hazardous Condition. Contractor is solely responsible for determining whether a hazardous condition exists or is created during the course of the Work, involving a risk of bodily harm to any person or risk of damage to any property. If a hazardous condition exists or is created, Contractor must take all precautions necessary to address the condition and ensure that the Work progresses safely under the circumstances. Hazardous conditions may result from, but are not limited to, use of specified materials or equipment, the Work location, the Project site condition, the method of construction, or the way any Work must be performed.

10.5 Emergencies. In an emergency affecting the safety or protection of persons, Work, or property at or adjacent to any Worksite, Contractor must take reasonable and prompt actions to prevent damage, injury, or loss, without prior authorization from the City if, under the circumstances, there is inadequate time to seek prior authorization from the City.

10.6 Confined Space Operations. If the Work requires a confined space entry, including, but not limited to, manhole or water storage tank entry, Contractor must obtain a confined space entry permit pursuant to Cal/OSHA regulations, as set forth in 8 CCR § 5156 et seq. For any confined space entry for construction operations regulated by 8 CCR § 1502, Contractor must comply with 8 CCR § 5158. For any other confined space operations, Contractor must comply with 8 CCR § 5157. With respect to entry to any City-maintained confined space, Contractor is responsible for obtaining any available information regarding hazards and operations for any City-maintained confined spaces, pursuant to 8 CCR § 5157. The City-maintained Confined Space Entry Manual is available for viewing at the Water Department or Transportation and Public Works Department office. Contractor must immediately notify the Engineer of any previously unidentified hazards confronted or created during confined space entry.

Article 11 - Completion and Warranty Provisions

11.1 Final Completion.

(A) **Final Inspection and Punch List.** When the Work required by this Contract is fully performed, Contractor must provide written notification to City requesting final inspection. The Engineer will schedule the date and time for final inspection, which must include Contractor's primary representative for this Project and its superintendent. Based on that inspection, City will prepare a punch list of any items that are incomplete, missing, defective, incorrectly installed, or otherwise not compliant with the Contract Documents. The punch list to Contractor will specify the time by which all of the punch list items must be completed or corrected. The punch list may include City's estimated cost to complete each punch list item if Contractor fails to do so within the specified time. The omission of any non-compliant item from a punch list will not relieve Contractor from fulfilling all requirements of the Contract Documents. Contractor's failure to complete any punch list item within the time specified in the punch list will not waive or abridge its warranty obligations for any such items that must be completed by the City or by a third party retained by the City due to Contractor's failure to timely complete any such outstanding item.

(B) **Requirements for Final Completion.** Final Completion will be achieved upon completion or correction of all punch list items, as verified by City's further inspection, and upon satisfaction of all other Contract requirements, including any commissioning required under the Contract Documents and submission of all final submittals, including instructions and manuals as required under Section 7.10, and complete, final as-built drawings as required under Section 7.11, all to City's satisfaction.

(C) **Acceptance.** The Project will be considered accepted upon the date of the Engineer's issuance of a written notice of acceptance. In order to avoid delay of Project close out, the City may elect, acting in its sole discretion, to accept the Project as complete subject to exceptions for punch list items that are not completed within the time specified in the punch list.

(D) **Final Payment and Release of Retention.** Final Payment and release of retention, less any sums withheld pursuant to the provisions of the Contract Documents, will not be made sooner than 35 days after recordation of the notice of completion. If Contractor fails to complete any of the punch list items within the specified time, City may withhold up to 150% of City's estimated cost to complete each of the remaining items from Final Payment and may use the withheld retention to pay for the costs to self-perform the outstanding items or to retain a third party to complete any such outstanding punch list item.

11.2 Warranty.

(A) **General.** Contractor warrants that all materials and equipment will be new unless otherwise specified, of good quality, in conformance with the Contract Documents, and free from defective workmanship and materials. Contractor further warrants that the Work will be free from material defects not intrinsic in the design or materials required in the Contract Documents. Contractor warrants that materials or items incorporated into the Work comply with the requirements and standards in the Contract Documents, including compliance with Laws, and that any Hazardous Materials encountered or used were handled as required by Laws. At City's request, Contractor must furnish satisfactory evidence of the quality and type of materials and equipment furnished. Contractor's warranty does not extend to damage caused by normal wear and tear, or improper use or maintenance.

(B) **Warranty Period.** Contractor's warranty must guarantee its Work for a period of one year from the date of Project acceptance pursuant to Section 11.1(C) (the "Warranty Period"), except when a longer guarantee is provided by a supplier or manufacturer or is required by the Specifications or Special Conditions. If the City accepts the Project as complete subject to exceptions for incomplete punch list item(s) and the Contractor thereafter completes the punch list item(s), the completed punch list item(s) will be subject to the warranty provisions in this Section 11.2 for a one-year period that begins upon City's acceptance of the completed punch list item(s). Contractor must obtain from its Subcontractors, suppliers and manufacturers any special or extended warranties required by the Contract Documents.

(C) **Warranty Documents.** As a condition precedent to Final Completion, Contractor must supply City with all warranty and guarantee documents relevant to equipment and materials incorporated into the Work and guaranteed by their suppliers or manufacturers.

(D) **Subcontractors.** The warranty obligations in the Contract Documents apply to Work performed by Contractor and its Subcontractors, and Contractor agrees to be co-guarantor of such Work.

(E) **Contractor's Obligations.** Upon written notice from City to Contractor of any defect in the Work discovered during the Warranty Period, Contractor or its responsible Subcontractor must promptly correct the defective Work at its own cost. Contractor's obligation to correct defects discovered during the Warranty Period will continue past the expiration of the Warranty Period as to any defects in Work for which Contractor was notified prior to expiration of the Warranty Period. Work performed during the Warranty Period ("Warranty Work") will be subject to the warranty provisions in this Section 11.2 for a one-year period that begins upon completion of such Warranty Work to City's satisfaction.

(F) **City's Remedies.** If Contractor or its responsible Subcontractor fails to correct defective Work within ten days following notice by City, or sooner if required by the circumstances, City may correct the defects to conform with the Contract Documents at Contractor's sole expense. Contractor must reimburse City for its costs in accordance with subsection (H), below.

(G) **Emergency Repairs.** In cases of emergency where any delay in correcting defective Work could cause harm, loss or damage, City may immediately correct the defects to conform with the Contract Documents at Contractor's sole expense. Contractor or its surety must reimburse City for its costs in accordance with subsection (H), below.

(H) **Reimbursement.** Contractor must reimburse City for its costs to repair under subsections (F) or (G), above, within 30 days following City's submission of a demand for payment pursuant to this provision. If City is required to initiate legal action to compel Contractor's compliance with this provision, and City is the prevailing party in such action, Contractor and its surety are solely responsible for all of City's attorney's fees and legal costs expended to enforce Contractor's warranty obligations herein, in addition to any and all costs City incurs to correct the defective Work.

11.3 Use Prior to Final Completion. City reserves the right to occupy or make use of the Project, or any portions of the Project, prior to Final Completion if City has determined that the Project or portion of it is in a condition suitable for the proposed occupation or use, and that it is in its best interest to occupy or make use of the Project, or any portions of it, prior to Final Completion.

(A) **Non-Waiver.** Occupation or use of the Project, in whole or in part, prior to Final Completion will not operate as acceptance of the Work or any portion of it, nor will it operate as a waiver of any of City's rights or Contractor's duties pursuant to these Contract Documents, and will not affect nor bear on the determination of the time of substantial completion with respect to any statute of repose pertaining to the time for filing an action for construction defect.

(B) **City's Responsibility.** City will be responsible for the cost of maintenance and repairs due to normal wear and tear with respect to those portions of the Project that are being occupied or used before Final Completion. The Contract Price or the Contract Time may be adjusted pursuant to the applicable provisions of these Contract Documents if, and only to the extent that, any occupation or use under this Section actually adds to Contractor's cost or time to complete the Work within the Contract Time.

11.4 Substantial Completion. For purposes of determining "substantial completion" with respect to any statute of repose pertaining to the time for filing an action for construction defect, "substantial completion" is deemed to mean the last date that Contractor or any Subcontractor performs Work on the Project prior to City acceptance of the Project, except for warranty work performed under this Article.

Article 12 - Dispute Resolution

12.1 Claims. This Article applies to and provides the exclusive procedures for any Claim arising from or related to the Contract or performance of the Work.

(A) **Limitations.** A Claim may only include the portion of a previously rejected demand that remains in dispute between Contractor and City. With the exception of any dispute regarding the amount of money actually paid to Contractor as Final Payment, Contractor is not entitled to submit a Claim demanding a change in the Contract Time or the Contract Price, which has not previously been submitted to City in full compliance with Article 5 and Article 6, and subsequently rejected in whole or in part by City.

(B) **Scope of Article.** This Article is intended to provide the exclusive procedures for submission and resolution of Claims of any amount and applies in addition to the provisions of Public Contract Code § 9204 and § 20104 et seq., which are incorporated by reference herein.

(C) **No Work Delay.** Notwithstanding the submission of a Claim or any other dispute between the parties related to the Project or the Contract Documents, Contractor must perform the Work and may not delay or cease Work pending resolution of a Claim or other dispute but must continue to diligently prosecute the performance and timely completion of the Work, including the Work pertaining to the Claim or other dispute.

(D) **Informal Resolution.** Contractor will make a good faith effort to informally resolve a dispute before initiating a Claim, preferably by face-to-face meeting between authorized representatives of Contractor and City.

12.2 Claims Submission. The following requirements apply to any Claim subject to this Article:

(A) **Substantiation.** The Claim must be submitted to City in writing by registered or certified mail with return receipt requested and clearly identified as a "Claim" submitted pursuant to this Article 12. The Claim must include all of the documents necessary to substantiate the Claim including the Change Order request that was rejected in whole or in part, and a copy of City's written rejection that is in dispute. The Claim must clearly identify and describe the dispute, including relevant references to applicable portions of the Contract Documents, and a chronology of relevant events. Any Claim for additional payment must include a complete, itemized breakdown of all known or estimated labor, materials, taxes, insurance, and subcontract, or other costs. Substantiating documentation such as payroll records, receipts, invoices, or the like, must be submitted in support of each component of claimed cost. Any Claim for an extension of time or delay costs must be substantiated with a schedule analysis and narrative depicting and explaining claimed time impacts.

(B) **Claim Format and Content.** A Claim must be submitted in the following format:

(1) Provide a cover letter, specifically identifying the submission as a "Claim" submitted under this Article 12 and specifying the requested remedy (e.g., amount of proposed change to Contract Price and/or change to Contract Time).

(2) Provide a summary of each Claim, including underlying facts and the basis for entitlement, and identify each specific demand at issue, including the specific Change Order request (by number and submittal date), and the date of City's rejection of that demand, in whole or in part.

(3) Provide a detailed explanation of each issue in dispute. For multiple issues included within a single Claim or for multiple Claims submitted concurrently, separately number and identify each individual issue or Claim, and include the following for each separate issue or Claim:

- a. A succinct statement of the matter in dispute, including Contractor's position and the basis for that position;
- b. Identify and attach all documents that substantiate the Claim, including relevant provisions of the Contract Documents, RFIs, calculations, and schedule analysis (see subsection (A), Substantiation, above);
- c. A chronology of relevant events; and
- d. Analysis and basis for claimed changes to Contract Price, Contract Time, or any other remedy requested.

(4) Provide a summary of issues and corresponding claimed damages. If, by the time of the Claim submission deadline (below), the precise amount of the requested change in the Contract Price or Contract Time is not yet known, Contractor must provide a good faith estimate, including the basis for that estimate, and must identify the date by which it is anticipated that the Claim will be updated to provide final amounts.

(5) Include the following certification, executed by Contractor's authorized representative:

"The undersigned Contractor certifies under penalty of perjury that its statements and representations in this Claim submittal are true and correct. Contractor warrants that this Claim submittal is comprehensive and complete as to the matters in dispute, and agrees that any costs, expenses, or delay not included herein are deemed waived."

(C) ***Submission Deadlines.***

(1) A Claim disputing rejection of a request for a change in the Contract Time or Contract Price must be submitted within 21 days following the date that City notified Contractor in writing that a request for a change in the Contract Time or Contract Price, duly submitted in compliance with Article 5 and Article 6, has been rejected in whole or in part. A Claim disputing the terms of a unilateral Change Order must be submitted within 21 days following the date of issuance of the unilateral Change Order. These Claim deadlines apply even if Contractor cannot yet quantify the total amount of any requested change in the Contract Time or Contract Price. If the Contractor cannot quantify those amounts, it must submit an estimate of the amounts claimed pending final determination of the requested remedy by Contractor.

(2) With the exception of any dispute regarding the amount of Final Payment, any Claim must be filed on or before the date of Final Payment or will be deemed waived.

(3) A Claim disputing the amount of Final Payment must be submitted within 21 days of the effective date of Final Payment, under Section 8.7, Final Payment.

(4) Strict compliance with these Claim submission deadlines is necessary to ensure that any dispute may be mitigated as soon as possible, and to facilitate cost-efficient administration of the Project. **Any Claim that is not submitted within the specified deadlines will be deemed waived by Contractor.**

12.3 City's Response. City will respond within 45 days of receipt of the Claim with a written statement identifying which portion(s) of the Claim are disputed, unless the 45-day period is extended by mutual agreement of City and Contractor or as otherwise allowed under Public Contract Code § 9204. For a Claim subject to Public Contract Code § 20104 et seq., if City determines that the Claim is not adequately substantiated pursuant to Section 12.2(A), Substantiation, City may first request in writing, within 30 days of receipt of the Claim, any additional documentation supporting the Claim or relating to defenses to the Claim that City may have against the Claim.

(A) **Duty to Update Estimated Amounts.** If Contractor's Claim is based on estimated amounts, Contractor has a continuing duty to update its Claim as soon as possible with information on actual amounts in order to facilitate prompt and fair resolution of the Claim.

(B) **Non-Waiver.** Any failure by City to respond within the times specified above will not be construed as acceptance of the Claim, in whole or in part, or as a waiver of any provision of these Contract Documents.

12.4 Meet and Confer. If Contractor disputes City's written response, or City fails to respond within the specified time, within 15 days of receipt of City's response or within 15 days of City's failure to respond within the applicable 45-day time period under Section 12.3, respectively, Contractor may notify City of the dispute in writing sent by registered or certified mail, return receipt requested, and demand an informal conference to meet and confer for settlement of the issues in dispute. If Contractor fails to notify City of the dispute and demand for an informal conference to meet and confer in writing within the specified time, Contractor's Claim will be deemed waived.

(A) **Schedule Meet and Confer.** Upon receipt of the demand to meet and confer, City will schedule the meet and confer conference to be held within 30 days, or later if needed to ensure the mutual availability of each of the individuals that each party requires to represent its interests at the meet and confer conference.

(B) **Location for Meet and Confer.** The meet and confer conference will be scheduled at a location at or near City's principal office.

(C) **Written Statement After Meet and Confer.** Within ten working days after the meet and confer has concluded, City will issue a written statement identifying which portion(s) of the Claim remain in dispute, if any.

(D) **Submission to Mediation.** If the Claim or any portion remains in dispute following the meet and confer conference, within ten working days after the City issues the written statement identifying any portion(s) of the Claim remaining in dispute, the Contractor may identify in writing disputed portion(s) of the Claim, which will be submitted for mediation, as set forth below.

12.5 Mediation and Government Code Claims.

(A) **Mediation.** Within ten working days after the City issues the written statement identifying any portion(s) of the Claim remaining in dispute following the meet and confer, City and Contractor will mutually agree to a mediator, as provided under Public Contract Code § 9204. Mediation will be scheduled to ensure the mutual availability of the selected

mediator and all of the individuals that each party requires to represent its interests. If there are multiple Claims in dispute, the parties may agree to schedule the mediation to address all outstanding Claims at the same time. The parties will share the costs of the mediator and mediation fees equally, but each party is otherwise solely and separately responsible for its own costs to prepare for and participate in the mediation, including costs for its legal counsel or any other consultants.

(B) **Government Code Claims.**

(1) Timely presentation of a Government Code Claim is a condition precedent to filing any legal action based on or arising from the Contract. Compliance with the Claim submission requirements in this Article 12 is a condition precedent to filing a Government Code Claim.

(2) The time for filing a Government Code Claim will be tolled from the time Contractor submits its written Claim pursuant to Section 12.2, above, until the time that Claim is denied in whole or in part at the conclusion of the meet and confer process, including any period of time used by the meet and confer process. However, if the Claim is submitted to mediation, the time for filing a Government Code Claim will be tolled until conclusion of the mediation, including any continuations, if the Claim is not fully resolved by mutual agreement of the parties during the mediation or any continuation of the mediation.

- 12.6 Tort Claims.** This Article does not apply to tort claims and nothing in this Article is intended nor will be construed to change the time periods for filing tort-based Government Code Claims.
- 12.7 Arbitration.** City does not consent to arbitration unless required by Laws. It is expressly agreed, under Code of Civil Procedure § 1296, that in any arbitration to resolve a dispute relating to this Contract, the arbitrator's award must be supported by law and substantial evidence.
- 12.8 Burden of Proof and Limitations.** Contractor bears the burden of proving entitlement to and the amount of any claimed damages. Contractor is not entitled to damages calculated on a total cost basis but must prove actual damages. Contractor is not entitled to speculative, special, or consequential damages, including home office overhead or any form of overhead not directly incurred at the Project site or any other Worksite; lost profits; loss of productivity; lost opportunity to work on other projects; diminished bonding capacity; increased cost of financing for the Project; extended capital costs; non-availability of labor, material or equipment due to delays; or any other indirect loss arising from the Contract. The Eichleay Formula or similar formula will not be used for any recovery under the Contract. The City will not be directly liable to any Subcontractor or supplier.
- 12.9 Legal Proceedings.** In any legal proceeding that involves enforcement of any requirements of the Contract Documents, the finder of fact will receive detailed instructions on the meaning and operation of the Contract Documents, including conditions, limitations of liability, remedies, claim procedures, and other provisions bearing on the defenses and theories of liability. Detailed findings of fact will be requested to verify enforcement of the Contract Documents. All of the City's remedies under the Contract Documents will be construed as cumulative, and not exclusive, and the City reserves all rights to all remedies available under law or equity as to any dispute arising from or relating to the Contract Documents or performance of the Work.
- 12.10 Other Disputes.** The procedures in this Article 12 will apply to any and all disputes or legal actions, in addition to Claims, arising from or related to this Contract, including

disputes regarding suspension or early termination of the Contract, unless and only to the extent that compliance with a procedural requirement is expressly and specifically waived by City. Nothing in this Article is intended to delay suspension or termination under Article 13.

Article 13 - Suspension and Termination

13.1 Suspension for Cause. In addition to all other remedies available to City, if Contractor fails to perform or correct Work in accordance with the Contract Documents, including non-compliance with applicable environmental or health and safety Laws, City may immediately order the Work, or any portion of it, suspended until the circumstances giving rise to the suspension have been eliminated to City's satisfaction.

(A) **Notice of Suspension.** Upon receipt of City's written notice to suspend the Work, in whole or in part, except as otherwise specified in the notice of suspension, Contractor and its Subcontractors must promptly stop Work as specified in the notice of suspension; comply with directions for cleaning and securing the Worksite; and protect the completed and in-progress Work and materials. Contractor is solely responsible for any damages or loss resulting from its failure to adequately secure and protect the Project.

(B) **Resumption of Work.** Upon receipt of the City's written notice to resume the suspended Work, in whole or in part, except as otherwise specified in the notice to resume, Contractor and its Subcontractors must promptly re-mobilize and resume the Work as specified; and within ten days from the date of the notice to resume, Contractor must submit a recovery schedule, prepared in accordance with the Contract Documents, showing how Contractor will complete the Work within the Contract Time.

(C) **Failure to Comply.** Contractor will not be entitled to an increase in the Contract Time or Contract Price for a suspension occasioned by Contractor's failure to comply with the Contract Documents.

(D) **No Duty to Suspend.** City's right to suspend the Work will not give rise to a duty to suspend the Work, and City's failure to suspend the Work will not constitute a defense to Contractor's failure to comply with the requirements of the Contract Documents.

13.2 Suspension for Convenience. City reserves the right to suspend, delay, or interrupt the performance of the Work in whole or in part, for a period of time determined to be appropriate for City's convenience. Upon notice by City pursuant to this provision, Contractor must immediately suspend, delay, or interrupt the Work and secure the Project site as directed by City except for taking measures to protect completed or in-progress Work as directed in the suspension notice, and subject to the provisions of Section 13.1(A) and (B), above. If Contractor submits a timely request for a Change Order in compliance with Articles 5 and 6, the Contract Price and the Contract Time will be equitably adjusted by Change Order pursuant to the terms of Articles 5 and 6 to reflect the cost and delay impact occasioned by such suspension for convenience, except to the extent that any such impacts were caused by Contractor's failure to comply with the Contract Documents or the terms of the suspension notice or notice to resume. However, the Contract Time will only be extended if the suspension causes or will cause unavoidable delay in Final Completion. If Contractor disputes the terms of a Change Order issued for such equitable adjustment due to suspension for convenience, its sole recourse is to comply with the Claim procedures in Article 12.

13.3 Termination for Default. City may declare that Contractor is in default of the Contract for a material breach of or inability to fully, promptly, or satisfactorily perform its obligations under the Contract.

(A) **Default.** Events giving rise to a declaration of default include Contractor's refusal or failure to supply sufficient skilled workers, proper materials, or equipment to perform the Work within the Contract Time; Contractor's refusal or failure to make prompt payment to its employees, Subcontractors, or suppliers or to correct defective Work or

damage; Contractor's failure to comply with Laws, or orders of any public agency with jurisdiction over the Project; evidence of Contractor's bankruptcy, insolvency, or lack of financial capacity to complete the Work as required within the Contract Time; suspension, revocation, or expiration and nonrenewal of Contractor's license or DIR registration; Contractor's failure to procure, maintain, or renew insurance coverage or provide notice of any modifications or reductions in insurance coverage; dissolution, liquidation, reorganization, or other major change in Contractor's organization, ownership, structure, or existence as a business entity; unauthorized assignment of Contractor's rights or duties under the Contract; or any material breach of the Contract requirements.

(B) **Notice of Default and Opportunity to Cure.** Upon City's declaration that Contractor is in default due to a material breach of the Contract Documents, if City determines that the default is curable, City will afford Contractor the opportunity to cure the default within ten days of City's notice of default, or within a period of time reasonably necessary for such cure, including a shorter period of time if applicable.

(C) **Termination.** If Contractor fails to cure the default or fails to expediently take steps reasonably calculated to cure the default within the time period specified in the notice of default, or if the City determines that the default is not curable, City may issue written notice to Contractor and its performance bond surety of City's termination of the Contract for default.

(D) **Waiver.** Time being of the essence in the performance of the Work, if Contractor's surety fails to arrange for completion of the Work in accordance with the Performance Bond within seven calendar days from the date of the notice of termination pursuant to paragraph (C), City may immediately make arrangements for the completion of the Work through use of its own forces, by hiring a replacement contractor, or by any other means that City determines advisable under the circumstances. Contractor and its surety will be jointly and severally liable for any additional cost incurred by City to complete the Work following termination, where "additional cost" means all cost in excess of the cost City would have incurred if Contractor had timely completed Work without the default and termination. In addition, City will have the right to immediate possession and use of any materials, supplies, and equipment procured for the Project and located at the Project site or any Worksite on City property for the purposes of completing the remaining Work.

(E) **Compensation.** Within 30 days of receipt of updated as-builts, all warranties, manuals, instructions, or other required documents for Work installed to date, and delivery to City of all equipment and materials for the Project for which Contractor has already been compensated, Contractor will be compensated for the Work satisfactorily performed in compliance with the Contract Documents up to the effective date of the termination pursuant to the terms of Article 8, Payment, subject to City's rights to withhold or deduct sums from payment otherwise due pursuant to Section 8.3, and excluding any costs Contractor incurs as a result of the termination, including any cancellation or restocking charges or fees due to third parties. If Contractor disputes the amount of compensation determined by City, its sole recourse is to comply with the Claim Procedures in Article 12, by submitting a Claim no later than 30 days following notice from City of the total compensation to be paid by City.

(F) **Wrongful Termination.** If Contractor disputes the termination, its sole recourse is to comply with the Claim procedures in Article 12. If a court of competent jurisdiction or an arbitrator later determines that the termination for default was wrongful, the termination will be deemed to be a termination for convenience, and Contractor's damages will be strictly limited to the compensation provided for termination for convenience under Section 13.4, below. Contractor waives any claim for any other damages for wrongful termination including special or consequential damages, lost

opportunity costs, or lost profits, and any award of damages is subject to Section 12.8, Burden of Proof and Limitations.

13.4 Termination for Convenience. City reserves the right, acting in its sole discretion, to terminate all or part of the Contract for convenience upon written notice to Contractor.

(A) **Compensation to Contractor.** In the event of City's termination for convenience, Contractor waives any claim for damages, including for loss of anticipated profits from the Project. The following will constitute full and fair compensation to Contractor, and Contractor will not be entitled to any additional claim or compensation:

(1) **Completed Work.** The value of its Work satisfactorily performed as of the date notice of termination is received, based on Contractor's schedule of values and unpaid costs for items delivered to the Project site that were fabricated for incorporation in the Work;

(2) **Demobilization.** Demobilization costs specified in the schedule of values, or if demobilization costs were not provided in a schedule of values pursuant to Section 8.1, then based on actual, reasonable, and fully documented demobilization costs; and

(3) **Termination Markup.** Five percent of the total value of the Work performed as of the date of notice of termination, including reasonable, actual, and documented costs to comply with the direction in the notice of termination for convenience, and demobilization costs, which is deemed to cover all overhead and profit to date.

(B) **Disputes.** If Contractor disputes the amount of compensation determined by City pursuant to paragraph (A), above, its sole recourse is to comply with the Claim procedures in Article 12, by submitting a Claim no later than 30 days following notice from City of total compensation to be paid by City.

13.5 Actions Upon Termination for Default or Convenience. The following provisions apply to any termination under this Article, whether for default or convenience, and whether in whole or in part.

(A) **General.** Upon termination, City may immediately enter upon and take possession of the Project and the Work and all tools, equipment, appliances, materials, and supplies procured or fabricated for the Project. Contractor will transfer title to and deliver all completed Work and all Work in progress to City.

(B) **Submittals.** Unless otherwise specified in the notice of termination, Contractor must immediately submit to City all designs, drawings, as-built drawings, Project records, contracts with vendors and Subcontractors, manufacturer warranties, manuals, and other such submittals or Work-related documents required under the terms of the Contract Documents, including incomplete documents or drafts.

(C) **Close Out Requirements.** Except as otherwise specified in the notice of termination, Contractor must comply with all of the following:

(1) Immediately stop the Work, except for any Work that must be completed pursuant to the notice of termination and comply with City's instructions for cessation of labor and securing the Project and any other Worksite(s).

(2) Comply with City's instructions to protect the completed Work and materials, using best efforts to minimize further costs.

(3) Contractor must not place further orders or enter into new subcontracts for materials, equipment, services or facilities, except as may be necessary to complete any portion of the Work that is not terminated.

(4) As directed in the notice, Contractor must assign to City or cancel existing subcontracts that relate to performance of the terminated Work, subject to any prior rights, if any, of the surety for Contractor's performance bond, and settle all outstanding liabilities and claims, subject to City's approval.

(5) As directed in the notice, Contractor must use its best efforts to sell any materials, supplies, or equipment intended solely for the terminated Work in a manner and at market rate prices acceptable to City.

(D) **Payment Upon Termination.** Upon completion of all termination obligations, as specified herein and in the notice of termination, Contractor will submit its request for Final Payment, including any amounts due following termination pursuant to this Article 13. Payment will be made in accordance with the provisions of Article 8, based on the portion of the Work satisfactorily completed, including the close out requirements, and consistent with the previously submitted schedule of values and unit pricing, including demobilization costs. Adjustments to Final Payment may include deductions for the cost of materials, supplies, or equipment retained by Contractor; payments received for sale of any such materials, supplies, or equipment, less re-stocking fees charged; and as otherwise specified in Section 8.3, Adjustment of Payment Application.

(E) **Continuing Obligations.** Regardless of any Contract termination, Contractor's obligations for portions of the Work already performed will continue and the provisions of the Contract Documents will remain in effect as to any claim, indemnity obligation, warranties, guarantees, submittals of as-built drawings, instructions, or manuals, record maintenance, or other such rights and obligations arising prior to the termination date.

Article 14 - Miscellaneous Provisions

- 14.1 Assignment of Unfair Business Practice Claims.** Under Public Contract Code § 7103.5, Contractor and its Subcontractors agree to assign to City all rights, title, and interest in and to all causes of action it may have under section 4 of the Clayton Act (15 U.S.C. § 15) or under the Cartwright Act (Chapter 2 (commencing with § 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the Contract or any subcontract. This assignment will be effective at the time City tenders Final Payment to Contractor, without further acknowledgement by the parties.
- 14.2 Provisions Deemed Inserted.** Every provision of law required to be inserted in the Contract Documents is deemed to be inserted, and the Contract Documents will be construed and enforced as though such provision has been included. If it is discovered that through mistake or otherwise that any required provision was not inserted, or not correctly inserted, the Contract Documents will be deemed amended accordingly.
- 14.3 Waiver.** City's waiver of a breach, failure of any condition, or any right or remedy contained in or granted by the provisions of the Contract Documents will not be effective unless it is in writing and signed by City. City's waiver of any breach, failure, right, or remedy will not be deemed a waiver of any other breach, failure, right, or remedy, whether or not similar, nor will any waiver constitute a continuing waiver unless specified in writing by City.
- 14.4 Titles, Headings, and Groupings.** The titles and headings used and the groupings of provisions in the Contract Documents are for convenience only and may not be used in the construction or interpretation of the Contract Documents or relied upon for any other purpose.
- 14.5 Statutory and Regulatory References.** With respect to any amendments to any statutes or regulations referenced in these Contract Documents, the reference is deemed to be the version in effect on the date that bids were due.
- 14.6 Survival.** The provisions that survive termination or expiration of this Contract include Contract Section 11, Notice, and subsections 12.1, 12.2, 12.3, 12.4, 12.5, and 12.6 of Section 12, General Provisions; and the following provisions in these General Conditions: Section 2.2(J), Contractor's Records, Section 2.3(C), Termination, Section 3.7, Ownership, Section 4.2, Indemnity, Article 12, Dispute Resolution, and Section 11.2, Warranty.

END OF GENERAL CONDITIONS

Special Conditions

1. Authorized Work Days and Hours.

- 1.1 **Authorized Work Days.** Except as expressly authorized in writing by City, Contractor is limited to performing Work on the Project on the following days of the week, excluding holidays observed by City:

Monday to Friday

- 1.2 **Authorized Work Hours.** Except as expressly authorized in writing by City, Contractor is limited to performing Work on the Project during the following hours:

7AM to 7PM

2. Submittals.

- 2.1 **Review Time Assumptions.** Contractor's schedule and all schedule updates should reflect the following assumptions for City and grant agency (if applicable) review. The following assumptions are provided solely for scheduling purposes and do not bind the City to complete its review of any submittal within the assumed time, and the assumed times do not account for delays attributable to Contractor's incomplete or non-compliant submittals.

(A) **City Review.** Except as otherwise set forth herein, for all submittals except Shop Drawings and samples, assume a minimum review period of 15 days following submission for City review. For Shop Drawings and samples, assume a minimum review period of 15 days following submission for City review. If corrections are necessary, assume an additional 10 days following resubmission for City review.

3. Advance Order Requirement.

- 3.1 **Materials and Equipment.** Within five days following receipt of the fully executed Contract from City, Contractor must promptly order the following materials and/or equipment and submit documentation to City evidencing the order, including, but not limited to, the purchase order, documentation showing that the order has been accepted by supplier(s), and the anticipated shipment and delivery date for the materials and/or equipment:

- (A) Propane Generator
- (B) ATS/Electrical Control Panels
- (C) Propane Tank

3.2 Delivery Notification. Within two days following delivery of the specified materials and/or equipment to Contractor, Contractor must provide written notice of the delivery to the Engineer. Except as set forth in this Section, Contractor will not perform any Work until the start date set forth in the Notice to Proceed. City anticipates issuing the Notice to Proceed following delivery of the materials and/or equipment set forth in this Section to Contractor.

3.3 Application for Payment. Contractor may request payment for the materials and/or equipment specified in this Section, which are delivered to a Worksite but not yet incorporated into the Work, subject to the following conditions: the materials and/or equipment must be delivered and suitably stored at a local Worksite agreed to, in writing, by City; full title vests in City at the time of delivery to the agreed upon Worksite; Contractor obtains and submits to City a negotiable warehouse receipt, endorsed over to City for materials and/or equipment stored in an off-site warehouse; the materials and/or equipment are segregated and labeled or tagged to identify the Project; the materials and/or equipment are protected from damage, weather, and contamination; if any defects or damage are discovered after delivery, Contractor agrees to replace the materials and/or equipment at Contractor's expense; and Contractor's application for payment is accompanied by a bill of sale, invoice, or other documentation warranting that City has received the materials and equipment free and clear of all liens and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect City's interest therein, all of which must be satisfactory to City. If these conditions are satisfied, Contractor's application for payment may include up to 50% of the cost of the materials and/or equipment delivered, but not yet incorporated into the Work.

4. Value Engineering. The Contractor may be entitled to additional compensation for cost reduction changes made pursuant to a value engineering proposal submitted by the Contractor, subject to the limitations of Public Contract Code § 7101, and in strict compliance with this Section. Contractor will not be entitled to any such additional compensation unless all of the following requirements have been met:

4.1 The Contractor must submit a written proposal for changes to the Plans or Specifications for the Project, in which it:

(A) Identifies the written proposal as a proposal for cost reduction changes with reference to this section;

(B) Clearly and specifically identifies the proposed cost reduction changes by describing in detail each of the changes proposed with specific references to each of the Specifications and Plans involved in the proposed changes, and providing proposed revised Specifications and Plans as applicable; and

(C) Estimates the net amount of the cost reduction and provides the basis for that estimate.

4.2 The proposed changes have been identified and developed solely by the Contractor, and not, in whole or in part, by the City.

4.3 The City accepts the proposed changes in whole or in part in a writing signed by the Engineer. The Contractor will only be entitled to additional compensation for those changes specifically accepted by the City. The Engineer will determine the net savings in

construction costs from any such changes that are both accepted and implemented by the City. Contractor will not be entitled to more than 50% of the net savings as determined by the Engineer, acting in his or her sole discretion.

5. Increases or Decreases in Unit Price Work. If the actual quantity for a bid item is increased or decreased by more than 25% of the estimated quantity on the Bid Schedule, the City may, but is not obligated to, adjust the unit price as set forth in this Section. The Engineer will determine whether a given increase or decrease in a quantity exceeds 25%, and the Engineer's determination is final. No adjustment in unit pricing will be made until after all Work involving that bid item is completed, and the final quantity has been determined by the Engineer.

5.1 Increase. For quantity increases of more than 25%, the unit price may be adjusted based on the difference between the unit price and the actual unit cost for the additional quantity. The Engineer's determination will not include fixed costs or markup for overhead, profit, or other indirect costs. For purposes of this Section, "fixed costs" means the Contractor's direct costs for labor, material, or equipment to perform or supply the bid item, which costs remain constant regardless of the item quantity.

5.2 Decrease. For quantity decreases of more than 25%, the unit price may be adjusted based on the difference between the unit price and the actual unit cost, inclusive of the item's fixed costs. Except as provided herein, Contractor will not be compensated for Work that City has deleted or reduced in scope, except for any labor, material or equipment costs for such Work that Contractor reasonably incurred before Contractor learned that the Work could be deleted or reduced, as set forth in Section 8.1(B) of the General Conditions.

END OF SPECIAL CONDITIONS

TECHNICAL SPECIFICATIONS



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APPENDIX

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10 DUST CONTROL

10-5.01 Dust Control: Sweeping, covering stockpiles, applying water, and/or dust palliative, to control dust even caused by public traffic are all not change order work.

All dust-producing work and unpaved construction sites shall require a minimum watering in the middle and ending of each workday. The frequency of watering shall increase if dust is airborne. Watering shall not produce runoff.

You shall maintain dust control to the satisfaction of the Engineer, 7 days a week, 24 hours per day.

At the end of each workday, you shall thoroughly sweep all streets effected by the project to minimize airborne dust.

At the Engineer's discretion additional sweeping or watering may be required, including the use of a commercial street sweeping truck equipped with a rear pick up broom, at any time or place.

10-7 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

13 WATER POLLUTION CONTROL (EXEMPT PROJECTS ONLY)

13-1.01A Summary: Water Pollution Control shall be performed in accordance with Section 13, Water Pollution Control, of the Standard Specifications and these technical specifications. In addition, construction activities shall comply with:

The current California Water Quality Control Board, North Coast Region Order No. National Pollutant Discharge Elimination System Municipal Storm Water Permit, commonly referred to as the “Storm Water Permit”. A copy of the Storm Water Permit is available for review at the City of Santa Rosa Transportation and Public Works Department, 69 Stony Circle, Santa Rosa, CA, and at www.srcity.org/stormwaterpermit.

The California Stormwater Quality Association Storm Water BMP Handbook for Construction (CASQA Handbook). BMPs shall be selected, installed and maintained in accordance with the latest edition. A copy of the handbook can be viewed at the City of Santa Rosa Department of Transportation and Public Works office at 69 Stony Circle or downloaded from CASQA, <http://www.casqa.org/>.

In this technical specification the CASQA Handbook BMP numbers are appended to the associated Standard Specification sections. If a conflict occurs the CASQA Handbook BMP's shall govern.

13-1.01B Definitions: Construction phase: The construction phase starts at the start of job site activities and ends at Contract acceptance.

13-1.01C(4)(c) Water Quality Monitoring Reports: If the project is less than 1 acre you shall complete and sign the Storm Water Correction Notices (below) with the City as part of the Storm Water Permit.

STORM WATER CORRECTION NOTICE

FAILURE TO CORRECT BY DUE DATE MAY RESULT IN STOP WORK NOTICE!

PROJECT NAME: _____

JOB ADDRESS: _____

PROJECT / PERMIT #: _____ DATE: _____

No storm water deficiencies identified.

I HAVE INSPECTED THIS PROJECT SITE. THE FOLLOWING ISSUES AND DEFICIENCIES HAVE BEEN IDENTIFIED AND REQUIRE CORRECTIVE ACTION:

STORMWATER BMPs:

- | | | | |
|---|---|---------------------------|-------------------------|
| <input type="checkbox"/> Storm Drain Protection: | Install | Maintain | Replace |
| <input type="checkbox"/> Perimeter Controls: | Install | Maintain | Replace |
| <input type="checkbox"/> Housekeeping: | Sweep | Clean | Remove Garbage & Debris |
| <input type="checkbox"/> Stockpiles: | Cover | Perimeter Controls | Remove |
| <input type="checkbox"/> Debris Bins: | Cover | Perimeter Controls | |
| <input type="checkbox"/> Tracking: | Clean-Up | Install Tracking Controls | |
| <input type="checkbox"/> Portable Toilet: | Secondary Containment Required | | |
| <input type="checkbox"/> Concrete: | Install BMPs for Pumper or Concrete Truck
Cover / Maintain Concrete Washout Containers | | |
| <input type="checkbox"/> Sediment & Erosion: | Install Appropriate Controls | Dust Controls | |
| <input type="checkbox"/> Other: | | | |

***ALL DEFICIENCIES MUST BE CORRECTED PRIOR TO NEXT RAIN EVENT OR NO LATER THAN DUE DATE, WHICHEVER IS SOONER.**

DATE REQUIRED (SEE NOTE*): _____

INSPECTOR: _____ PH #: () _____

CONTRACTOR SIGNATURE: _____ DATE: _____

Inspection Type: **Monthly** (Oct 1st-April 30th)

Pre-Rain (Sept 1st-Oct 1st)

Deficiency Re-Inspection

Following First 0.25" Rain
(within 2 business days)

13-2 Water Pollution Control Program

13-2.01C Submittals: The program to control water pollution required to be submitted under this section of the Standard Specifications shall include a spill contingency plan that establishes clean-up procedures that will be followed in the event of a spill of potentially hazardous, toxic, or polluting materials.

13-3 Storm Water Pollution Prevention Plan

13-3.01A Summary: This project is exempt from the State Water Resources Control Board General NPDES Permit for the Discharge of Storm Water related to Construction Activities (Construction General Permit), and not required to have a Storm Water Pollution Prevention Plan (SWPPP), therefore Section 13-3, Storm Water Pollution Prevention Plan, of the Standard Specifications does not apply to this project.

13-4 Job Site Management

13-4.03B: Spill Prevention and Control: You shall also comply with CASQA Spill Prevention and Control (BMP WM-4). If a spill occurs at the construction site and you do not take immediate and adequate steps to contain and clean up the spill, especially if rain is threatening or if a discharge to a storm drain or creek could occur, the City shall have the right, in its sole and absolute discretion, to clean up the spill using City forces or an independent contractor. The cost of any such cleanup, in addition to recovery of any penalty or fine imposed upon the City, plus an administrative charge of fifteen percent (15%) of the costs incurred by the City, shall be deducted from any amounts owed to you hereunder.

In the event there are insufficient amounts owed to you hereunder to cover the foregoing costs and charges, the City shall have the right to pursue any other remedy to recover same, including, but not limited to, proceeding against any surety or bond in favor of the City. The City's rights under this section are intended to be in addition to and not in lieu of any imposed by the City against Contractor for violations of City Code Chapter 17-12, "Storm Water".

13-4.03C(3): Stockpile Management: You shall also comply with CASQA Stockpile Management (BMP WM-3). Do not block storm water flows.

13-4.03D(1): General: You shall also comply with Waste Management/CASQA Solid Waste Management (BMP WM-5). You shall dispose of all trash, rubbish, and waste materials of any kind generated by you, subcontractor, or any company hired by you on a daily basis.

13-4.03D(3): Concrete Waste: You shall also comply with CASQA Concrete Waste Management (BMP WM-8). Ensure the containment of concrete washout areas and other washout areas that may contain pollutants so there is no discharge into the underlying soil and onto the surrounding areas.

13-4.03D(4): Sanitary and Septic Waste: You shall also comply with CASQA Sanitary and Septic Waste Management (BMP WM-9). Sanitation facilities must be maintained periodically by a licensed service to keep them in good working order and prevent overflows. Portable toilets are required to have secondary containment.

13-4.03D(5): Liquid Waste: Liquid waste includes water generated from excavation dewatering. Minimize transfer piping by locating containers near the excavation to be dewatered while protecting the containers from moving vehicles and equipment.

13-4.03E(1): Water Control and Conservation:

You shall also comply with CASQA Water Conservation Practices (BMP NS-1 and NS-2).

13-4.03E(3): Vehicle and Equipment Cleaning:

You shall also comply with CASQA Vehicle and Equipment Cleaning (BMP NS-8).

13-4.03E(4): Vehicle and Equipment Fueling and Maintenance:

You shall also comply with CASQA Vehicle and Equipment Fueling (BMP NS-9), and CASQA Vehicle and Equipment Maintenance (BMP NS-10).

13-4.03E(7): Paving, Sealing, Saw cutting, Grooving, and Grinding Activities: As listed in Part 9, sections 4 and 5 of the Storm Water Permit, the following additional BMPs shall be implemented for street paving, repaving, reconstruction, patching, digouts or resurfacing.

1. Restrict paving and repaving activity to exclude periods of rainfall or predicted rainfall unless required by emergency conditions
2. Install BMPs at all susceptible storm drain inlets and manholes to prevent paving products and tack coat from entering
3. Prevent the discharge of release agents including soybean oil, other oils, or diesel to the storm water drainage system or watercourses
4. Minimize non-storm water runoff from water use for the roller and for evaporative cooling of the asphalt
5. Clean equipment over absorbent pads, drip pans, plastic sheeting or other material to capture all spillage and dispose of properly
6. Collect liquid waste in a container, with a secure lid, for transport to a maintenance facility to be reused, recycled, or disposed of properly 13-4.03D(5)
7. Collect solid waste by shoveling and vacuuming or sweeping and securing in an appropriate container for transport to a maintenance facility to be reused, recycled, or disposed of properly 13-4.03D(5)
8. Cover "cold-mix" asphalt (i.e., pre-mixed aggregate and asphalt binder) with protective sheeting during a rainstorm 13-4.03C(3)
9. Cover loads with tarp before haul-off to a storage site, ensuring that trucks are not overloaded
10. Minimize airborne dust by using water spray during grinding 14-9.03
11. Protect stockpiles with a cover or sediment barriers during a rain event and
12. Avoid stockpiling soil, sand, sediment, asphalt material and asphalt grindings materials or rubble in or near storm water drainage system or watercourses 13-4.03C(1)

13-4.03F: Sweeping: You shall also comply with CASQA Street Sweeping and Vacuuming (BMP SE-7).

13-6 Temporary Sediment Control

13-6.03C Temporary Drainage Inlet Protection: You shall also comply with CASQA Storm Drain Inlet Protection (BMP SE-10).

13-7 Temporary Tracking Control

13-7.01A: General: You shall also comply with Stabilized Construction Entrance and Exit (BMP TC-1), Entrance Outlet Tire Wash (BMP TC-3).

13-7.01C Construction: You shall also comply with CASQA Stabilized Construction Site Entrance / Exit (BMP TC-1).

13-10 Temporary Linear Sediment Barrier

13-10.01A Summary: You shall also comply with CASQA Silt Fence and Sand Bag Barrier (BMP SE-1 and SE-8).

13-13 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

14 ENVIRONMENTAL STEWARDSHIP

14-10.01 General: You shall dispose of all Portland cement concrete and asphalt concrete, generated from removal or demolition activities on the project, at a recycler for these materials. All other excess materials from the project shall become the property of you and shall be disposed of by him, at his expense.

14-10.02 Solid Waste Disposal and Recycling Report: Submit a Solid Waste Disposal and Recycling Report prior to final acceptance of work performed under the Contract. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project.

Submit a Solid Waste Disposal and Recycling Report prior to Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project.

You shall provide receipts verifying delivery and approximate quantity (in tons) of the material delivered to a material recycler.

14-13 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

15 EXISTING FACILITIES

15-1.03A General: Existing facilities disturbed by construction shall conform to the applicable provisions of Section 5-1.36. All existing active utilities found to reside in excavated areas shall be supported in place with service maintained during construction. You shall be responsible for any damage caused by your operations and any needed repairs shall be completed to the Engineer's satisfaction.

Existing storm drains found to reside in excavated areas shall be supported, removed, or replaced at your option and at no additional cost to the City. You shall be responsible for maintaining the existing line and grade of the storm drains. If you elect to remove and replace, it shall be done per applicable City Standards and Specifications.

Existing utility trenches and/or structures that are in close proximity to proposed trenches shall be safeguarded in an appropriate manner from damage.

15-2.02C Traffic Stripes and Pavement Markings: All traffic stripes, pavement markings or any other traffic markings shall be removed by you to the satisfaction of the Engineer and in accordance with Sections 84 of the Standards, and the Plans.

15-2.02D Pavement Markers: All raised pavement markers shall be removed by you to the satisfaction of the Engineer and in accordance with Sections 82 of the Standard Specifications, City Standards, and the Plans.

15-2.08A General: Reset existing City facility boxes and lids to grade. The City will furnish at no cost to you new material to replace existing boxes and lids that do not comply with current City Standards or damaged prior to Contractor's operations.

15-2.10B Adjust Frames, Covers, Grates, and Manholes: Existing manhole frames and covers, valve boxes, mainline cleanouts and monuments adjusted to grade shall conform to City Standards.

You shall accurately locate and record the location of existing and new manholes, valve boxes, mainline cleanouts, and monuments to be adjusted to grade and shall furnish the Engineer a copy of said record prior to starting construction.

All facilities on active systems shall be accessible at all times to City personnel unless otherwise stated in these Special Provisions or approved by the Engineer.

After placement of the finish course of asphalt concrete, you shall mark all overlaid manholes, valve boxes, mainline cleanouts and monuments, whether new or existing, with white paint by the end of that working day.

All new and existing manholes, valve boxes, mainline cleanouts and monuments shall be accessible within 48 hours after they are covered.

Final grade adjustments and installation of concrete collars shall be done on the same working day. Final paving around manholes, valve boxes, mainline cleanouts and monuments shall be completed the following working day.

All silt and debris shall be removed from finished structures. This shall include all existing silt and debris plus material caused by Your operation.

If new or existing water valve riser pipe needs to be extended after paving to conform to City STD-877, you shall use either a slip x slip glued PVC coupling or a transition coupling with sheer bands as directed by the Engineer. Upsizing the existing riser pipe to 8-inch will not be required unless otherwise directed by the Engineer. Any added extension must be a minimum of 12 inches. The lower section of riser pipe shall be adjusted to accommodate this requirement.

In the event that you encounter water valve boxes with round lids or sanitary sewer frame and covers with open pick holes which must be adjusted to grade. You are to provide a count to the Engineer a minimum of two days prior to paving to obtain replacements that complies with current City Standards. The City will provide

replacements provided You are not required to replace them as part of the contract or due to damage by Your operations. Valve boxes and frames and covers on facilities to be abandoned shall not be included in the count provided to the Engineer. You shall be responsible for delivery of new frames, boxes, and covers from the City warehouse to the job site. Prior to removal of an existing manhole frame, a platform shall be constructed in the manhole above the top of the sewer to prevent any dirt or debris from falling into the sewer. The platform shall remain in place until all work on the manhole has been completed and the asphalt concrete has been placed around the manhole. Prior to the removal of the platform from the manhole, all dirt and debris shall be removed.

All grade rings shall be set in cement mortar the same day they are placed. All joints shall be smoothly plastered inside and out.

Existing grade rings removed in the adjustment of manhole frames shall become the property of You and if undamaged and thoroughly cleaned of mortar may be reused in the work. If not so used, they shall be disposed of away from the site of work at your expense.

Manhole frames shall be reinstalled to align directly over the grade rings. Any frames misaligned by more than ½ inch shall be removed and reinstalled.

Existing Monuments adjusted shall conform to City Standards 280 to 284 and 78-2 Survey Monuments, of the specifications.

15-3.03 Construction: All removed concrete shall become the property of You and shall be immediately off-hauled. None of the removed concrete shall be dumped or stockpiled on the work site. You shall dispose of all removed concrete at a recycler for this material. Burying of broken concrete within the limits of the project will not be allowed.

All concrete which is to be removed from sidewalk, curb, gutter and driveway areas shall be removed to the nearest score mark or construction joint as directed by the Engineer unless otherwise noted on Project Plans. The edge of existing concrete to remain shall be neat and free of defects. Saw cutting may be required to achieve this.

Concrete removal includes removal of any reinforcing steel embedded in the concrete and no additional allowance will be made for the removal of such steel.

Where new concrete is to join existing concrete, remove enough concrete to allow splicing of new reinforcement. Protect existing reinforcement to be incorporated into the new work from damage.

Irrigation facilities may be encountered during concrete removal and replacement. You shall exercise care in this area and repair any damage done by their operations at no additional cost to the City.

Landscaping and other surfaces or structures shall be restored to original condition at no additional cost to the City.

15-7 Utility Clearances: *All items noted in this Section shall take place prior to any other construction activities.*

Pothole information provided on the Project Plans shall be for reference use only and shall not be considered as accurate information for any other areas within the project limits.

Contractor shall investigate, confirm and/or determine the exact locations of existing utilities, and verify clearances between existing and proposed utilities at crossings and/or known potential conflicts. You shall determine elevations and alignments of existing utilities at connection points.

You shall determine elevations and alignments of existing sewer laterals, at the back of sidewalk, if a new proposed sewer main is at a higher elevation than the existing sewer main.

You shall provide all relevant information in writing to the Engineer immediately upon discovery of any conflict. Any delay in notification to the Engineer may delay direction and/or corrective action and a delay claim due to this reason shall not be considered by the City. You shall not proceed with any work that is in conflict until

direction is provided by the Engineer and shall redirect crews to other contract work. All the information required to be obtained per this Section and any other information not noted but relative to the project shall be provided to the Engineer on a set of Plans when the investigative effort is complete.

15-1.04 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

19 EARTHWORK

19-1 General

19-1.01 General:

1. Non-contaminated site: See section 19-2.03B, Surplus Material, of these Technical Specifications.

19-1.01A Summary: Earthwork shall also include excavation for trenching.

19-1.03B Unsuitable Material: Stabilization of unsuitable material shall comply with the following provisions:

- A. Unsuitable material may be processed in place, may be excavated and placed on the grade or other locations suitable for further processing, or may be partially excavated and partially processed in place.
- B. Processing may consist of drying to provide a stable replacement material or mixing with lime per Section 24.
- C. Stabilized material shall be placed and compacted in layers as hereinafter specified for constructing embankments.

19-1.03B(1) Subgrade stabilization: Any area of the subgrade determined by the Engineer to be unsuitable shall be stabilized. Processing of unsuitable subgrade material is not allowed. The areas to be stabilized will be marked in the field by the Engineer after roadway excavation of the area is complete. Use of a pavement grinder shall be considered an acceptable method of excavation of areas requiring subgrade stabilization.

19-1.03C Grade Tolerance: When aggregate subbase or aggregate base are to be placed on the grading plane, the grading plane shall not vary more than 0.05' above or 0.1' below the grade established by the Engineer.

The cost for the asphalt concrete base shall be included with the contract unit price for subgrade stabilization.

19-2.03A General: The Engineer shall provide reference points and cut sheets for the excavation of the roadway. You shall furnish an excavation and paving plan and a qualified grade setter to ensure the subgrade conforms to the lines and grades established by the Engineer.

For roadway reconstruction, Roadway Excavation shall be performed with a pavement grinder. No other construction equipment including rubber-tired equipment shall be allowed on the subgrade.

Roadway excavation and asphalt concrete base paving, including Stabilization Fabric per section 19-8.02, paving shall be completed for half the street width before beginning excavation of the remaining street.

You shall note that there are street trees near areas intended for roadway excavation. Your operation, including the size of the grinding equipment, shall be such, so as to ensure that existing street trees are not damaged. Where limited clearance under the street trees prevents the use of a grinder, excavation shall be performed by an alternate method as approved by the Engineer. Alternate methods may include jackhammering and removal of existing pavement and base materials by hand, or by use of smaller grinding equipment.

Where tree roots are encountered during roadway excavation, you shall cut the roots off six inches below the planned subgrade. Each cut shall be clean with no torn bark or splintered wood remaining on the root and shall be accomplished by use of a saw appropriate for the size of the root to be cut.

19-2.03B Surplus Material: You shall be responsible for the removal and disposal of surplus materials. You shall notify the City of the disposal location before the start of construction. Surplus material, including removal of existing bituminous pavement and base materials will be paid for as roadway excavation.

19-5 Compaction

19-5.03B Relative Compaction: Relative compaction of not less than 95 percent shall be obtained for a minimum depth of 0.5-foot below the grading plane for the full width of the planned pavement structural section, whether in excavation or embankment.

Relative compaction of not less than 95 percent shall be obtained for embankment under bridge and retaining wall footings without pile foundations within the limits established by inclined planes sloping 1.5:1 out and down from lines one foot outside the bottom edges of the footing.

19-10.02 Materials: Subgrade enhancement geotextile (aka soil stabilization fabric) shall be installed per manufacturer's recommendations and shall meet or exceed the following specifications:

Grab Tensile Strength (ASTM D4632)	290 lb.
Mullin Burst Strength (ASTM D3786)	500 psi
Trapezoid Tearing Strength (ASTM D4533)	113 lb.
Modulus (Load at 10% Elongation) (ASTM D4632)	120 lb.
Apparent Opening Size (ASTM D4751)	40-70 sieve
Permittivity (ASTM D4491)	0.05 sec ⁻¹

Soil stabilization fabric shall be Mirafi 600-X, GeoTex 315ST, Carthage Mills FX-66, TerraTex HD, or approved equivalent.

Prior to placement of soil stabilization fabric, you shall remove all loose dirt left from excavation operations.

Soil stabilization fabric shall be placed over the entire subgrade area. The soil stabilization fabric shall be held in place with wooden stakes driven through the fabric into the subgrade at the beginning and the end of the fabric and at 50-foot intervals. A minimum of three stakes shall be placed across the width of the fabric roll at each interval. The stakes shall be a minimum length of 8-inches and shall be driven at an angle opposite to the direction of pull exerted on the fabric by the paving machine.

19-13 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

25 AGGREGATE SUBBASE

25-1.02 Materials

25-1.02A General:

Aggregate subbases shall not consist of any combination of reclaimed processed asphalt concrete, PCC, LCB, or CTB.

Recycled material cannot be used below the designed structural section within the roadway and never for structural fill outside of the roadway structural section.

25-1.02C Class 4 Aggregate Subbase: Aggregate subbase shall be Class 4 conforming to and placed in accordance with the requirements of Section 25 of the City Specifications, with the following modifications and additional requirements.

Aggregate subbase shall be Class 4 with a minimum sand equivalent value of 21, a minimum R-value of 50 and shall conform to the following gradings:

Sieve Size	Percent Passing
3"	100
1-1/2"	90-100
3/4"	50-90
#4	25-55
#200	2-11

The material contained on the #4 screen shall consist of 100 percent crushed particles.

Rolling shall commence immediately after spreading of the damp material and before the material has dried sufficiently to allow separation between the fine and coarse particles.

Class 4 aggregate subbase will be paid for at the contract price per square yard.

The contract price paid per square yard for Class 4 aggregate subbase shall include all compensation for furnishing all labor, materials, tools and equipment, and doing all the work involved in furnishing and placing the base material as specified, including furnishing, hauling, and applying water as specified and directed by the Engineer.

25-1.03 Construction

25-1.03E Compacting: The surface of the finished aggregate subbase shall be firm and unyielding. Any visible movement vertically or horizontally of the aggregate subbase under the action of construction equipment or other maximum legal axle loads shall be considered as evidence that the aggregate subbase does not meet this requirement.

25-1.03F Grade Tolerance: The subgrade to receive aggregate subbase, immediately prior to spreading, shall not vary more than 0.05-foot above or 0.1-foot below the grade established by the Engineer.

25-2 Payment: Full compensation for conforming to the provisions of these specifications shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed, therefore.

80 FENCES

80-1.03 Connections: Existing cross fences shall be connected to the new fences. Corner posts with braces for every direction of strain shall be placed at the junction with existing fences. The wire in the new and existing fences shall be fastened to the posts.

80-2.02C(2) Chain Link Fence Materials: Security fencing shall consist of galvanized chain link fence with the following:

- 6' galvanized chain link fabric (9-gauge, 2" mesh, ASTM A392)
- 2-3/8" O.D. Schedule 40 galvanized steel line posts **per plan**
- 3" O.D. Schedule 40 galvanized steel end/corner posts with single horizontal braces
- All steel hot-dip galvanized after fabrication (ASTM A123, 2.0 oz/ft² minimum)
- Top rail: 1-5/8" O.D. galvanized steel pipe

80-2.02G Gateways: Provide self-closing galvanized chain link double gate as shown on plans. Gate frame constructed of 2-3/8" O.D. galvanized steel pipe. Provide galvanized heavy-duty hinges, fork latch, and padlock hasp. Gate posts minimum 6" O.D. galvanized steel pipe set 3' deep in concrete Contractor shall field verify existing gate openings (if any) and submit shop drawings for Engineer approval.

SECTION 01 11 00
SUMMARY OF WORK

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes the installation of a new generator set, Automatic Transfer Switch, propane tank and piping, to support the back-up power of critical flow and remote monitoring infrastructure at the City of Santa Rosa Delta Pond site, and incidental related work.

1.1.2 Location

The work is located at the City of Santa Rosa Delta Pond site, approximately as indicated by Project Plans and Drawings.

1.2 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract.

Before work is started, arrange with the City Engineer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.3 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the City Engineer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.4 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 5 days prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Verify existing utility locations indicated on Contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with dual frequency Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities are discovered. Verify the elevations of existing piping, utilities, and any type of underground obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

1.4.1 Notification Prior to Excavation

Notify the City Engineer at least 15 days prior to starting excavation work.

1.4.2 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

1.5 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the City Engineer to be salvaged remain the property of the City. Segregate, itemize, deliver and off-load the salvaged property at the City designated storage area located .

1.6 BID ITEM DESCRIPTIONS

Bid Items are presented to indicate major categories of the Work for purposes of comparative bid analyses and payment breakdown for monthly progress payments. Bid items are not intended to be exclusive descriptions of Work categories and the Contractor shall determine and include in its pricing all materials, labor, and equipment necessary to complete each Bid Item (work phase) as shown and specified.

- a. **Bid Item 1 – General Conditions:** This bid item includes all labor, materials, and equipment for general scope of work items not included in any other bid items. This bid items includes contractor mobilization to site and demobilization off of site upon project completion, general site management such as trailers, portable restrooms, security etc. This bid item also includes the new fencing, posts, their associated concrete supports, installation, and the bird shield to protect the new Automatic Transfer Switch (ATS). Any contractor costs for special inspections should be included in this bid item.
- b. **Bid Item 2 - Concrete:** This bid item includes all labor, materials, and equipment associated with the concrete pads for the new Generator Propane tank. This includes all site preparation work for the pads, excavation, etc. This bid item includes all seismic connections required for the equipment as specified on project plans and Contract Documents.
- c. **Bid Item 3 – Electrical Installation:** This bid item includes all labor, materials, tools and equipment and all work involved in electrical and instrumentation connection of the new equipment, lighting fixtures, conduit, conductors, wiring, grounding, breakers/panels, control panels, and instrumentation work as shown on Drawings, and as herein specified. Lighting fixture materials are included in this bid item. Payment will be made at the lump sum price given in the Bid Schedule and based on percent completion of work required.
- d. **Bid Item 4 – Equipment:** This bid item includes the supply of the major equipment of this project, including the 60kW propane generator set, the new Automatic Transfer Switch (ATS), and new 1000 gallon propane storage tank. This bid item also includes any and all warranties, customer value agreements, and any recurring maintenance agreements with the City.
- e. **Bid Item 5 - Propane Piping Installation:** This bid item includes all labor, materials, tools and equipment and all work involved in the installation of the propane piping

connecting the generator to the on-site, 1000 gallons propane storage tank; includes propane piping, valves, pressure regulators, and components. All items and installation shall be as described on Drawings and Specifications. Payment will be made at the lump sum price given in the Bid Schedule and based on percent completion of work required.

- f. **Bid Item 6: Controls Commissioning:** This bid item includes the all labor required in the start-up of the generator, including testing, commissioning, equipment checkout, etc.
- g. **Bid Item 7: Rigging/Placement of Units:** This bid item includes all labor, materials, tools and equipment and all work involved in transferring and setting the major equipment in place (generator, tank etc.). Includes off-loading off of delivery trucks, and moving to staging and/or installation location dependent on contractor sequencing and site management. Rental equipment for this effort is included in this bid item.
- h. **Bid Item 8 Earthwork Trenching:** This bid item includes all labor, materials, tools and equipment and all work involved in the site preparation of the underground trenching for the placement of new electrical conductors, conduits, wiring, grounding, and of new propane piping as indicated on the Project Plans and Specifications. This bid item also includes all backfill, and any off-site removal of material. Utility locates and underground warning tape to protect below-grade piping and electrical are included in this bid item. Rental equipment for this effort is included in this bid item.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

SECTION 01 14 00
WORK RESTRICTIONS

PART 1 GENERAL

1.1 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00
SUBMITTAL PROCEDURES:

Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.
- b. The site will remain in operation during the entire construction period. Conduct operations so as to cause the least possible interference with normal operations of the activity.
- c. Permission to interrupt any Activity roads, operations, or utility service as applicable to this project must be requested in writing a minimum of 28 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, including delivery vehicles, are clearly identified with their company name.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The City Engineer will identify designated smoking areas.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

SECTION 01 14 19

USE OF SITE

PART 1 GENERAL

1.1 SECTION INCLUDES:

Contractor may use owner's property designated within the construction limits shown on the Project Plans and Drawings for equipment and materials provided contractor confines operations to those permitted by local laws, ordinances, and permits. Contractor shall:

- a. Not unreasonably encumber site with materials or equipment.
- b. Assume full responsibility for protection and safekeeping of products stored on premises.
- c. Move any stored products that interfere with operations of the owner at the contractor's expense.
- d. Obtain and pay for use of additional storage or work areas needed for operations.

1.2 LIMITS OF CONSTRUCTION

Contractor shall confine all construction activities within owner's property and construction limits, unless contractor obtains a permit or written permission from the owner(s) of property outside of these areas. Said permit or written permission shall be secured and paid for by contractor at no extra cost to owner and a copy shall be provided to engineer. Any damage to property, either inside or outside the limits of the easements provided by owner, shall be the responsibility of contractor. Contractor shall bear all costs necessary to repair, replace or restore any property damaged.

1.3 SECURITY

Contractor shall at all times be responsible for the security of Contractor's facilities and equipment. Owner will not take responsibility for missing or damaged equipment, tools, or personal belongings of Contractor.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

PART 1 GENERAL

1.1 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

Contractor shall be paid and issue invoices in accordance with Article 8 – Payment of General Conditions of these specifications

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

PART 1 GENERAL

1.1 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Preconstruction Submittals

Progress and Completion Pictures

1.2 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 10 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the City Engineer. Submit with the monthly invoice one set of digital photographs attached to the invoice, or via a secure file sharing link with progressive invoice, cumulative of all photos to date. Include a date designator in file names. Photographs provided are for unrestricted use by the City.

1.3 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by contract documents/General Conditions of these specifications, during the entire period of performance under this contract. Provide other insurance coverage as required by California law.

1.4 SUPERVISION

1.4.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years' experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of OSHA and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The City Engineer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

1.4.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.4.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend project meetings as described in the Project Meetings section of these specifications. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.4.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the City Engineer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the City Engineer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time or excess costs or damages by the Contractor.

1.5 PROJECT MEETINGS

City will schedule physical arrangements for meetings throughout progress of the Work, prepare meeting agenda with regular participant input and distribute with written notice of each meeting, preside at meetings, record minutes to include significant proceedings and decisions, and reproduce and distribute copies of minutes within 5 days after each meeting to participants and parties affected by meeting decisions. Refer to Section 2.2 (F) of General Conditions for additional requirements.

1.5.1 Preconstruction Meeting

Prior to commencing any work at the site, coordinate with the City Engineer a time and place to meet for the Preconstruction. The purpose of this is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: shop drawings, submittals, execution of the work, City acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing. Contractor shall be prepared to discuss the following, including but not limited to:

- a. Daily reporting
- b. Invoicing
- c. Safety, including Contractor Safety Plan
- d. Site access and logistics
- e. Outage requests
- f. Schedule requirements, including critical path work items and sequencing
- g. Quality Control
- h. Progress payment procedures and earned value report if applicable
- i. Status of Bonds and Insurance
- j. City acceptance, final inspections, and contract close-out.

1.5.2 Progress Meetings

City will schedule regular progress meetings as specified in Article 2.2 (F) of General Conditions. Attendees will include Contractors and Sub-Contractor's representatives, City's Representatives, and Engineers of Record as appropriate and required.

1.5.3 Coordination Meetings

City reserves the right to schedule as needed, and if deemed necessary, additional meetings for Contractor's attendance for coordination with other entities and parties for any work being performed near the Project site. Contractor attendance at these meetings will not constitute a Change Order to the City under any conditions.

1.5.4 Attendees

Contractor attendees must include: the Project Manager, Superintendent, Site Foreman, and major subcontractor's representatives at Contractor's discretion or as requested by the City. City and Engineer of Record's representatives will be expected to attend. Refer to Article 2.2 (F) of General Conditions

1.6 SYSTEM OUTAGE REQUEST (SOR)

Contractor shall prepare SOR (template provided on next pages as required, including, but not limited to the following conditions:

- a. Shutdowns, diversions, and tie-ins to the existing facility.
- b. Process start-up activities.
- c. Power interruption and tie-ins.
- d. Switch over between temporary and permanent facilities, equipment, piping, and electrical and instrumentation systems.
- e. Process constraints requiring interruption of operating processes or utilities.
- f. Road closures

Other Work not specifically listed may require SORs as determined necessary by the Contractor, or City.

Where required to minimize treatment process interruptions while complying with specified sequencing constraints, provide temporary pumping, power, lighting, controls, instrumentation, and safety devices throughout the project duration regardless of whether there are active construction activities or not.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

City of Santa Rosa
City Project No.:

CITY OF SANTA ROSA SYSTEM OUTAGE REQUEST

SOR No.:
Date:

To: Requested By:

1. A shutdown is requested on the following (attach an 8-1/2" x 11" highlighted / color -coded plan(s) and or section(s) as appropriate): System / Equipment / Roadway

2. Proposed date of shutdown:

3. Estimated duration: Hrs.

Beginning at _____

4. Reason for shutdown:

5. Operations staff assistance required:

Maintenance staff assistance required:
Describe:

6. Method of Approach / Sequence of Events

7. Equipment to be used during shutdown:

8. Contingency Plan:

City of Santa Rosa
City Project No.:

CITY OF SANTA ROSA
SYSTEM OUTAGE REQUEST - RESPONSE

SOR No. _____

Item: _____

Construction Manager Comments:

Construction Manager Signature: _____ Date: _____

Date Transmitted to Plant Operations / Maintenance: _____

Plant Operations / Maintenance Comments:

Plant Operations: _____ Date: _____

Plant Maintenance: _____ Date: _____

Date Transmitted to Construction Manager: _____

SOR Acceptable with Comments Noted: _____

SOR Not Acceptable/Resubmit: _____

Date Construction Manager Transmits to Contractor: _____

SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections, and in Article 2.5 of the General Conditions of these Specifications. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

Preconstruction Submittals

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

- Certificates Of Insurance
- Surety Bonds
- List Of Proposed Subcontractors
- List Of Proposed Products Baseline Network Analysis Schedule (NAS)
- Submittal Register
- Schedule Of Prices Or Earned Value Report
- Work Plan
- Quality Control (QC) plan
- Environmental Protection Plan

Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Safety Data Sheets(SDS)concerning impedances, hazards and safety precautions.

Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce submittals.

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 City Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring City approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 PREPARATION

1.4.1 Submittal Format

1.4.1.1 Format of Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet

accompanying the document. Provide data in the unit of measure used in the contract documents.

1.4.1.2 Format for Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

1.4.1.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the City contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 2 inches on the right-hand side of each sheet for the City disposition stamp.

1.4.1.3 Format of Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.4.1.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.4.1.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM),

National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the City Engineer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.1.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort. Submit the manufacturer's instructions before installation.

1.4.1.4 Format of Samples

1.4.1.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

1.4.1.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.4.1.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.4.1.5 Format of Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.4.1.6 Format of Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.4.1.7 Format of Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.4.1.8 Format of Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for Certificates.

Submit the manufacturer's instructions before installation.

1.4.1.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM),

National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the City Engineer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.1.9 Format of Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.4.1.10 Format of Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.4.1.11 Format of Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document. Provide data in the unit of measure used in the contract documents.

1.4.2 Source Drawings for Shop Drawings

1.4.2.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after Notice to Proceed.

1.4.2.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the City. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the City, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the City harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The City makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the City and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5 QUANTITY OF SUBMITTALS

1.5.1 Number of Preconstruction Submittal Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.5.2 Number of Samples

- a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of nonsolid materials.

1.6 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the Contractor and submitted to the City Engineer for information-only. Provide information-only submittals to the City Engineer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the City Engineer is not required on information only submittals. The City Engineer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the City reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the City Engineer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the City laboratory or for check testing by the City in those instances where the technical specifications so prescribe.

1.7 PROJECT SUBMITTAL REGISTER

1.7.1 Submittal Management

Prepare and maintain a submittal register or log, as the work progresses. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required. Contractor may also provide this register and through a reputable construction management software, such as Procore. Contractor shall provide access to any softwares if used for City representatives and/or Engineers of Record as required by City.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the City.

1.7.2 Contractor Use of Submittal Register/Log

Update the following fields with each submittal throughout the contract.

- a. Transmittal Number: List of consecutive, Contractor-assigned numbers.

- b. Action: Date of action used to record Contractor's review when forwarding submittals to QC.
- c. Date submittal transmitted.
- d. Date approval was received.

1.7.3 Approving Authority Use of Submittal Register

Update the following fields:

- a. Transmittal Number: List of consecutive, Contractor-assigned numbers.
- b. Date submittal was received.
- c. Dates of review actions.
- d. Date of return to Contractor.

1.8 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for City reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the City Engineer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."
- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

City Engineer review will be completed within 15 days after the date of submission.

1.9 APPROVING AUTHORITY

When the approving authority is the City Engineer, the City will:

- a. Note the date on which the submittal was received.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring City approval, stamp and date submittals. (2) copies of the submittal will be retained by the City Engineer and (1) copies of the submittal will be returned to the Contractor.

1.9.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" authorize proceeding with the work covered in accordance with City notations, provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "Partial approval, resubmit as noted" indicate that elements of the submittal comply with project requirements, but that corrections are required or portions are missing. Contractor to resubmit as noted by City. Except for portions indicated, Contractor may begin to incorporate product(s) or implement Work covered by submittal, in accordance with City's notations.
- d. Submittals marked "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

1.10 DISAPPROVED SUBMITTALS

Make all corrections required by the City Engineer. If the Contractor believes that any correction or notation on returned submittals constitutes a change to the Contract Documents, the Contractor must promptly notify the City Engineer in writing and submit a Change Order request in accordance with Article 3.2(B) and Article 6 of the General Conditions. The Contractor remains fully responsible for the dimensions and design of connection details and for the proper construction of the Work. Failure to identify and notify the City of any variation from the Contract Documents may result in rejection and required removal and replacement of such Work at the Contractor's sole expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.11 APPROVED SUBMITTALS

The City Engineer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the City for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist; under the requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the City Engineer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.12 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The City reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the City Engineer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

-- End of Section --

SECTION 01 78 00
CLOSEOUT SUBMITTALS

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the City Engineer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

Manufacturer's Instructions

Posted Instructions

Operation and Maintenance Data

Operation and Maintenance Manuals; G

Closeout Submittals

As-Built Drawings; G

As-Built Record of Equipment and Materials

Warranted Equipment and Materials

1.3 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.4 WARRANTY MANAGEMENT

1.4.1 Warranty Management Plan

Submit one comprehensive warranty management plan 30 days prior to Final Completion per Article 11 of General Conditions of these specifications.

This section supplements Article 11's requirements. In case of conflict, Article 11 governs. All manufacturer warranties shall be assigned to City benefit. The plan shall supplement City warranty requirements and include:

- a. Contact information for Contractor, subcontractors, manufacturers (name, address, phone, email)
- b. Warranted equipment list for generator and major components:
 - (1) Item name, model/serial numbers, location
 - (2) Manufacturer/supplier contacts and spare parts sources
 - (3) Warranty terms (1-year construction + manufacturer extended)
 - (4) Maintenance procedures required to maintain warranty
 - (5) Cross-reference to O&M manuals
- c. Warranted Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:
 - (1) Name of item.
 - (2) Model and serial numbers.
 - (3) Location where installed.
 - (4) Name and phone numbers of manufacturers or suppliers.
 - (5) Names, addresses and telephone numbers of sources of spare parts.
 - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
 - (7) Cross-reference to warranty certificates as applicable.
 - (8) Starting point and duration of warranty period.(9) Summary of maintenance procedures required to continue the warranty in force.
 - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
 - (11) Organization, names and phone numbers of persons to call for warranty service.

(12) Typical response time and repair time expected for various warranted equipment.

- d. Procedure and status of tagging of equipment covered by warranties longer than one year.
- e. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.4.2 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the City Engineer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and red-lined PDF files. Submit As-Built Drawings 30 days prior to Start-Up.

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

- a. Use base colors of red, green, and blue. Color code for changes as follows:
 - (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.
 - (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.
 - (3) Additions (Green) - Added items, lettering in notes and leaders.
- b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.
- c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.
- d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.
- e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.
- f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.
- g. For deletions, cross out all features, data and captions that relate to that revision.
- h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.
- i. Indicate one of the following when attaching a print or sketch to a markup print:
 - (1) Add an entire drawing to contract drawings
 - (2) Change the contract drawing to show changes on the drawing.
 - (3) Provided for reference only to further detail the initial design.

- j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Layout and schematic drawings of electrical circuits and piping.
- d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.
- f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- g. Changes or Revisions which result from the final inspection.
- h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.
- i. If borrow material for this project is from sources on City property, or if City property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- k. Changes in location of equipment and architectural features.
- l. Modifications.
- m. Actual location of anchors, construction and control joints, etc., in concrete.
- n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.
- o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit to the City Engineer for approval within 30 calendar days of Start-Up.

3.3 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

PART 1 GENERAL

1.1 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Operation and Maintenance Data

Training Plan; G

Training Outline; G

Training Content; G

Operation And Maintenance Manual, Progress Submittal; G

Operation And Maintenance Manual, Prefinal Submittal; G

Operation And Maintenance Manual, Final Submittal; G

Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

Training Plan; G

1.2 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide a list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.3 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide USB hard drives, as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.3.1 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.4 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: www.wbdg.org/dod/ufgs/ufgs-01-78-23.

1.4.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.4.2 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.4.2.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.4.2.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.4.2.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.4.2.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.4.2.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.4.2.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.4.2.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or

system. Describe conditions under which the item equipment should not be allowed to run.

1.4.2.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.4.2.9 Additional Requirements for Equipment Control Systems

Provide the following for all control systems:

- a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.
- b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.
- c. Full print out of all schedules and set points after testing and acceptance of the system.
- d. Full as-built print out of software program.
- e. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.4.2.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.4.3 Routine Maintenance Requirements

1.4.3.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability

requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.4.3.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.
- c. A Lubrication Schedule showing service interval frequency.

1.4.4 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.4.4.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.4.4.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.4.4.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies,

subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.4.4.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.4.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.4.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.4.4.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the Operation and Maintenance information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.4.4.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.4.4.9 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.4.5 Facility Operations

1.4.5.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

- a. Electrical systems and equipment
- b. Mechanical systems and equipment
- c. Fire Protection systems and equipment
- d. Control Systems and equipment
- e. Architectural and Structural systems, fixtures, structures, and equipment
- f. Vertical transportation such as elevators and escalators

1.4.5.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.4.5.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.4.5.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports and Manufacturer's Field Reports submittals.

1.4.5.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.4.5.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.4.5.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.4.5.5.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.4.6 Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.4.7 Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.4.8 FAQs

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.4.9 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.5 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.5.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.5.2 Data Package

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Operating log
- i. Lubrication data
- j. Preventive maintenance plan, schedule, and procedures
- k. Cleaning recommendations
- l. Troubleshooting guides and diagnostic techniques

- m. Wiring diagrams and control diagrams
- n. Repair procedures
- o. Removal and replacement instructions
- p. Spare parts and supply list
- q. Repair work-hours
- r. Product submittal data
- s. O&M submittal data
- t. Parts identification
- u. Warranty information
- v. Extended warranty information
- w. Personnel training requirements
- x. Testing equipment and special tool information
- y. Testing and performance data
- z. Contractor information. Field test reports

1.5.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the City Engineer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the City Engineer, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the City Engineer.

3.1.1 Training Plan

Submit a written training plan to the City Engineer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the prior to forwarding to the City Engineer. Also, coordinate the training schedule with the City Engineer and. Include within the plan the following elements:

- a. Equipment included in training
- b. Intended audience
- c. Location of training
- d. Dates of training
- e. Objectives
- f. Outline of the information to be presented and subjects covered including description
- g. Start and finish times and duration of training on each subject
- h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)
- i. Instructor names and instructor qualifications for each subject
- j. List of texts and other materials to be furnished by the Contractor that are required to support training
- k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The CxA is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

- a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.
- b. Relevant health and safety issues.
- c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.
- d. Design intent.
- e. Use of O&M Manual Files.
- f. Review of control drawings and schematics.
- g. Interactions with other systems.
- h. Special maintenance and replacement sources.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the City Engineer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.5 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm City participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the City Engineer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the City Engineer for approval a minimum of 10 calendar days prior to Startup. This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the City's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

3.2.2 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the City's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 03 30 00

CAST-IN-PLACE CONCRETE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 301	(2020) Specifications for Structural Concrete
ACI 302.1R	(2015) Guide for Concrete Floor and Slab Construction
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 308.1	(2023) Specification for Curing Concrete
ACI 347R	(2014; Errata 1 2017) Guide to Formwork for Concrete

ASTM INTERNATIONAL (ASTM)

ASTM A615/A615M	(2024) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A767/A767M	(2024) Standard Specification for Zinc-Coated (Galvanized) Steel Bars for Concrete Reinforcement
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM A884/A884M	(2019; Errata 1) Standard Specification for Epoxy-Coated Steel Wire and Welded Wire Reinforcement
ASTM A934/A934M	(2022) Standard Specification for Epoxy-Coated Prefabricated Steel Reinforcing Bars
ASTM A996/A996M	(2016) Standard Specification for Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement

ASTM A1022/A1022M	(2016b) Standard Specification for Deformed and Plain Stainless Steel Wire and Welded Wire for Concrete Reinforcement
ASTM A1064/A1064M	(2024) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM A1094/A1094M	(2020) Standard Specification for Continuous Hot-Dip Galvanized Steel Bars for Concrete Reinforcement
ASTM C31/C31M	(2025a) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2024a) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2024) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C78/C78M	(2022) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
ASTM C94/C94M	(2024d) Standard Specification for Ready-Mixed Concrete
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2024a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C595/C595M	(2024) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2023; E 2023) Standard Specification for Coal Fly Ash and Raw or Calcined Natural
Pozzolan for Use in Concrete ASTM C803/C803M	(2023) Standard Test Method for Penetration Resistance of Hardened Concrete

ASTM C845/C845M	(2018) Standard Specification for Expansive Hydraulic Cement
ASTM C873/C873M	(2015) Standard Test Method for Compressive Strength of Concrete Cylinders Cast in Place in Cylindrical Molds
ASTM C900	(2015) Standard Test Method for Pullout Strength of Hardened Concrete
ASTM C989/C989M	(2024) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1012/C1012M	(2024) Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution
ASTM C1074	(2019; E 2021) Standard Practice for Estimating Concrete Strength by the Maturity Method
ASTM C1077	(2024) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM C1157/C1157M	(2023) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1240	(2020) Standard Specification for Silica Fume Used in Cementitious Mixtures
ASTM C1260	(2023) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
COMPOSITE PANEL ASSOCIATION (CPA)	
ANSI/CPA A135.4	(2012; R2020) Basic Hardboard
CONCRETE REINFORCING STEEL INSTITUTE (CRSI)	
CRSI 10MSP	(2018; Errata 2019) Manual of Standard Practice
CRSI RB4.1	(2016) Supports for Reinforcement Used in Concrete
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)	
NIST PS 1	(2009) DOC Voluntary Product Standard PS

1-07, Structural Plywood

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 104

(1980) Method of Calculation of the

Fineness Modulus of Aggregate

1.2 DEFINITIONS

- a. "Cementitious material" as used herein includes all Portland cement, pozzolan, fly ash, slag cement.
- b. "Exposed to public view" means situated so that it can be seen from eye level from a public location after completion of the building. A public location is accessible to persons not responsible for operation or maintenance of the building.
- c. "Chemical admixtures" are materials in the form of powder or fluids that are added to the concrete to give it certain characteristics not obtainable with plain concrete mixes.
- d. "Supplementary cementing materials" (SCM) include coal fly ash, silica fume, slag cement, natural or calcined pozzolans, and ultra-fine coal ash when used in such proportions to replace the Portland cement that result in improvement to sustainability and durability and reduced cost.
- e. "Design strength" (f'_c) is the specified compressive strength of concrete at time(s) specified in this section to meet structural design criteria.
- f. "Mass Concrete" is any concrete system that approaches a maximum temperature of 158 degrees F within the first 72 hours of placement. In addition, it includes all concrete elements with a section thickness of 3 feet or more regardless of temperature.
- g. "Mixture proportioning" is the process of designing concrete mixture proportions to enable it to meet the strength, service life and constructability requirements of the project while minimizing the initial and life-cycle cost.
- h. "Mixture proportions" are the masses or volumes of individual ingredients used to make a unit measure (cubic meter or cubic yard) of concrete.
- i. "Pozzolan" is a siliceous or siliceous and aluminous material, which in itself possesses little or no cementitious value but will, in finely divided form and in the presence of moisture, chemically react with calcium hydroxide at ordinary temperatures to form compounds possessing cementitious properties.
- j. "Workability (or consistence)" is the ability of a fresh (plastic) concrete mix to fill the form/mould properly with the desired work (vibration) and without reducing the concrete's quality. Workability depends on water content, chemical admixtures, aggregate (shape and size distribution), cementitious content and age (level of hydration).

1.3 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Shop Drawings

Formwork

Reinforcing Steel; G

Design Data

Concrete Mix Design; G

Formwork Calculations

Test Reports

Concrete Mix Design; G

Compressive Strength Tests; G

Slump Tests

Water

Certificates

Reinforcing Bars

1.4 MODIFICATION OF REFERENCES

Accomplish work in accordance with ACI publications except as modified herein. Consider the advisory or recommended provisions to be mandatory. Interpret reference to the "Building Official" to mean the City Engineer, and the "Structural Engineer," and the "Architect/Engineer" to mean the Engineer of Record.

1.5 DELIVERY, STORAGE, AND HANDLING

Follow ACI 301, ACI 304R and ASTM A934/A934M requirements and recommendations. Do not deliver concrete until vapor retarder, forms, reinforcement, embedded items, and chamfer strips are in place and ready for concrete placement. Do not store concrete curing compounds or sealers with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions, including . Do not store concrete curing compounds or sealers in occupied spaces.

1.5.1 Reinforcement

Store reinforcement of different sizes and shapes in separate piles or racks raised above the ground to avoid excessive rusting. Protect from contaminants such as grease, oil, and dirt. Ensure bar sizes can be accurately identified after bundles are broken and tags removed.

1.6 QUALITY ASSURANCE

1.6.1 Design Data

1.6.1.1 Formwork Calculations

ACI 347R. Include design calculations indicating arrangement of forms, sizes and grades of supports (lumber), panels, and related components. Furnish drawings and calculations of shoring and re-shoring methods proposed for floor and roof slabs, spandrel beams, and other horizontal concrete members. Indicate in calculations concrete pressure with both live and dead loads, along with material types.

1.6.1.2 Concrete Mix Design

Sixty days minimum prior to concrete placement, submit a mix design for each strength and type of concrete. Submit all documentation required in ACI 301 Section 4 and as specified in this section. Submit a complete list of materials including type; brand; source and amount of cement, supplementary cementitious materials, and admixtures; and applicable reference specifications. Submit mill test and all other test for cement, supplementary cementitious materials, aggregates, and admixtures. Provide documentation of maximum nominal aggregate size, gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Provide mix proportion data using at least three different water-cementitious material ratios for each type of mixture, which produce a range of strength encompassing those required for each type of concrete required. If source material changes, resubmit mix proportion data using revised source material. Provide only materials that have been proven by trial mix studies to meet the requirements of this specification, unless otherwise approved in writing by the City Engineer. Indicate clearly in the submittal where each mix design is used when more than one mix design is submitted. Resubmit data on concrete components if the qualities or source of components changes. Required average strength can be documented by field experience if field strength test data are available and represent a single group of at least 10 consecutive strength tests for one mixture, using materials and conditions similar to those expected for work, and encompassing a period of not less than 45 days. The average of field strength tests must equal or exceed f_{cr} . Changes in materials, conditions, and proportions within the test record must not have been more closely restricted than those for the proposed work. Test records must not be more than 24 months old. Obtain mix design approval from the City Engineer prior to concrete placement.

1.6.2 Shop Drawings

1.6.2.1 Formwork

Drawings showing details of formwork including, but not limited to; joints, supports, studding and shoring, and sequence of form and shoring removal. Indicate placement schedule, construction, location and method of forming control joints. Include locations of inserts, conduit, sleeves and other embedded items. Reproductions of contract drawings are unacceptable. Submit form removal schedule indicating element and minimum length of time for form removal.

Design, fabricate, erect, support, brace, and maintain formwork so that it is able to support, without failure, all vertical and lateral loads that may reasonably be anticipated to be applied to the formwork.

1.6.2.2 Reinforcing Steel

Indicate bending diagrams, assembly diagrams, splicing and laps of bars, shapes, dimensions, and details of bar reinforcing, accessories, and concrete cover. Do not scale dimensions from structural drawings to determine lengths of reinforcing bars. Reproductions of contract drawings are unacceptable.

1.6.3 Laboratory Qualifications for Concrete Qualification Testing

The concrete testing laboratory must have the necessary equipment and experience to accomplish required testing. The laboratory must meet the requirements of ASTM C1077 and be Cement and Concrete Reference Laboratory (CCRL) inspected.

1.6.4 Laboratory Accreditation

Laboratory and testing facilities are provided by and at the expense of the Contractor. The laboratories performing the tests must be accredited in accordance with ASTM C1077, including ASTM C78/C78M and ASTM C1260. The accreditation must be current and must include the required test methods, as specified. Furthermore, comply with the following requirements:

- a. Aggregate Testing and Mix Proportioning: Studies performed by an accredited laboratory and under the direction of a registered professional engineer in a U.S. state or territory competent in concrete materials who is competent in concrete materials and must sign all reports and designs.
- b. Acceptance Testing: Furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Furnish and maintain boxes or other facilities suitable for storing and curing the specimens at the site while in the mold within the temperature range stipulated by ASTM C31/C31M.
- c. Contractor Quality Control: All sampling and testing must be performed by an approved, onsite, independent, accredited laboratory.

PART 2 PRODUCTS

2.1 FORMWORK MATERIALS

- a. Form-facing material in contact with concrete must be lumber, plywood. Submit product information on proposed form-facing materials if different from that specified herein.
- b. Design formwork, shores, reshores, and backshores to support loads transmitted to them and to comply with applicable building code requirements.
- c. Design formwork and shoring for load redistribution resulting from stressing of post-tensioned reinforcement. Ensure that formwork allows movement resulting from application of prestressing force.
- d. Design formwork to withstand pressure resulting from placement and vibration of concrete and to maintain specified tolerances.
- e. Design formwork to accommodate waterstop materials in joints at locations indicated in Contract Documents.
- f. Provide temporary openings in formwork if needed to facilitate cleaning and inspection.
- g. Design formwork joints to inhibit leakage of mortar.

- h. Limit deflection of facing materials for concrete surfaces exposed to view to 1/240 of center-to-center spacing of facing supports.
- i. Do not use earth cuts as forms for vertical or sloping surfaces.
- j. Submit product information on proposed form-facing materials if different from that specified herein.
- k. Submit shop drawings for formwork, shoring, reshoring, and backshoring, signed and sealed by a licensed design engineer.
- l. Submit design calculations for formwork, shoring, reshoring, and backshoring, signed and sealed by a licensed design engineer.
- m. Submit procedure for reshoring and backshoring, including drawings signed and sealed by a licensed design engineer. Include on shop drawings the formwork removal procedure and magnitude of construction loads used for design of reshoring or backshoring system. Indicate in procedure the magnitude of live and dead loads assumed for required capacity of the structure at time of reshoring or backshoring.
- n. Submit manufacturer's product data on form liner proposed for use with each formed surface.

2.1.1 Wood Forms

Provide lumber that is square edged or tongue-and-groove boards, free of raised grain, knotholes, or other surface defects. Provide plywood that complies with NIST PS 1, B-B concrete form panels or better or ANSI/CPA A135.4, hardboard for smooth form lining.

2.1.1.1 Concrete Form Plywood (Standard Rough)

Provide plywood that conforms to NIST PS 1, B-B, concrete form, not less than 5/8-inch thick.

2.2 FORMWORK ACCESSORIES

- a. Use commercially manufactured formwork accessories, including ties and hangers.
- b. Form ties and accessories must not reduce the effective cover of the reinforcement.

2.2.1 Form Ties

- a. Use form ties with ends or end fasteners that can be removed without damage to concrete.
- b. Where indicated in Contract Documents, use form ties with integral water barrier plates or other acceptable positive water barriers in walls.
- c. Submit manufacturer's data sheet on form ties.

2.2.2 Chamfer Materials

Use lumber materials with dimensions of 3/4 x 3/4 in.

2.2.3 Other Embedded items

Use sleeves, inserts, anchors, and other embedded items of material and design indicated in Contract Documents.

2.3 CONCRETE MATERIALS

2.3.1 Cementitious Materials

2.3.1.1 Portland Cement

- a. Unless otherwise specified, provide cement that conforms to ASTM C150/C150M Type II .
- b. Use one brand and type of cement for formed concrete having exposed-to-view finished surfaces.
- c. For Portland cement manufactured in a kiln fueled by hazardous waste, maintain a record of source for each batch. Supplier must certify that no hazardous waste is used in the fuel mix or raw materials. Supplier must certify that the hazardous waste is neutralized by the manufacturing process and that no additional pollutants are discharged.
- d. Submit information along with evidence demonstrating compliance with referenced standards. Include types of cementitious materials, manufacturing locations, shipping locations, and certificates showing compliance.
- e. Store cementitious materials and keep dry and free from contaminants.

2.3.2 Water

- a. Water must comply with the requirements of ASTM C1602/C1602M.
- b. Minimize the amount of water in the mix. Improve workability by adjusting the grading of the aggregate and using admixture rather than by adding water.
- c. Provide water potable from rainwater collection from graywater from recycled water; free from injurious amounts of oils, acids, alkalis, salts, organic materials, or other substances deleterious to concrete.
- d. Protect mixing water from contamination during storage and delivery.
- e. Submit test report showing water complies with ASTM C1602/C1602M.

2.3.3 Aggregate

2.3.3.1 Normal-Weight Aggregate

- a. Conform to ASTM C33/C33M unless otherwise specified in the Contract Documents or approved by the City Engineer .
- b. Obtain aggregates used in concrete from the same sources and have the same size range as aggregates used in concrete represented by submitted field test records or used in trial mixtures
- c. Store and handle aggregate in a manner that will avoid segregation and prevents contamination by other materials or other sizes of aggregates. Store aggregates in locations that will permit them to drain freely. Do not use aggregates that contain frozen lumps.

- d. Submit types, pit or quarry locations, producers' names, aggregate supplier statement of compliance with ASTM C33/C33M, and ASTM C1293 expansion data not more than 18 months old.

2.4 MISCELLANEOUS MATERIALS

2.4.1 Concrete Curing Materials

Provide concrete curing material in accordance with ACI 301 Section 5 and ACI 308.1 Section 2. Submit product data for concrete curing compounds. Submit manufactures instructions for placement of curing compound.

2.5 CONCRETE MIX DESIGN

2.5.1 Properties and Requirements

- a. Use materials and material combinations listed in this section and the contract documents.
- b. Cementitious material content must be adequate for concrete to satisfy the specified requirements for strength, w/cm, durability, and finishability described in this section and the contract documents.
- c. Selected target slump must meet the requirements of this section, the contract documents, and must not exceed 9 in. Concrete must not show visible signs of segregation.
- d. Enforce the target slump for the duration of the project. Determine the slump by ASTM C143/C143M. Slump tolerances must meet the requirements of ACI 117.
- e. The nominal maximum size of coarse aggregate for a mixture must not exceed three-fourths of the minimum clear spacing between reinforcement, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.
- f. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3, with the total air content in accordance with the requirements of the paragraph titled DURABILITY.
- g. Measure air content at the point of delivery in accordance with ASTM C173/C173M or ASTM C231/C231M.
- h. Concrete for slabs to receive a hard-troweled finish must not contain an air-entraining admixture or have a total air content greater than 3 percent.
- i. Concrete properties and requirements for each portion of the structure are specified in the table below. Refer to the paragraph titled DURABILITY for more details on exposure categories and their requirements. The 28 day compressive strength of concrete shall be 4000 pounds per square inch (psi) or greater.

2.5.2 Durability

2.5.2.1 Freezing and Thawing Resistance

- a. Provide concrete meeting the following requirements based on exposure class assigned to members for freezing-and-thawing exposure in Contract Documents:

Exposure class	Maximum w/cm*	Minimum f'c, psi	Air content	Additional Requirements
F1	0.55	3500	Depends on aggregate size	N/A

*The maximum w/cm limits do not apply to lightweight concrete.

- b. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. Meet the requirements of the following table:

Nominal maximum aggregate size, in.	Total air content, percent**^	
	Exposure Class F2 and F3	Exposure Class F1
3/8	7.5	6.0
1/2	7.0	5.5
3/4	6.0	5.0
1	6.0	4.5
1-1/2	5.5	4.5
2	5.0	4.0
3	5.5	3.5

**Tolerance on air content as delivered must be plus/minus 1.5 percent.

^For f'c greater than 5000 psi, reducing air content by 1.0 percentage point is acceptable.

- c. Submit documentation verifying compliance with specified requirements.

2.5.2.2 Corrosion and Chloride Content

- a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members requiring protection against reinforcement corrosion in Contract Documents.
- b. Submit documentation verifying compliance with specified requirements.
- c. Determine water-soluble chloride ion content contributed from constituents including water, aggregates, cementitious materials, and admixtures for the concrete mixture by ASTM C1218/C1218M at age between 28 and 42 days.
- d. The maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement is as follows:

Exposure class	Maximum w/cm*	Minimum f'c, psi	Maximum water-soluble chloride ion (CL-) content in concrete, percent by mass of cement
Reinforced concrete			
C0	N/A	2500	1.00
C1	N/A	2500	0.30
C2	0.4	5000	0.15
Prestressed concrete			
C0	N/A	2500	0.06
C1	N/A	2500	0.06
C2	0.4	5000	0.06

*The maximum w/cm limits do not apply to lightweight concrete.

2.5.2.3 Sulfate Resistance

- a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members for sulfate exposure.

Exposure class	Maximum w/cm	Minimum f'c, psi	Required cementitious materials-types			Calcium chloride admixture
			ASTM	ASTM	ASTM	
S0	N/A	2500	C150/C150M N/A	C595/C595M N/A	C1157/C1157M N/A	No restrictions
S1	0.50	4000	II [^]	Types with (MS) designation	MS	No restrictions
S2	0.45	4500	V [^]	Types with (HS) designation	HS	Not permitted
S3	0.45	4500	V + pozzolan or slag cement**	Types with (HS) designation plus pozzolan or slag cement**	HS + pozzolan or slag cement**	Not permitted
S3	0.40	5000	V ^{***}	Types with (HS) designation	HS	Not permitted

* For seawater exposure, other types of Portland cements with tricalcium aluminate (C3A) contents up to 10 percent are acceptable if the w/cm does not exceed 0.40.

** The amount of the specific source of the pozzolan or slag cement to be used must be at least the amount determined by test or service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the

pozzolan or slag used must not be less than the amount tested in accordance with ASTM C1012/C1012M and meeting the requirements maximum expansion requirements listed herein.

*** If Type V cement is used as the sole cementitious material, the optional sulfate requirement of 0.040 percent maximum expansion in ASTM C150/C150M is required.

^ Other available types of cement, such as Type III or Type I, are acceptable in exposure classes S1 or S2 if the C3A contents are less than 8 or 5 percent, respectively.

- b. The maximum w/cm limits for sulfate exposure do not apply to lightweight concrete.
- c. Alternative combinations of cementitious materials of those listed in this paragraph are acceptable if they meet the maximum expansion requirements listed in the following table:

Exposure class	Maximum expansion when tested using ASTM C1012/C1012M		
	At 6 months	At 12 months	At 18 months
S1	0.10 percent	N/A	N/A
S2	0.05 percent	0.10 percent [^]	N/A
S3	N/A	N/A	0.10 percent

[^]The 12-month expansion limit applies only when the measured expansion exceeds the month maximum expansion limit.

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2.5.2.4 Concrete Temperature

The temperature of concrete as delivered must not exceed 95 degrees F .

2.5.2.5 Concrete Permeability

- a. Provide concrete meeting the requirements of the following table based on exposure class assigned to members requiring low permeability in the Contract Documents.

Exposure class	Maximum w/cm*	Minimum f'c, psi	Additional minimum requirements
W0	N/A	2500	None
W1	0.5	4000	None
W2	0.50	4000	None

*The maximum w/cm limits do not apply to lightweight concrete.

- b. Submit documentation verifying compliance with specified requirements.

2.5.3 Trial Mixtures

In accordance with ACI 301.

2.5.4 Ready-Mix Concrete

Provide concrete that meets the requirements of ASTM C94/C94M.

Ready-mixed concrete manufacturer must provide duplicate delivery tickets with each load of concrete delivered. Provide delivery tickets with the following information in addition to that required by ASTM C94/C94M:

- a. Type and brand cement
- b. Cement and supplementary cementitious materials content in 94-pound bags per cubic yard of concrete
- c. Maximum size of aggregate
- d. Amount and brand name of admixtures
- e. Total water content expressed by water cementitious material ratio

2.6 REINFORCEMENT

Shop Fabricate reinforcing bars to conform to shapes and dimensions indicated for reinforcement, and as follows:

- a. Bend reinforcement cold. Reinforcement must be bent cold in the shop to shapes as indicated. Rebending of a reinforcing bar that has been bent incorrectly is not permitted. Bending must be in accordance with the standard approved practice and by approved machine methods. Provide hooks and bends that are in accordance with the Contract Documents. Fabricate reinforcement in accordance with fabricating tolerances of ACI 117.
- b. Submit manufacturer's certified test report for reinforcement.
- c. Submit placing drawings showing fabrication dimensions and placement locations of reinforcement and reinforcement supports. Indicate locations of splices, lengths of lap splices, and details of mechanical and welded splices.
- d. Submit request with locations and details of splices not indicated in Contract Documents.
- e. Submit request to place column dowels without using templates.

Deliver reinforcing bars bundled, tagged, and marked. Tags must be metal with bar size, length, mark, and other information pressed in by machine. Marks must correspond with those used on the placing drawings.

2.6.1 Reinforcing Bars

- a. Deformed, except spirals, load-transfer dowels, and welded wire reinforcement, which may be plain.
- b. ASTM A615/A615M with the bars marked S, Grade 60; or ASTM A996/A996M with the bars marked R, Grade 50, or marked A, Grade 40.
- c. Submit mill certificates for reinforcing bars.

2.6.1.1 Galvanized Reinforcing Bars

- a. Provide zinc-coated (galvanized) reinforcing bars that conform to ASTM A1094/A1094M.
- b. Repair coating damage incurred during shipment, handling, and placing of zinc-coated (galvanized) reinforcing bars in accordance with ASTM A780/A780M. If damaged areas on bars exceed 2 percent of surface area in each linear foot, bar must not be used. The 2 percent limit on maximum allowed damaged coating area includes previously repaired areas damaged before shipment as required by ASTM A767/A767M.

2.6.2 Wire

- a. Provide flat sheets of welded wire reinforcement for slabs and toppings.
- b. Plain or deformed steel wire must conform to ASTM A1064/A1064M.
- c. Stainless steel wire must conform to ASTM A1022/A1022M.
- d. Epoxy-coated wire must conform to ASTM A884/A884M. Repair coating damage incurred during shipment, storage, handling, and placing of epoxy-coated wires must be repaired. Repair damaged coating areas with patching material in accordance with material manufacturer's written recommendations. If damaged area exceeds 2 percent of surface area in each linear foot of each wire, wire must not be used. The 2 percent limit on damaged coating area includes repaired areas damaged before shipment as required by ASTM A884/A884M. Fading of coating color is not a cause for rejection of epoxy-coated wire reinforcement.

2.6.3 Reinforcing Bar Supports

- a. Provide reinforcement support types within structure as required by Contract Documents. Conform to CRSI RB4.1. Submit description of reinforcement supports and materials for fastening coated reinforcement if not in conformance with CRSI RB4.1.
- b. Legs of supports in contact with formwork may be hot-dip galvanized, or plastic coated after fabrication, or stainless-steel bar supports.

PART 3 EXECUTION

3.1 EXAMINATION

- a. Do not begin installation until substrates have been properly constructed; verify that substrates are level.
- b. If substrate preparation is the responsibility of another installer, notify City Engineer of unsatisfactory preparation before processing.
- c. Check field dimensions before beginning installation. If dimensions vary too much from design dimensions for proper installation, notify City Engineer and wait for instructions before beginning installation.

3.2 PREPARATION

Determine quantity of concrete needed and minimize the production of excess concrete. Designate locations or uses for potential excess concrete before the concrete is poured.

3.2.1 General

- a. Surfaces against which concrete is to be placed must be free of debris, loose material, standing water, snow, ice, and other deleterious substances before start of concrete placing.
- b. Remove standing water without washing over freshly deposited concrete. Divert flow of water through side drains provided for such purpose.

3.2.2 Subgrade Under Foundations and Footings

- a. When subgrade material is semi-porous and dry, sprinkle subgrade surface with water as required to eliminate suction at the time concrete is deposited, or seal subgrade surface by covering surface with specified vapor retarder.
- b. When subgrade material is porous, seal subgrade surface by covering surface with specified vapor retarder.

3.2.3 Subgrade Under Slabs on Ground

- a. Before construction of slabs on ground, have underground work on pipes and conduits completed and approved.
- b. Clean previously constructed subgrade or fill of foreign materials.
- c. Finish surface of capillary water barrier under interior slabs on ground must not show deviation in excess of 1/4 inch when tested with a 10-foot straightedge parallel with and at right angles to building lines.
- d. Finished surface of subgrade or fill under exterior slabs on ground must not be more than 0.02-foot above or 0.10-foot below elevation indicated.

3.2.4 Edge Forms and Screed Strips for Slabs

- a. Set edge forms or bulkheads and intermediate screed strips for slabs to obtain indicated elevations and contours in finished slab surface, strong enough to support vibrating bridge screeds or roller pipe screeds if nature of specified slab finish requires use of such equipment.
- b. Align concrete surface to elevation of screed strips by use of strike-off templates or approved compacting-type screeds.

3.2.5 Reinforcement and Other Embedded Items

- a. Secure reinforcement, joint materials, and other embedded materials in position, inspected, and approved before start of concrete placing.
- b. When concrete is placed, reinforcement must be free of materials deleterious to bond. Reinforcement with rust, mill scale, or a combination of both will be considered satisfactory, provided minimum nominal dimensions, nominal weight, and minimum average height of deformations of a hand-wire-brushed test specimen are not less than applicable ASTM specification requirements.

3.3 FORMS

- a. Provide forms, shoring, and scaffolding for concrete placement. Set forms mortar-tight and true to line and grade.

- b. Chamfer above grade exposed joints, edges, and external corners of concrete 0.75 inch. Place chamfer strips in corners of formwork to produce beveled edges on permanently exposed surfaces. Do not bevel reentrant corners or edges of formed joints of concrete.
- c. Provide formwork with clean-out openings to permit inspection and removal of debris.
- d. Inspect formwork and remove foreign material before concrete is placed.
- e. At construction joints, lap form-facing materials over the concrete of previous placement. Ensure formwork is placed against hardened concrete so offsets at construction joints conform to specified tolerances.
- f. Provide positive means of adjustment (such as wedges or jacks) of shores and struts. Do not make adjustments in formwork after concrete has reached initial setting. Brace formwork to resist lateral deflection and lateral instability.
- g. Fasten form wedges in place after final adjustment of forms and before concrete placement.
- h. Provide anchoring and bracing to control upward and lateral movement of formwork system.
- i. Construct formwork for openings to facilitate removal and to produce opening dimensions as specified and within tolerances.
- j. Provide runways for moving equipment. Support runways directly on formwork or structural members. Do not support runways on reinforcement. Loading applied by runways must not exceed capacity of formwork or structural members.
- k. Position and support expansion joint materials, waterstops, and other embedded items to prevent displacement. Fill voids in sleeves, inserts, and anchor slots temporarily with removable material to prevent concrete entry into voids.
- l. Clean surfaces of formwork and embedded materials of mortar, grout, and foreign materials before concrete placement.

3.3.1 Coating

- a. Cover formwork surfaces with an acceptable material that inhibits bond with concrete.
- b. If formwork release agent is used, apply to formwork surfaces in accordance with manufacturer's recommendations before placing reinforcement. Remove excess release agent on formwork prior to concrete placement.
- c. Do not allow formwork release agent to contact reinforcement or hardened concrete against which fresh concrete is to be placed.

3.3.2 Reshoring

- a. Do not allow structural members to be loaded with combined dead and construction loads in excess of loads indicated in the accepted procedure.
- b. Install and remove reshores or backshores in accordance with accepted procedure.
- c. For floors supporting shores under newly placed concrete, either leave original supporting shores in place, or install reshores or backshores. Shoring system and

supporting slabs must resist anticipated loads. Locate reshores and backshores directly under a shore position or as indicated on formwork shop drawings.

- d. In multistory buildings, place reshoring or backshoring over a sufficient number of stories to distribute weight of newly placed concrete, forms, and construction live loads.

3.3.3 Reuse

- a. Reuse forms providing the structural integrity of concrete and the aesthetics of exposed concrete are not compromised.
- b. Wood forms must not be clogged with paste and must be capable of absorbing high water-cementitious material ratio paste.
- c. Remove leaked mortar from formwork joints before reuse.

3.3.4 Forms for Standard Rough Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-1.0, for formed surfaces that are to be concealed by other construction.

3.3.5 Forms for Standard Smooth Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-3.0, for formed surfaces that are exposed to view. Do not provide mockup of concrete surface appearance and texture.

3.3.6 Form Ties

- a. For post-tensioned structures, do not remove formwork supports until stressing records have been accepted by the City Engineer.
- b. After ends or end fasteners of form ties have been removed, repair tie holes in accordance with ACI 301 Section 5 requirements.

3.3.7 Forms for Concrete Pan Joist Construction

Factory-fabricate pan-form units for one-way or two-way concrete joist and slab construction of the approximate section indicated, consisting of steel or molded fiberglass concrete form pans. Furnish closure units as required.

3.3.8 Tolerances for Form Construction

- a. Construct formwork so concrete surfaces conform to tolerances in ACI 117.
- b. Position and secure sleeves, inserts, anchors, and other embedded items such that embedded items are positioned within ACI 117 tolerances.
- c. To maintain specified elevation and thickness within tolerances, install formwork to compensate for deflection and anticipated settlement in formwork during concrete placement. Set formwork and intermediate screed strips for slabs to produce designated elevation, camber, and contour of finished surface before formwork removal. If specified finish requires use of vibrating screeds or roller pipe screeds, ensure that edge forms and screed strips are strong enough to support such equipment.

3.3.9 Removal of Forms and Supports

- a. If vertical formed surfaces require finishing, remove forms as soon as removal operations will not damage concrete.
- b. Remove top forms on sloping surfaces of concrete as soon as removal will not allow concrete to sag. Perform repairs and finishing operations required. If forms are removed before end of specified curing period, provide curing and protection.
- c. Do not damage concrete during removal of vertical formwork for columns, walls, and sides of beams. Perform needed repair and finishing operations required on vertical surfaces. If forms are removed before end of specified curing period, provide curing and protection.
- d. Leave formwork and shoring in place to support construction loads and weight of concrete in beams, slabs, and other structural members until in-place required strength of concrete is reached.
- e. Form-facing material and horizontal facing support members may be removed before in-place concrete reaches specified compressive strength if shores and other supports are designed to allow facing removal without deflection of supported slab or member.

3.3.10 Strength of Concrete Required for Removal of Formwork

If removal of formwork, reshoring, or backshoring is based on concrete reaching a specified in-place strength, mold and field-cure cylinders in accordance with ASTM C31/C31M. Test cylinders in accordance with ASTM C39/C39M. Alternatively, use one or more of the methods listed herein to evaluate in-place concrete strength for formwork removal.

- a. Tests of cast-in-place cylinders in accordance with ASTM C873/C873M. This option is limited to slabs with concrete depths from 5 to 12 in.
- b. Penetration resistance in accordance with ASTM C803/C803M.
- c. Pullout strength in accordance with ASTM C900.
- d. Maturity method in accordance with ASTM C1074. Submit maturity method data using project materials and concrete mix proportions used on the project to demonstrate the correlation between maturity and compressive strength of laboratory cured test specimens to the City Engineer.

3.4 PLACING REINFORCEMENT AND MISCELLANEOUS MATERIALS

- a. Unless otherwise specified, place reinforcement and miscellaneous materials in accordance to ACI 301. Provide bars, welded wire reinforcement, wire ties, supports, and other devices necessary to install and secure reinforcement.
- b. Reinforcement must not have rust, scale, oil, grease, clay, or foreign substances that would reduce the bond. Rusting of reinforcement is a basis of rejection if the effective cross-sectional area or the nominal weight per unit length has been reduced. Remove loose rust prior to placing steel. Tack welding is prohibited.
- c. Nonprestressed cast-in-place concrete members must have concrete cover for reinforcement given in the following table:

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	All	No. 6 through No. 18 bars	2
		No. 5 bar, W31 or D31 wire, and smaller	1-1/2
Concrete Exposure	Member	Reinforcement	Specified cover, in.
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars	1-1/2
		No. 11 bar and smaller	3/4
	Beams, columns, pedestals, and tension ties	Primary reinforcement, stirrups, ties, spirals, and hoops	1-1/2

- d. Cast-in-place prestressed concrete members must have concrete cover for reinforcement, ducts, and end fittings given in the following table:

Concrete	Member	Reinforcement	Specified
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	Slabs, joists, and walls	All	1
	All other	All	1-1/2
Not exposed to weather or in contact with ground	Slabs, joists, and walls	All	3/4
	Beams, columns, and tension ties	Primary reinforcement	1-1/2
		Stirrups, ties, spirals, and hoops	1

- e. Precast nonprestressed or prestressed concrete members manufactured under plant conditions must have concrete cover for reinforcement, ducts, and end fittings given in the following table:

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Exposed to weather or in contact with ground	Walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/2
		No. 11 bars and smaller; W31 and D31 wire, and smaller; tendons and strands 1-1/2 in.	3/4
	All other	No. 14 and No. 18 bars; tendons larger than 1-1/2 in.	2
		No. 6 through No. 11 bars; tendons and strands larger than 5/8 in. diameter through 1-1/2 in.	1-1/2
		No. 5 bar, W31 or D31 wire, and smaller; tendons and strands 5/8 in. diameter and smaller	1-1/4

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/4
		Tendons and strands 1-1/2 in. diameter and smaller	3/4
		No. 11 bar, W31 or D31	5/8
	Beams, columns, pedestals, and tension ties	Primary reinforcement	Greater of bar diameter and 5/8 and need not exceed 1-1/2
		Stirrups, ties, spirals, and hoops	3/8

3.4.1 General

Provide details of reinforcement that are in accordance with the Contract Documents.

3.4.2 Setting Miscellaneous Material

Place and secure anchors and bolts, pipe sleeves, conduits, and other such items in position before concrete placement and support against displacement. Plumb anchor bolts and check location and elevation. Temporarily fill voids in sleeves with readily removable material to prevent the entry of concrete.

3.4.3 Inspection of Reinforcement

Inspect and verify proper reinforcement grade, shape, cross section and condition. Do not use reinforcement with the following defects:

- a. Bar lengths, depths, and bends beyond specified fabrication tolerances.
- b. Bends or kinks not indicated on drawings or approved shop drawings.
- c. Bars with reduced cross section due to rusting or other cause.

3.4.4 Placing Reinforcement

Place reinforcement in accordance with ACI 301.

For slabs on grade (over earth or over capillary water barrier) and for footing reinforcement, support bars or welded wire reinforcement on precast concrete blocks, spaced at intervals required by size of reinforcement, to keep reinforcement the minimum height specified above the underside of slab or footing.

For slabs other than on grade, supports for which any portion is less than 1 inch from concrete surfaces that are exposed to view or to be painted may be precast concrete units, plastic-coated steel, or stainless steel protected bar supports. Precast concrete units must be wedge shaped, not larger than 3-1/2 by 3-1/2 inches, and of thickness equal to that indicated for concrete protection of reinforcement. Provide precast units that have cast-in galvanized tie wire hooked for anchorage and blend with concrete surfaces after finishing is completed.

Provide reinforcement that is supported and secured together to prevent displacement by construction loads or by placing of wet concrete, and as follows:

- a. Provide supports for reinforcing bars that are sufficient in number and have sufficient strength to carry the reinforcement they support, and in accordance with ACI 301 and CRSI 10MSP. Do not use supports to support runways for concrete conveying equipment and similar construction loads.
- b. Equip supports on ground and similar surfaces with sand-plates.
- c. Support welded wire reinforcement as required for reinforcing bars.
- d. Secure reinforcements to supports by means of tie wire. Provide black, soft iron wire, not less than 16 gage.
- e. Accurately place reinforcement, securely tie at intersections, and hold in position during placing of concrete by spacers, chairs, or other approved supports. Point wire-tie ends away from the form. Unless otherwise indicated, numbers, type, and spacing of supports must conform to the Contract Documents.
- f. Bending of reinforcing bars partially embedded in concrete is permitted only as specified in the Contract Documents.

3.4.5 Concrete Protection for Reinforcement

In accordance with the Contract Documents.

3.5 BATCHING, MEASURING, MIXING, AND TRANSPORTING CONCRETE

In accordance with ASTM C94/C94M, ACI 301, ACI 302.1R and ACI 304R, except as modified herein. Utilize batching equipment such that the concrete ingredients are consistently measured within the following tolerances: 1 percent for cement and water, 2 percent for aggregate, and 3 percent for admixtures. Furnish mandatory batch ticket information for each load of ready mix concrete. The proportions of the water, sand and aggregate shall be regulated so as to produce a plastic, workable and cohesive mixture.

3.5.1 Measuring

Make measurements at intervals as specified in paragraphs SAMPLING and TESTING.

3.5.2 Mixing

- a. Mix concrete in accordance with ASTM C94/C94M, ACI 301 and ACI 304R.
- b. Machine mix concrete. Begin mixing within 30 minutes after the cement has been added to the aggregates. Place concrete within 90 minutes of either addition of mixing water to cement and aggregates or addition of cement to aggregates. If discharge is acceptable after more than 90 minutes the air content, slump, and concrete temperature shall be tested and shall be within the specified limits. A retarder shall be used to facilitate placing and finishing when concrete temperature is 85 degrees F or greater.
- c. Dissolve admixtures in the mixing water and mix in the drum to uniformly distribute the admixture throughout the batch. Do not reconstitute concrete that has begun to solidify.
- d. When fibers are used, add fibers together with the aggregates and never as the first component in the mixer. Dispense fibers into the mixing system using appropriate dispensing equipment and procedure as recommended by the manufacturer.

3.5.3 Transporting

Transport concrete from the mixer to the forms as rapidly as practicable. Prevent segregation or loss of ingredients. Clean transporting equipment thoroughly before each batch. Do not use aluminum pipe or chutes. Remove concrete which has segregated in transporting and dispose of as directed.

3.6 PLACING CONCRETE

Place concrete in accordance with ACI 301 Section 5. Place concrete within 15 minutes of discharge into non-agitating equipment.

3.6.1 Cold Weather

Meet the requirements of ACI 301 unless otherwise specified. Do not allow concrete temperature to decrease below 50 degrees F. Obtain approval prior to placing concrete when the ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. Cover concrete and provide sufficient heat to maintain 50 degrees F minimum adjacent to both the formwork and the structure while curing. Limit the rate of cooling to 5 degrees F in any one hour and 18 degrees F per 24 hours after heat application.

3.6.2 Hot Weather

Meet the requirements of ACI 301 unless otherwise specified. Maintain required concrete temperature using Figure 4.2 in ACI 305R to prevent the evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. Shade the fresh concrete as soon as possible after placing. Start curing when the surface of the fresh concrete is sufficiently hard to permit curing without damage. Provide water hoses, pipes, spraying equipment, and water hauling equipment, where job site is remote to water source, to maintain a moist concrete surface throughout the curing period. Provide burlap cover or other suitable, permeable material with fog spray or continuous wetting of the concrete when weather conditions

prevent the use of either liquid membrane curing compound or impervious sheets. For vertical surfaces, protect forms from direct sunlight and add water to top of structure once concrete is set.

3.6.3 Bonding

Roughen and clean surfaces of set concrete at joints of laitance, coatings, loose particles, and foreign matter. Roughen surfaces in a manner that exposes the aggregate uniformly and does not leave laitance, loosened particles of aggregate, nor damaged concrete at the surface. Obtain bonding of fresh concrete that has set as follows:

- a. At joints between footings and walls or columns, between walls or columns and the beams or slabs they support, and elsewhere unless otherwise specified; dampen but do not saturate roughened and cleaned surface of set concrete immediately prior to placing fresh concrete.
- b. At joints in exposed-to-view work; at vertical joints in walls; at joints near midpoint of span in girders, beams, supported slabs, other structural members; in work designed to contain liquids; dampen but do not saturate the roughened and cleaned surface of set concrete and cover with a cement grout coating.
- c. Provide cement grout that consists of equal parts of Portland cement and fine aggregate by weight with not more than 6 gallons of water per sack of cement. Apply cement grout with a stiff broom or brush to a minimum thickness of 1/16 inch. Deposit fresh concrete before cement grout has attained its initial set.

3.7 WASTE MANAGEMENT

Provide as specified in the Waste Management Plan and as follows.

3.7.1 Mixing Equipment

Before concrete pours, designate on-site area to be paved later in project for cleaning out concrete mixing trucks. Minimize water used to wash equipment.

3.7.2 Hardened, Cured Waste Concrete

Use hardened, cured waste concrete as aggregate in concrete mix if approved by City Engineer.

3.7.3 Reinforcing Steel

Collect reinforcing steel and place in designated area for recycling.

3.7.4 Other Waste

Identify concrete manufacturer's or supplier's policy for collection or return of construction waste, unused material, deconstruction waste, and/or packaging material. Return excess cement to supplier.

3.8 FLOOR, SLAB, AND PAVEMENT FINISHES AND MISCELLANEOUS CONSTRUCTION

In accordance with ACI 301 and ACI 302.1R, unless otherwise specified. Slope floors uniformly to drains where drains are provided. Where straightedge measurements are specified, provide straightedge.

3.9 CURING AND PROTECTION

Curing and protection in accordance with ACI 301 Section 5, unless otherwise specified. Begin curing immediately following form removal. Avoid damage to concrete from vibration created by blasting, pile driving, movement of equipment in the vicinity, disturbance of formwork or protruding reinforcement, and any other activity resulting in ground vibrations. Protect concrete from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks, and oil stains. Do not allow concrete to dry out from time of placement until the expiration of the specified curing period. Do not use membrane-forming compound on surfaces where appearance would be objectionable, on any surface to be painted, where coverings are to be bonded to the concrete, or on concrete to which other concrete is to be bonded. If forms are removed prior to the expiration of the curing period, provide another curing procedure specified herein for the remaining portion of the curing period. Provide moist curing for those areas receiving liquid chemical sealer, hardener, or epoxy coating. Allow curing compound/sealer installations to cure prior to the installation of materials that adsorb VOCs.

3.9.1 Requirements for Type III, High-Early-Strength Portland Cement

The curing periods are required to be not less than one-fourth of those specified for Portland cement, but in no case less than 72 hours.

3.9.2 Curing Periods

ACI 301 Section 5, except 10 days for retaining walls, pavement or chimneys. Begin curing immediately after placement. Protect concrete from premature drying, excessively hot temperatures, and mechanical injury; and maintain minimal moisture loss at a relatively constant temperature for the period necessary for hydration of the cement and hardening of the concrete. The materials and methods of curing are subject to approval by the City Engineer.

3.9.3 Curing Formed Surfaces

Accomplish curing of formed surfaces, including undersurfaces of girders, beams, supported slabs, and other similar surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed before end of curing period, accomplish final curing of formed surfaces by any of the curing methods specified above, as applicable.

3.9.4 Curing Unformed Surfaces

- a. Accomplish initial curing of unformed surfaces, such as monolithic slabs, floor topping, and other flat surfaces, by membrane curing.
- b. Accomplish final curing of unformed surfaces by any of curing methods specified, as applicable.
- c. Accomplish final curing of concrete surfaces to receive liquid floor hardener or finish flooring by moisture-retaining cover curing.

3.9.5 Temperature of Concrete During Curing

When temperature of atmosphere is 41 degrees F and below, maintain temperature of concrete at not less than 55 degrees F throughout concrete curing period or 45 degrees F when the curing period is measured by maturity. When necessary, make arrangements

before start of concrete placing for heating, covering, insulation, or housing as required to maintain specified temperature and moisture conditions for concrete during curing period.

When the temperature of atmosphere is 80 degrees F and above or during other climatic conditions which cause too rapid drying of concrete, make arrangements before start of concrete placing for installation of wind breaks, of shading, and for fog spraying, wet sprinkling, or moisture-retaining covering of light color as required to protect concrete during curing period.

Changes in temperature of concrete must be uniform and not exceed 5 degrees F in any one hour nor 49 degrees F in any 24-hour period.

3.9.6 Protection from Mechanical Injury

During curing period, protect concrete from damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration and from damage caused by rain or running water.

3.9.7 Protection After Curing

Protect finished concrete surfaces from damage by construction operations.

3.10 FIELD QUALITY CONTROL

3.10.1 Aggregate Testing

3.10.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there must be one sieve analysis and fineness modulus determination in accordance with ASTM C136/C136M and COE CRD-C 104 for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, immediately resample and retest the fine aggregate. If there is another failure on any sieve, immediately report the fact to the City Engineer, stop concreting, and take immediate steps to correct the grading.

3.10.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, provide a sieve analysis in accordance with ASTM C136/C136M for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor is responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations must show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, immediately resample and retest the coarse aggregate. If the second sample fails on any sieve, report that fact to the City Engineer. Where two consecutive averages of 5 tests are outside specification limits, the operation will be considered out of control and

reported to the City Engineer. Stop concreting and take immediate steps to correct the grading.

3.10.2 Concrete Sampling

ASTM C172/C172M. Collect samples of fresh concrete to perform tests specified. ASTM C31/C31M for making test specimens.

3.10.3 Concrete Testing

3.10.3.1 Slump Tests

ASTM C143/C143M. Take concrete samples during concrete placement/discharge. The maximum slump may be increased as specified with the addition of an approved admixture provided that the water-cementitious material ratio is not exceeded. Perform tests at commencement of concrete placement, when test cylinders are made.

3.10.3.2 Temperature Tests

Test the concrete delivered and the concrete in the forms. Perform tests in hot or cold weather conditions (below 50 degrees F and above 80 degrees F) for each batch (minimum) or every 20 cubic yards (maximum) of concrete, until the specified temperature is obtained, and whenever test cylinders and slump tests are made.

3.10.3.3 Compressive Strength Tests

ASTM C39/C39M. Make six 6 inch by 12 inch or nine 4 inch by 8 inch test cylinders for each set of tests in accordance with ASTM C31/C31M, ASTM C172/C172M and applicable requirements of ACI 305R and ACI 306R. Take precautions to prevent evaporation and loss of water from the specimen. Test two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 7 days, two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 28 days. Take samples for strength tests for each concrete mixture placed each day not less than once a day, nor less than once for each 150 cubic yards of concrete, nor less than once for each 5000 square feet of surface area for slabs or walls. For the entire project, take no less than five sets of samples and perform strength tests for each mix design of concrete placed. Each strength test result must be the average of two 6 inch by 12 inch or three 4 inch by 8 inch cylinders from the same concrete sample tested at 28 days. Concrete compressive tests must meet the requirements of this section, the Contract Document, and ACI 301.

3.11 REPAIR, REHABILITATION AND REMOVAL

Before the City Engineer accepts the structure, inspect the structure for cracks, damage and substandard concrete placements that may adversely affect the service life of the structure. Prepare a report documenting these defects which includes recommendations for repair, removal or remediation and submit to the City Engineer for approval before any corrective work is accomplished.

3.11.1 Crack Repair

Prior to final acceptance, document all cracks in excess of 0.02 inches wide and repair. Submit the proposed method and materials to repair the cracks to the City Engineer for approval. Address the amount of movement expected in the crack due to temperature changes and loading.

3.11.2 Repair of Weak Surfaces

Weak surfaces are defined as mortar-rich, rain-damaged, uncured, or containing exposed voids or deleterious materials. Concrete surfaces with weak surfaces less than 1/4 inch thick must be diamond ground to remove the weak surface. Surfaces containing weak surfaces greater than 1/4 inch thick must be removed and replaced or mitigated in a manner acceptable to the City Engineer.

3.11.3 Failure of Quality Assurance Test Results

Proposed mitigation efforts must be approved by the City Engineer prior to proceeding.

-- End of Section --

SECTION 26 05 00.00 40

COMMON WORK RESULTS FOR ELECTRICAL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (2014; Errata 2016) Electric Meters - Code for Electricity Metering

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16 (2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

ELECTRONIC INDUSTRIES ALLIANCE (EIA)

EIA 480 (1981) Toggle Switches

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2 (2023) National Electrical Safety Code

IEEE C57.12.28 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity

IEEE C57.12.29 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments

IEEE Stds Dictionary (2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL CODE COUNCIL (ICC)

ICC/ANSI A117.1 (2009) Accessible and Usable Buildings and Facilities

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C12.7 (2022) Requirements for Watthour Meter Sockets

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2020) American National Standard for Electrical Metallic Tubing (EMT)
ANSI Z535.1	(2017) Safety Colors
ANSI/NEMA OS 1	(2013; R 2020) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
ANSI/NEMA OS 2	(2013; R 2020) Nonmetallic Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA AB 3	(2013) Molded Case Circuit Breakers and Their Application
NEMA FB 1	(2014) Standard for Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable
NEMA FU 1	(2012) Low Voltage Cartridge Fuses
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA PB 1	(2011) Panelboards
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2021) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA VE 1	(2017) Metal Cable Tray Systems
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 4 2023) National Electrical Code

NFPA 70E (2024) Standard for Electrical Safety in the Workplace

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-222 (2018H; Add 1 2019) Structural Standard for Antenna Supporting Structures and Antennas and Small Wind Turbine Support Structures

UNDERWRITERS LABORATORIES (UL)

UL 1 (2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit

UL 4 (2004; Reprint Mar 2021) UL Standard for Safety Armored Cable

UL 5 (2016; Reprint Jul 2022) UL Standard for Safety Surface Metal Raceways and Fittings

UL 5A (2015; Reprint Aug 2020) Nonmetallic Surface Raceways and Fittings

UL 6 (2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel

UL 20 (2018; Reprint May 2023) UL Standard for Safety General-Use Snap Switches

UL 44 (2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables

UL 50 (2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations

UL 67 (2018; Reprint Aug 2023) UL Standard for Safety Panelboards

UL 83 (2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables

UL 198M (2018; Reprint May 2023) UL Standard for Mine-Duty Fuses

UL 360 (2013; Reprint Apr 2023) UL Standard for Safety Liquid-Tight Flexible Metal Conduit

UL 486A-486B (2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors

UL 486C (2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors

UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint May 2023) UL Standard for Safety Attachment Plugs and Receptacles
UL 506	(2017; Reprint Jan 2022) UL Standard for Safety Specialty Transformers
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint May 2020) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Feb 2020) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 817	(2015; Reprint May 2023) UL Standard for Safety Cord Sets and Power-Supply Cords
UL 869A	(2006; Reprint Jun 2020) Reference Standard for Service Equipment
UL 870	(2016; Reprint Mar 2019) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel
UL 1283	(2017) UL Standard for Safety Electromagnetic Interference Filters
UL 1449	(2021; Reprint Dec 2022) UL Standard for Safety Surge Protective Devices
UL 1561	(2011; Reprint Aug 2023) Dry-Type General Purpose and Power Transformers
UL 1569	(2018) UL Standard for Safety Metal-Clad Cables
UL 4248-1	(2022) UL Standard for Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2018; Reprint Feb 2022) UL Standard for Safety Fuseholders - Part 12: Class R

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Std's Dictionary.

The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.

1.3 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Shop Drawings

Marking Strips; G

Product Data

Conduits and Raceways; G

Wire and Cable; G Splices and Connectors; G

Switches; G

Receptacles; G Outlet Boxes, Pull Boxes and Junction Boxes; G

Circuit Breakers; G

Panelboards; G

Dry-Type Distribution Transformers; G

Device Plates; G

Test Reports

Continuity Test; G

Phase-Rotation Tests; G

Insulation Resistance Test; G

600-Volt Wiring Test; G

Transformer Tests; G

Ground-Fault Receptacle Test; G

Insulation-Resistance Test; G

Manufacturer's Instructions

Manufacturer's Instructions

1.4 QUALITY CONTROL

1.4.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the City Engineer. Ensure equipment, materials, installation, and workmanship are in accordance with the mandatory and advisory provisions of NFPA 70, unless more stringent requirements are specified or indicated.

1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products which have been in satisfactory commercial or industrial use for 2 years prior to bid opening. Ensure the 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer.

PART 2 PRODUCTS

2.1 EQUIPMENT

Provide the standard cataloged materials and equipment of manufacturers regularly engaged in the manufacture of the products. For material, equipment, and fixture lists submittals, show manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site.

Provide factory-applied finish on electrical equipment in accordance with the following:

- a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.
- b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.
- c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.
- d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.
- e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.
- f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.
- g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED MOUNTING.

2.1.1 Conduits and Raceways

2.1.1.1 Rigid Steel Conduit

Provide hot dipped galvanized rigid steel conduit complying with NEMA RN 1, ANSI C80.1, UL 6 and UL 5 as applicable. Except where installed underground, or in corrosive areas, provide polyvinylchloride (PVC), or protect from corrosion by painting with bitumastic coating or wrapping with corrosion inhibiting tape. Use threaded fittings for rigid steel conduit.

Use solid gaskets. Ensure conduit fittings with blank covers have gaskets, except in clean, dry areas or at the lowest point of a conduit run where drainage is required.

Provide covers with captive screws and are accessible after the work has been completed.

2.1.1.2 Electrical Metallic Tubing (EMT)

Ensure EMT is in accordance with UL 797, UL 5, and ANSI C80.3 and is zinc coated steel. Provide zinc-coated couplings and connectors that are raintight, compression type with insulated throat. Crimp, spring, or setscrew type fittings are not acceptable.

2.1.1.3 Flexible Metallic Conduit

Ensure flexible metallic conduit is galvanized steel and complies with UL 1 and UL 360.

Ensure fittings for flexible metallic conduit are specifically designed for such conduit.

Provide liquidtight flexible metallic conduit with a protective jacket of PVC extruded over a flexible interlocked galvanized steel core to protect wiring against moisture, oil, chemicals, and corrosive fumes.

Ensure fittings for liquidtight flexible metallic conduit are specifically designed for such conduit.

2.1.1.4 Intermediate Metal Conduit

Ensure intermediate metal conduit is galvanized steel and complies with UL 1242, NEMA RN 1, ANSI C80.1, UL 6 and UL 5 as applicable.

2.1.1.5 Rigid Nonmetallic Conduit

Ensure rigid nonmetallic conduit complies with NEMA TC 2, NEMA TC 3, and UL 651 as applicable with a wall thickness not less than Schedule 40.

2.1.1.6 Surface Metal Raceway

Ensure surface metal raceways and multi-outlet assemblies conform to NFPA 70, and have receptacles conforming to NEMA WD 1

2.1.2 Wireways

Ensure wireways and auxiliary gutters are a minimum 4 by 4-inch trade size conforming to UL 870.

UL 870. Material: steel 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length required for the application

2.1.3 Outlet Boxes, Pull Boxes and Junction Boxes

Ensure outlet boxes for use with conduit systems are in accordance with NEMA FB 1 UL 514A, UL 514B, UL 514C and ANSI/NEMA OS 1 and are not less than 1-1/2 inches deep. Furnish all pull and junction boxes with screw-fastened covers.

2.1.4 Circuit Breakers

Provide circuit breakers that conform to UL 489 and NEMA AB 3 with frame a trip ratings as indicated.

Provide bolt-on type, molded-case, manually operated, trip-free circuit breakers, with inverse-time thermal-overload protection and instantaneous magnetic short-circuit protection. Completely enclose circuit breakers in a molded case, with a factory-sealed, calibrated sensing element to prevent tampering. Plug-in type, tandem, and half-size circuit breakers are not permitted.

Provide inverse-time-delay thermal-overload protection and instantaneous magnetic short-circuit protection.

Provide sufficient interrupting capacity of the panel and lighting branch circuit breakers to successfully interrupt the maximum short-circuit current imposed on the circuit at the breaker terminals. Provide circuit breaker interrupting capacities with a minimum of 10,000 A and that conform to NEMA AB 3. Series rating of circuit breakers or overcurrent protective devices to achieve indicated interrupt rating is not permitted.

Provide the common-trip-type multipole circuit breakers having a single operating handle and a two-position on/off indication. Provide circuit breakers with temperature compensation for operation in an ambient temperature of 104 degrees F. Provide circuit breakers that have root mean square (rms) symmetrical interrupting ratings sufficient to protect the circuit being supplied. Interrupting ratings may have selective-type tripping (time delay, magnetic, thermal, or ground fault).

Provide a phenolic-composition breaker body capable of having such accessories as handle-extension, handle-locking, and padlocking devices attached where required to meet lock-out/tag-out requirements of NFPA 70E.

2.2 MATERIALS

2.2.1 Wire And Cable

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site. Provide minimum conductor size in accordance with the following:

- a. Branch circuits: No. 12 AWG.
- b. Class 1 remote-control and signal circuits: No. 14 AWG.
- c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.
- d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG. Ensure connectors used in wire systems comply with UL 486A-486B and UL 486C as applicable. Ensure conductors installed in plenums are marked plenum rated.

2.2.1.1 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.2.1.2 Cable Tray Cable or Power Limited Tray Cable UL listed; type TC or PLTC.

2.2.1.3 Cord Sets and Power-Supply Cords UL 817.

2.2.2 Device Plates

Provide the following:

- a. UL listed, one-piece device plates for outlets to suit the devices installed.
- b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.
- c. For nonmetallic boxes and fittings, other suitable plates may be provided.
- d. Screws: machine-type with countersunk heads in color to match finish of plate.
- e. Sectional type device plates are not be permitted.
- f. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.2.3 Switches

2.2.3.1 Safety Switches

Ensure safety switches comply with NEMA KS 1, and are the heavy-duty type with enclosure, voltage, current rating, number of poles, and fusing as indicated on the drawings. Ensure fused switch fuse holders comply with UL 4248-1. Ensure switch construction is such that, when the switch handle in the "ON" position, the cover or door cannot be opened. Cover release device is coin proof and so constructed that an external tool is used to open the cover. Make provisions to lock the handle in the "OFF" position. Ensure the switch is not capable of being locked in the "ON" position.

Provide switches of the quick-make, quick-break type and terminal lugs for use with copper conductors.

Ensure safety color coding for identification of safety switches conforms to ANSI Z535.1.

2.2.3.2 Toggle Switches

Ensure toggle switches comply with EIA 480, NEMA WD 1, and UL 20 control Light Emitting Diode (LED), and fluorescent lighting fixtures and are the heavy duty, general purpose, noninterchangeable flush-type.

Provide commercial grade toggle switches, two-position devices rated 20 amperes at 120/277 volts, 60 hertz alternating current (ac) only. Ensure all toggle switches are products of the same manufacturer.

2.2.4 Fuses

NEMA FU 1. Provide complete set of fuses for each fusible. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.2.4.1 Fuseholders

Provide in accordance with UL 4248-1.

2.2.4.2 Cartridge, Current Limiting Type (Class R)

UL 198M, Class RK-5 time-delay type. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.2.4.3 Cartridge Fuses, High-Interrupting Capacity, Current Limiting Type (Classes J, L, and CC)

UL 198M, Class J for zero to 600 amperes, Class L for 601 to 6,000 amperes, and Class CC for zero to 30 amperes.

2.2.5 Receptacles

Provide the following:

- a. UL 498, hard use also designated heavy-duty grounding-type.
- b. Ratings and configurations: as indicated.
- c. Bodies: ivory as per NEMA WD 1.
- d. Face and body: thermoplastic supported on a metal mounting strap.
- e. Dimensional requirements: per NEMA WD 6.
- f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.
- g. Grounding pole connected to mounting strap.
- h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.2.5.1 Switched Duplex Receptacles

Provide separate terminals for each ungrounded pole. Top receptacle: switched when installed.

2.2.5.2 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations." Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized cover plate.

2.2.5.3 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak of 6 milliamperes or greater and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.2.5.4 Tamper-Resistant Receptacles

Provide duplex receptacle with mechanical sliding shutters that prevent the insertion of small objects into its contact slots.

2.2.6 Manufacturer's Nameplate

Ensure each item of equipment has a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable.

2.2.7 Firestopping Materials

Provide firestopping around electrical penetrations in accordance with keeping the fire rating of the existing walls or ceilings.

PART 3 EXECUTION

3.1 PREPARATION

Submit manufacturer's instructions including special provisions required to install equipment components and system packages. Special provisions include impedances, hazards and safety precautions.

Protect metallic materials against corrosion. Provide equipment enclosures with the standard finish by the manufacturer when used for most indoor installations. All outdoor installations, Do not use aluminum when in contact with earth or concrete and, where connected to dissimilar metal, protect by using approved fittings and treatment. Except where other equivalent protective treatment is specifically approved in writing, provide hot-dip galvanized ferrous metals for items such as, anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous items not made of corrosion-resistant steel.

3.2 INSTALLATION

3.2.1 Underground Service

Underground service conductors and associated conduit: continuous from service entrance equipment to outdoor power system connection.

3.2.2 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Shared neutral, or multi-wire branch circuits, are not permitted with arc-fault circuit interrupters. Minimum conduit size: 3/4 inch in diameter for low voltage lighting and power circuits.

3.2.2.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.2.3 Conduits, Raceways and Fittings

Ensure that conduit runs between outlet and outlet, between fitting and fitting, or between outlet and fitting does not contain more than the equivalent of three 90-degree bends, including those bends located immediately at the outlet or fitting.

Do not install crushed or deformed conduit. Avoid trapped conduit runs where possible. Take care to prevent the lodgment of foreign material in the conduit, boxes, fittings, and equipment during the course of construction. Clear any clogged conduit of obstructions or replace conduit.

Conduit and raceway runs concealed in or behind walls, above ceilings, or exposed on walls and ceilings 5 feet or more above finished floors and not subject to mechanical damage may be electrical metallic tubing (EMT).

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.2.3.1 Rigid Steel Conduit

Make field-made bends and offsets with approved Hickey bending tool or conduit bending machine. Use long radius conduit for elbows larger than 2-1/2 inches.

Provide a flush coupling for all conduit stubbed-up through concrete

floors for connections to free-standing equipment with the exception of motor-control centers, cubicles, and other such items of equipment, when the floor slab is of sufficient thickness. Otherwise, provide a floor box set flush with the finished floor. For conduits installed for future use, terminate with a coupling and plug; set flush with the floor.

3.2.3.2 Electrical Metallic Tubing (EMT)

Ground EMT in accordance with NFPA 70, using pressure grounding connectors especially designed for EMT.

3.2.3.3 Flexible Metallic Conduit

Use flexible metallic conduit to connect recessed fixtures from outlet boxes in ceilings, transformers, and other approved assemblies.

Use bonding wires in flexible conduit as specified in NFPA 70, for all circuits. Flexible conduit is not considered a ground conductor.

Make electrical connections to vibration-isolated equipment with flexible metallic conduit.

Use liquidtight flexible metallic conduit in wet and oily locations and to complete the connection to motor-driven equipment.

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquidtight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

3.2.3.4 Intermediate Conduit

Make all field-made bends and offsets with approved Hickey bending tool or conduit bending machine. Use intermediate metal conduit only for indoor installations.

3.2.3.5 Rigid Nonmetallic Conduit

Install a green insulated copper grounding conductor in conduit with conductors and solidly connect to ground at each end. Size grounding wires in accordance with NFPA 70.

3.2.3.6 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40. Convert nonmetallic conduit, other than PVC Schedule 40 or 80, to plastic-coated rigid, or IMC, steel conduit before rising through floor slab. Plastic coating: extend minimum 6 inches above floor.

3.2.3.7 Conduit for Circuits Rated Greater Than 600 Volts

Rigid metal conduit or IMC only.

3.2.3.8 Conduit Installed Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab.

3.2.3.9 Conduit Installed in Concrete Floor Slabs

Rigid steel; steel IMC; fiberglass, or PVC, Type EPC-40. PVC, Type EPC-40, unless indicated otherwise. Locate so as not to adversely affect structural strength of slabs. Install conduit within middle one-third of concrete slab. Do not stack conduits more than two diameters high with minimum vertical separation of 3 inches. Space conduits horizontally not closer than three diameters, except at cabinet locations. Curved portions of bends must not be visible above finish slab. Increase slab thickness as necessary to provide minimum one inch cover over conduit. Where embedded conduits cross building and/or expansion joints, provide suitable watertight expansion/deflection fittings and bonding jumpers. Expansion/deflection fittings must allow horizontal and vertical movement of raceway. Conduit larger than one inch trade size: installed parallel with or at right angles to main reinforcement; when at right angles to reinforcement, install conduit close to one of supports of slab. Where nonmetallic conduit is used, convert raceway to plastic coated rigid steel or plastic coated steel IMC before rising above floor, unless specifically indicated.

3.2.3.10 Stub Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.2.3.11 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.2.3.12 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.2.3.13 Wireway and Auxiliary Gutter

Bolt together straight sections and fittings to provide a rigid, mechanical connection and electrical continuity. Close dead ends of wireways and auxiliary gutters. Plug all unused conduit openings.

Support wireways for overhead distribution and control circuits at maximum 5-foot intervals.

Ensure auxiliary gutters used to supplement wiring spaces for equipment not contained in a single enclosure contains no switches, overcurrent devices, appliances, or apparatus and is not more than 10 feet long.

3.2.3.14 Surface Raceways and Assemblies

Mount surface raceways plumb and level, with the base and cover secured.

Minimum circuit run is three-wire, with one wire designated as ground.

3.2.4 Wiring

Color code feeder and branch circuit conductors as follows:

CONDUCTOR	COLOR AC
Phase A	Black (208VAC); Brown (480VAC)
CONDUCTOR	COLOR AC
Phase B	Red (208VAC); Orange (480VAC)
Phase C	Blue (208VAC); Yellow (480VAC)
Neutral	White (208VAC); Natural Gray (480VAC)
Equipment Grounds	Green

Use conductors up to and including AWG No. 2 that are manufactured with colored insulating materials. For conductors larger than AWG No. 2, have ends identified with color plastic tape in outlet, pull, or junction boxes.

Splice in accordance with the NFPA 70. Provide conductor identification within each enclosure where a tap, splice, or termination is made and at the equipment terminal of each conductor. Match terminal and conductor identification as indicated.

Where several feeders pass through a common pullbox, tag the feeders to clearly indicate the electrical characteristics, circuit number, and panel designation.

3.2.5 Wiring Devices

3.2.5.1 Wall Switches and Receptacles

Install wall switches and receptacles so that when device plates are applied, the plates are aligned vertically to within 1/16 inch.

Bond ground terminal of each flush-mounted receptacle to the outlet box with an approved green bonding jumper when used with dry wall type construction.

3.2.5.2 Device Plates

Ensure device plates for switches are suitably engraved with a description of the loads when not within sight of the loads controlled.

Mark device plates and receptacle cover plates for receptacles other than 125-volt, single-phase, duplex, convenience outlets. Show the circuit number, voltage,

frequency, phasing, and amperage available at the receptacle. Use self-adhesive labels having 1/4 inch embossed letters.

Similarly mark device plates for convenience outlets indicating the supply panel and circuit number.

3.2.5.3 Splices and Connectors

Make all splices in AWG No. 8 and smaller with approved insulated electrical type indentor crimp-type connectors and compression tools.

Make all splices in AWG No. 6 and larger with indentor crimp-type connectors and compression tools . Wrap joints with an insulating tape that has an insulation and temperature rating equivalent to that of the conductor.

3.2.6 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with NEC

3.2.6.1 Marking Strips

Provide marking strips in accordance with the following:

- a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.
- b. Use permanent ink for the wire numbers
- c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.
- d. Size marking strips to accommodate the two sets of wire numbers.
- e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.
- f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the City 's wire designations.
- g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.2.7 Safety Switches

Securely fasten switches to the supporting structure or wall, utilizing a minimum of four 1/4 inch bolts. Do not use sheet metal screws and small machine screws for mounting. Do not mount switches in an inaccessible location or where the passageway to the switch may become obstructed. Mounting height 5 feet above floor level, when possible.

3.2.8 Boxes and Fittings

Provide pullboxes where necessary in the conduit system to facilitate conductor installation. For conduit runs longer than 100 feet or with more than three right-angle bends, install a pullbox at a convenient intermediate location.

Securely mount boxes and enclosures to the building structure using supports that are independent of the conduit entering or leaving the boxes.

Select the mounting height of wall-mounted outlet and switch boxes, as measured between the bottom of the box and the finished floor, in accordance with ICC/ANSI A117.1 and as follows, unless otherwise indicated:

LOCATION	MOUNTING HEIGHT (inches)
Receptacles in offices	18
Receptacles in corridors	18
Receptacles in shops and laboratories	48
Receptacles in rest rooms	48
Switches for light control	48

3.2.9 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.2.10 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings.

3.2.11 Field Fabricated Nameplates

Ensure nameplates conform to ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device, as specified or as indicated on the drawings. Each nameplate inscription identifies the function and, when applicable, the position. Provide nameplates that are melamine plastic, 0.125-inch thick, white with black center core and a matte finish surface with square corners. Accurately align lettering and engrave into the core. Minimum size of nameplates is 1 by 2.5 inches. Lettering is a minimum of 0.25-inch high normal block style.

3.2.12 Identification Plates and Warnings

Provide identification plates for lighting and power panelboards, motor control centers, all line voltage heating and ventilating control panels, fire detector and sprinkler alarms, door bells, pilot lights, disconnect switches, manual starting switches, and magnetic starters. Attach identification plates to process control devices and pilot lights.

Install identification plates for all line voltage enclosed circuit breakers, identifying the equipment served, voltage, phase(s) and power source. For circuits 480 volts and above, install conspicuously located warning signs in accordance with OSHA requirements.

3.3 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.4 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.5 FIELD APPLIED MOUNTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.6 FIELD QUALITY CONTROL

After completion of the installation and splicing, and prior to energizing the conductors, perform wire and cable continuity and insulation tests as herein specified before the conductors are energized.

Provide all necessary test equipment, labor, and personnel to perform the tests, as herein specified.

Isolate completely all wire and cable from all extraneous electrical connections at cable terminations and joints. Use substation and switchboard feeder breakers, disconnects in combination motor starters, circuit breakers in panel boards, and other disconnecting devices to isolate the circuits under test.

Perform insulation-resistance test on each field-installed conductor with respect to ground and adjacent conductors. Applied potential is 500 volts dc for 300 volt rated cable and 1000 volts dc for 600 volt rated cable. Take readings after 1 minute and until the reading is constant for 15 seconds. Minimum insulation-resistance values is not less than 25 Megohms for 300 volt rated cable and 100 Megohms for 600 volt rated cable. For circuits with conductor sizes AWG No. 8 and smaller insulation resistance testing is not required.

Perform continuity test to insure correct cable connection end-to-end (i.e correct phase conductor, grounded conductor, and grounding conductor wiring). Repair and verify any damages to existing or new electrical equipment resulting from mis-wiring. Receive approval for all repairs prior to commencement of the repair.

Conduct phase-rotation tests on all three-phase circuits using a phase-rotation indicating instrument. Perform phase rotation of electrical connections to connected equipment in a clockwise direction, facing the source.

Perform 600-volt wiring test on wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 500 volts to provide direct reading of resistance. Minimum resistance: 250,000 ohms.

Perform the standard, not optional, transformer tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA.ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

Perform ground-fault receptacle test for ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed.

Submit test reports in accordance with referenced standards in this section.

Final acceptance requires the successful performance of wire and cable under test. Do not energize any conductor until the final test reports are reviewed and approved.

-- End of Section --

SECTION 26 05 26.00 40

GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 GENERAL

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM applies to work specified in this section.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WELDING SOCIETY (AWS)

AWS A3.0M/A3.0	(2020) Standard Welding Terms and Definitions
AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS B2.1/B2.1M	(2021) Specification for Welding Procedure and Performance Qualification

ASTM INTERNATIONAL (ASTM)

ASTM B3	(2013) Standard Specification for Soft or Annealed Copper Wire
ASTM B8	(2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM B187/B187M	(2020) Standard Specification for Copper, Bus Bar, Rod and Shapes and General Purpose Rod, Bar and Shapes

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE C2	(2023) National Electrical Safety Code

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA)

RCBEA GUIDE	(2004) NASA Reliability Centered Building and Equipment Acceptance Guide
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NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA GR 1	(2007) Grounding Rod Electrodes and Grounding Rod Electrode Couplings
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NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023) National Electrical Code
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NFPA 780

(2023) Standard for the Installation of
Lightning Protection Systems

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-607

(2019d) Generic Telecommunications Bonding
and Grounding (Earthing) for Customer Premises

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-889

(2021; Rev D) Galvanic Compatibility of
Electrically Conductive Materials

UNDERWRITERS LABORATORIES (UL)

UL 467

(2022) UL Standard for Safety Grounding
and Bonding Equipment

UL 546

(2008) UL Outline of Investigation for
Conductor Termination Compounds

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Product Data

Ground Rods; G

Ground Wires; G

Connectors and Fasteners; G

Test Wells; G

Conductive Corrosion Inhibiting Compounds; G

Ground Buses; G

Test Reports

Bond Resistance Test; G

Ground Resistance Tests; G

Ground Isolation Test; G

Equipment Continuity Test; G

Certificates

Ground Resistance Test Equipment; G

Micro-Ohmmeter Test Equipment; G

Closeout Submittals

Record Drawings

1.3 QUALITY CONTROL

1.3.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the City Engineer. Ensure equipment, materials, installation, and workmanship are in accordance with the mandatory and advisory provisions of NFPA 70, IEEE C2 unless more stringent requirements are specified or indicated.

1.3.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products which have been in satisfactory commercial or industrial use for 2 years prior to bid opening. Ensure the 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer.

1.3.3 Ground Resistance Test Equipment

Provide combination 3-point and 4-point type ground resistance test equipment specifically designed for grounding electrode resistance and soil resistivity tests. Submit proof of current equipment calibration with test equipment product data.

1.3.4 Micro-Ohmmeter Test Equipment

Perform circuit and bond resistance tests using a micro-ohmmeter with the following characteristics:

- a. Resistance range selectable and capable of measuring to 10 micro-Ohms using a minimum of 1 ampere of test current.
- b. Positive and negative test leads of the 2-wire balanced type. Provide both clamp and probe type connections to allow measurements across all bonded surfaces. Provide long length balanced test lead to allow measurements from a bonding location to the nearest test well.

Submit proof of current equipment calibration with test equipment product data.

PART 2 PRODUCTS

Submit material, equipment, and fixture lists for grounding systems, including manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site information.

2.1 MATERIALS

2.1.1 Ground Rods

Provide ground rods of copper-clad steel conforming to UL 467 and ANSI/NEMA GR 1. Ensure ground rods are not less than 3/4 inch in diameter and 10 feet in length as indicated.

Where ground rod length is greater than 10 feet, provide sectional type ground rods with each section 10 feet in length. Join sectional type ground rods using threaded brass couplings. Ensure ground rods have cone-shaped point on the end of the first section driven into the ground.

Provide ground rods and ground rod sections die-stamped near the top with the name or trademark of the manufacturer and the length of the segment in feet.

2.1.2 Ground Wires

2.1.2.1 Bare

Provide annealed bare copper, Class "B" stranded ground and bond wires in accordance with ASTM B8 for wires #4 AWG and larger and solid in accordance with ASTM B3 for wires #6 AWG and smaller. Provide conductors with 98 percent conductivity and sized wires in accordance with the requirements of NFPA 70 and NFPA 780.

2.1.2.2 Insulated

Ensure insulated conductors conform to the requirements of Section 26 05 00.00 40 COMMON WORK RESULTS FOR ELECTRICAL.

Where installed in conduit as part of a complete circuit provide conductors with green insulation for sizes #8 AWG and smaller and with green phase tape at each end and in each junction box for sizes #6 AWG and larger.

2.1.2.3 Straps/Jumpers

Provide copper bonding straps and jumpers with a cross-sectional area of not less than No. 6 AWG, or as indicated. Ensure bonding straps and jumpers for shock-mounted devices with pivot, hinged or swivel joints are made of woven-wire braid or flexible stranded wire.

2.1.3 Connectors and Fasteners

2.1.3.1 Exothermic Welds

Ensure the molds, materials and powder charges used to make exothermic welds are the standard product of a single manufacturer and listed by the manufacturer for use on the specific type, size, quantity and configuration of conductors to which the weld is applied.

2.1.3.2 Irreversible Compression Lugs

Provide irreversible compression lug type connectors manufactured from tin-plated copper and installed using a hydraulic compression tool and die to apply correct, uniformly distributed, circumferential pressure. Ensure tools and dies are as recommended by the irreversible compression lug type connector manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed onto the conductor. Apply irreversible compression lug type connectors in strict accordance with the

manufacturer's written instructions and published installation instructions. Use 2-hole lug type connectors for connections to NEMA cable pads and bus bars, and single-hole connectors otherwise.

2.1.3.3 Mechanical

Provide split bolt and clamp style mechanical type connectors manufactured from copper alloy, or bronze, listed by the manufacturer as suitable for direct burial use. Ensure mechanical type connectors are applied in strict accordance with the manufacturer's published installation instructions.

2.1.3.4 Fasteners

Provide bolts, nuts, washers, lock washers, and associated fasteners used for grounding and bonding connections manufactured of copper. Where fasteners contact dissimilar metals, apply conductive oxide-inhibiting compound.

2.1.4 Test Wells

Provide test wells that are H2O rated, precast reinforced concrete, circular, with open bottom and concrete or cast iron lid/frame. Ensure test wells have inside dimensions of not less than 12 inches in diameter by 16 inches deep. Provide test well lid with cast "GROUND" legend.

2.1.5 Conductive Corrosion Inhibiting Compounds

Provide conductive corrosion inhibiting compounds UL Listed in accordance with UL 546, listed by the manufacturer as suitable for the application, and suitable for all aluminum and copper conductor/connector applications. Ensure conductive corrosion inhibiting compounds inhibit oxidation at the conductor/connector interface and have no deleterious effect on the conductor/connector metal or EPDM, natural rubber, or polyethylene insulating materials.

Provide gritted conductive corrosion inhibiting compound that are non-petroleum based and non-toxic, and contain conductive grit. Ensure gritted conductive corrosion inhibiting compound is specified by the manufacturer for application to the conductor/connector interface of compression connectors.

Provide non-gritted conductive corrosion inhibiting compound that are non-petroleum based and non-toxic and contain no grit filler. Ensure non-gritted conductive corrosion inhibiting compound is specified by the manufacturer for application to the conductor/connector interface of mechanical connectors such as bolted joints, flat-to-flat contact surfaces, terminal and lug tongues, and grooves of bolted parallel connectors or clamps.

2.1.6 Ground Buses

Provide electro-tin plated, solid copper ground buses conforming to ASTM B187/B187M with minimum dimensions of 0.25 inches thick, 4 inches wide, and 12 inches in length or as indicated. Ensure ground buses are equipped with two UL Recognized red 1000V rated insulated standoffs and stainless steel mounting brackets.

Provide grounding buses with predrilled NEMA hole configuration as indicated.

PART 3 EXECUTION

3.1 INSTALLATION

Install grounding systems in accordance with NFPA 70, NFPA 780 and IEEE C2, and as indicated.

Bond exposed non-current-carrying metallic parts of electrical equipment and metallic raceway systems to ground.

Bond grounding conductors in metallic and non-metallic raceways to ground. Make ground connections at equipment and to ground rods as indicated. Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems.

Bond wiring system neutrals to ground in accordance with the requirements of NFPA 70. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

Counterpoise ground systems consist of a series of ground rods with a direct buried grounding conductor loop, configured to minimize the number of dead-ends, interconnecting the individual ground rods. Provide ground rods in the locations indicated.

3.1.1 Ground Rods

Install ground rods per mfg instructions.

Install ground rods so that the top of the rod is not less than 6 inches below finished grade. Refer to design drawings details

3.1.2 Conductors

Install bare or insulated conductors as indicated. Install bare conductors where not specifically identified as bare or insulated except where installed in conduit with associated phase conductors. Install insulated conductors in conduit with insulation of the same material as the associated phase conductors with which it is installed.

Provide straps/jumpers across joints subject to vibration. Install strap/jumper such that vibration will not change its electrical characteristics. Apply strap/jumper to the metallic structure on each side of the joint; do not penetrate any adjacent parts. Install straps/jumpers in areas that are accessible for maintenance. Install strap/jumper such that it does not restrict the movement of the metallic structures to which it is connected. Install strap/jumper such that it does not weaken the metallic structures to which it is attached. Do not connect two or more straps/jumpers in series.

3.1.3 Ground Buses

Install ground busses in accordance with manufacturer's instructions.

3.1.4 Equipment Grounding

Install ground systems for power, telecommunications, and instrumentation. Independently connect each system to the building counterpoise.

3.1.4.1 Equipment and Enclosure Bonding

Bond each metallic enclosure and all electrical equipment to ground. Make at least one copper connection from the system ground point to one or more enclosures in the area such that all enclosures and equipment provide a low-impedance path to ground when properly bonded together.

In addition to the green colored equipment grounding conductor required in each raceway and sized in accordance with Table 250.122 of NFPA 70, bond each panelboard, switchboard enclosure, transformer housing, motor housing, disconnect, starter, and other electrical equipment, to the grounding system with a stranded copper conductor, routed external to the feeder raceway.

3.1.4.2 Bonding of Conduit and Raceway Systems

Bond all metal conduit, fittings, junction boxes, outlet boxes, armored and metal sheathed cable, and other raceways. Ensure adequate electrical contact at the joints and terminations. Ensure metallic raceway systems have electrical continuity with equipment. Individually and directly connect equipment to the building ground, independent of the raceway system.

For rigid metal conduit and terminations, ensure threaded connections are wrench-tight with no exposed threads. Ream all ends of the conduit to remove burrs and rough edges. Bond conduits entering boxes and enclosures to the box with locknuts and grounding-type bushings. Locknuts that gouge into the metal box when tightened are not acceptable.

Conduit systems that are interrupted by PVC dielectric links are bonded separately on either side of the link. Do not jumper the dielectric link. Install flexible metal conduit with an integral grounding conductor.

3.1.5 Bonding Materials And Methods

Accomplish bonding of metal surfaces by brazing, welding, clamping or structural joining methods.

3.1.5.1 Brazing

Ensure brazing solder conforms to AWS A5.8/A5.8M

3.1.5.2 Welding

Weld using the exothermic process with procedures conforming to AWS A3.0M/A3.0, AWS B2.1/B2.1M, and manufacturer's recommendation. Where dissimilar metals are to be joined via exothermic weld, follow the weld kit manufacturer's recommendations and published instructions. Ensure connections between dissimilar metals do not produce galvanic action in accordance with MIL-STD-889.

Use welding processes of the exothermic fusion type that makes a connection without corroding or loosening. Ensure process joins all strands and does not cause the parts to be damaged or weakened. Completed connection or joint is equal or larger in size than the conductors joined and has the same current-

carrying capacity as the largest conductor. Paint the buried ground connections with a bitumastic paint.

3.1.5.3 Clamping

In external locations, use clamping only where a disconnect type of connection is required. Connection device may utilize threaded fasteners. Construct device such that positive contact pressure is maintained at all times. Use machine bolts with tooth-type lockwashers.

3.1.5.4 Cleaning of Bonding Surfaces

Thoroughly clean surfaces that comprise the bond before joining. Apply an appropriate abrasive with gentle and uniform pressure to ensure a smooth and uniform surface. Do not remove excessive metal from the surface. Clean clad metals in such a manner that the cladding material is not penetrated by the cleaning process. Then clean bare metal with an appropriate solvent to remove any grease, oil, dirt, corrosion preventives, and other contaminants. Bond to the cleaned area within one hour after cleaning. Seal joint and refinish the exposed surfaces within two hours of exposure to prevent oxidation. When additional time is required, apply a corrosion preventive compound until the area can be refinished.

3.1.5.5 Protection of Finished Bonds

Protect finished bonds by painting to match the original finish after the bond is made.

3.2 FIELD QUALITY CONTROL

Perform the following tests in the presence of the City Engineer. Furnish test equipment and personnel and submit written results of each test. Notify the City Engineer at least 14 calendar working days prior to each test.

Submit written results of each test to City Engineer for review and approval. Document each location where test is performed, the field conditions at the time of the test, the measured results of the test, and whether the measured results "PASSED" or "FAILED" relative to specified pass/fail performance criteria.

Perform rework to correct FAILED conditions at no additional cost to the City .

3.2.1 Bond Resistance Test

Resistance of any bond connection cannot exceed 0.5 milliohm.

Rework bonds that exceed this resistance at no additional cost to the City .

3.2.2 Ground Resistance Tests

Test grounding systems for ground resistance. Total resistance from any point on the ground network to the building counterpoise cannot exceed 50 milliohms.

Make ground resistance and counterpoise tests during dry weather, and no sooner than 48 hours after rainfall. Conduct tests using the ratio method that measures the ratio of the resistance to earth of an auxiliary test electrode to the series resistance of the electrode under test and a second auxiliary electrode. Perform measurements in accordance with IEEE 81.

3.2.3 Ground Isolation Test

Test ground systems for isolation from other ground systems.

3.2.4 Equipment Continuity Test

Test connection from electrical distribution equipment including panelboards, switchboards, transformers, substations, and motor control centers to counterpoise. Measure and record the circuit resistance between electrical equipment ground connections and the counterpoise. The circuit resistance shall not exceed 5 Ohms.

3.3 CLOSEOUT ACTIVITIES

Submit record drawings indicating the location of ground rods, mats, grids, building ground bus, supplementary grounding electrodes, steel building columns, and other metal structures connected to the grounding system.

-- End of Section --

SECTION 26 05 19.00 10
INSULATED WIRE AND CABLE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)

ICEA S-58-679 (2014) Control, Instrumentation and Thermocouple Extension Conductor Identification

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA WC 71/ICEA S-96-659 (2014; R 2022) Standard for Nonshielded Cables Rated 2001-5000 Volts for use in the Distribution of Electric Energy

NEMA WC 26 (2008) Binational Wire and Cable Packaging Standard

NEMA WC 57 (2014) Standard for Control, Thermocouple Extension, and Instrumentation Cables

NEMA WC 70 (2021) Power Cable Rated 2000 Volts or Less for the Distribution of Electrical Energy

NEMA WC 74/ICEA S-93-639 (2022) 5-46 kV Shielded Power Cable for Use in the Transmission and Distribution of Electric Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 1 2024; TIA 24-1) National Electrical Code

UL SOLUTIONS (UL)

UL 44 (2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables

UL 83 (2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Product Data

Wire and Cable; G

Conductors; G

Cable Manufacturing Data

Test Reports

Test Report(s), Inspection Report(s), and Verification Report(s); G

1.3 DELIVERY, STORAGE, AND HANDLING

Furnish cables on reels or coils. Each cable and the outside of each reel or coil, must be plainly marked or tagged to indicate the cable length, voltage rating, conductor size, and manufacturer's lot number and reel number. Each coil or reel of cable must contain only one continuous cable without splices. Cables for exclusively dc applications, as specified in paragraph "High-Voltage Test Source," must be identified as such. Shielded cables rated 2,001 volts and above must be reeled and marked in accordance with NEMA WC 26, as applicable. Reels must remain the property of the Contractor.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Wire Table

Furnish wire and cable in accordance with the requirements of the Project plans, conforming to the detailed requirements specified herein.

2.1.2 Rated Circuit Voltages

All power wire and cable must have minimum rated circuit voltages in accordance with NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. Power wire and cable for circuit voltages rated 0-600 volts must be rated not less than 600 volts. Control wire and cable must have minimum rated circuit voltages in accordance with NEMA WC 57, but must be rated 600 volts if routed in raceway with other conductors that are rated 600 volts.

2.1.3 Conductors

2.1.3.1 Material for Conductors

Conductors must conform to all the applicable requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. Copper conductors must be annealed copper material and they may be bare, or tin- or lead-alloy-coated, if required by the type of insulation used.

2.1.3.2 Size

Minimum wire size must be as listed below.

- a. No. 12 AWG for power and lighting circuits
- b. No. 10 AWG for current transformer secondary circuits
- c. No. 14 AWG for potential transformer, relaying, and control circuits
- d. No. 16 AWG for annunciator circuits
- e. No. 19 AWG for alarm circuits

2.1.3.3 Stranding

Conductor stranding classes cited herein must be as defined for control conductors in NEMA WC 57 or as defined for 0-2,000 volts power conductors in NEMA WC 70, as applicable. Lighting conductors No. 10 AWG and smaller must be solid or have Class B stranding. Any conductors used between stationary and moving devices, such as hinged doors or panels, must have Class H or K stranding. All other conductors must have Class B or C stranding, except that conductors as shown, or in the schedule, as No. 12 AWG may be 19 strands of No. 25 AWG, and conductors shown as No. 10 AWG may be 19 strands of No. 22 AWG. Conductor stranding classes for circuit voltages 2,001 volts and above must be as defined in ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639, as applicable.

2.1.3.4 Conductor Shielding

Use conductor shielding conforming to NEMA WC 57 for control wire and cable as applicable. Use conductor shielding conforming to ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable, on power cables having a rated circuit voltage above 2,000 volts.

2.1.3.5 Separator Tape

Where conductor shielding, strand filling, or other special conductor treatment is not required, a separator tape between conductor and insulation is permitted.

2.1.4 Insulation

2.1.4.1 Insulation Material

Unless specified otherwise or required by NFPA 70, wires in conduit, other than service entrance, must be 600-volt, Type THWN/THHN conforming to UL 83. Insulation for control wire and cable must meet the requirements of NEMA WC 57. Insulation requirements for wire and cable rated less than 2,000 volts must meet the requirements of NEMA WC 70.

2.1.4.2 Insulation Thickness

The insulation thickness for each conductor must be based on its rated circuit voltage.

2.1.4.3 Single-Conductor and Multiple-Conductor Control Cables

The insulation thickness of control conductor sizes 22 AWG to 10 AWG used for control and related purposes must be as required by NEMA WC 57, as applicable. Control conductors larger than 10 AWG must be as required by NEMA WC 70.

2.1.5 Jackets

All cables must have jackets meeting the requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, and NEMA WC 74/ICEA S-93-639, as applicable, and as specified herein. Individual conductors of multiple-conductor cables must be required to have jackets only if they are necessary for the conductor to meet other specifications herein. Jackets of single-conductor cables and of individual conductors of multiple-conductor cables, except for shielded cables, must be in direct contact and adhere or be vulcanized to the conductor insulation. Multiple-conductor cables and shielded single-conductor cables must be provided with a common overall jacket, which must be tightly and concentrically formed around the core. Repaired jacket defects found and corrected during manufacturing are permitted if the cable, including jacket, afterward fully meets these specifications and the requirements of the applicable standards.

2.1.5.1 Jacket Material

The jacket must be one of the materials listed below. Polyvinyl chloride compounds will not be permitted.

General Use

Heavy-duty black neoprene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Heavy-duty chlorosulfonated polyethylene	NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Heavy-duty cross-linked (thermoset) chlorinated polyethylene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639

Accessible Use Only, 2,000 Volts or Less

Cables installed where they are entirely accessible, such as cable trays and raceways with removable covers, or where they pass through less than 10 feet of exposed conduit only, must have jackets of one of the materials in item "a. General Use" or one of the following:

General-purpose neoprene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Black polyethylene (MDPE)	NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Thermoplastic chlorinated polyethylene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639

2.1.5.2 Jacket Thickness

The minimum thickness of the jackets must be not less than 80 percent of the respective nominal thicknesses specified below.

2.1.5.2.1 Multiple-Conductor Cables

Thickness of the jackets of the individual conductors of multiple-conductor cables must be as required by NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable and must be in addition to the conductor insulation thickness required by the applicable respective NEMA publication for the insulation used. Thickness of the outer jackets and associated coverings of the assembled multiple-conductor cables must be as required by NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable.

2.1.5.2.2 Single-Conductor Cables

Single-conductor cables must have a jacket thickness as specified in NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable.

2.1.6 Metal-Clad Cable

2.1.6.1 General

The metallic covering or sheath must be interlocked metal tape or continuous corrugated metal, conforming to the applicable requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639. The type of metal for the metallic covering must be copper. If the covering is of ferrous metal, it must be galvanized. Grounding conductor(s) conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable must be furnished for each multiple-conductor metal-clad cable. Assembly and cabling must be as specified in paragraph "Cabling." The metallic covering must be applied over an inner jacket or filler tape. The cable must be assembled so that the metallic covering will be tightly bound over a firm core.

2.1.6.2 Jackets

Metal-clad cables may have a jacket under the armor, and must have a jacket over the armor. Jackets must comply with the requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. The outer jacket for the metal-clad cable may be of polyvinyl chloride (PVC) only if specifically approved.

2.1.7 Multiple-Conductor Cables

Grounding conductor(s) conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable must be furnished for each multiple-conductor cable. Assembly and cabling must be as specified in paragraph CABLING, if required.

2.2 CABLE IDENTIFICATION

2.2.1 Color-Coding

Power cable color-coding must be as follows:

- a. 208/120 volt, three-phase
 - (1) Phase A - black
 - (2) Phase B - red
 - (3) Phase C - blue
 - (4) Grounded neutral - white
 - (5) Insulated grounding conductor - green
- b. 480/277 volt, three-phase
 - (1) Phase A - brown
 - (2) Phase B - orange
 - (3) Phase C - yellow
- c. 240/120 volt, single phase: Black and red

Other individual conductors must be color-coded as indicated, but such color-coding may be accomplished by applying colored plastic tapes or colored sleeves at terminations.

2.2.2 Cabling

Individual conductors of multiple-conductor cables must be assembled with flame- and moisture-resistant fillers, binders, and a lay conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639. Flat twin cables are prohibited. Fillers must be used in the interstices of multiple-conductor round cables with a common covering where necessary to give the completed cable a substantially circular cross section. Fillers must be non-hygroscopic material, compatible with the cable insulation, jacket, and other components of the cable. The rubber-filled or other approved type of binding tape must consist of a material that is compatible with the other components of the cable and must be lapped at least 10 percent of its width.

2.2.3 Dimensional Tolerance

The outside diameters of single-conductor cables and of multiple-conductor cables must not vary more than 5 percent and 10 percent, respectively, from the manufacturer's published catalog data.

PART 3 EXECUTION

3.1 INSTALLATION INSTRUCTIONS

Submit cable manufacturing data as requested. The following information must be provided by the cable manufacturer for each size, conductor quantity, and type of cable furnished:

- a. Minimum bending radius, in inches - For multiple-conductor cables, this information must be provided for both the individual conductors and the multiple-conductor cable.
- b. Pulling tension and sidewall pressure limits, in pounds.
- c. Instructions for stripping semiconducting insulation shields, if furnished, with minimum effort without damaging the insulation.
- d. Upon request, compatibility of cable materials and construction with specific materials and hardware manufactured by others must be stated. Also, if requested, recommendations must be provided for various cable operations, including installing, splicing, terminating, etc.

-- End of Section --

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials
INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)	
IEEE C2	(2023) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2025) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems
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NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction
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NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2020) American National Standard for Electrical Metallic Tubing (EMT)
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 4	(2015) Application Guideline for Terminal Blocks
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures

NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2023) Product Safety Signs and Labels
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 780	(2023) Standard for the Installation of Lightning Protection Systems
TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)	
ANSI/TIA-607	(2019d) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)	
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.303	Electrical, General
UL SOLUTIONS (UL)	
UL 1	(2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit
UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 44	(2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 50	(2024) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 360	(2013; Reprint Jan 2024) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 486A-486B	(2025) UL Standard for Safety Wire Connectors

UL 486C	(2023; Reprint Feb 2025) UL Standard for Safety Splicing Wire Connectors
UL 489	(2025) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint Jun 2024) UL Standard for Safety Attachment Plugs and Receptacles
UL 506	(2017; Reprint Jan 2022) UL Standard for Safety Specialty Transformers
UL 508	(2018; Reprint Jul 2021) UL Standard for Safety Industrial Control Equipment
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2024) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Apr 2024) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 870	(2016; Reprint Nov 2023) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 1063	(2017; Reprint Jun 2022) UL Standard for Safety Machine-Tool Wires and Cables
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel
UL 1660	(2019; Reprint May 2024) Liquid-Tight Flexible Nonmetallic Conduit

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Shop Drawings

Wireways; G

Marking Strips Drawings; G

Product Data

Switches; G

Receptacles; G

Circuit Breakers; G

Fuses; G

Secondary Bonding Busbar; G

Test Reports

600-volt Wiring Test; G

Grounding System Test; G

Ground-fault Receptacle Test; G

Certificates

Fuses; G

Manufacturer's Field Reports

Generator start up testing report

1.4 QUALITY ASSURANCE

1.4.1 Electrician Qualifications

Electrical work must be performed by qualified persons with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed.

1.4.2 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

1.4.3 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the City Engineer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 is the minimum standard for workmanship.

1.4.4 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 consecutive hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.4.4.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.5 MAINTENANCE

1.5.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

- a. Single line diagram of the "as-built" building electrical system.
- b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).
- c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.6 WARRANTY

Provide equipment items supported by service organizations that are located within 250 miles of the equipment installation in order to render service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.7 SEISMIC REQUIREMENTS

Provide seismic work as indicated on Project Plans.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.2 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.3 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.4 Flexible Metal Conduit

UL 1, limited to 6 feet in areas where not accessible or below a raised floor installation.

2.2.4.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet in areas where not accessible or below a raised floor installation.

2.2.5 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.2.6 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.6.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.6.2 Fittings for EMT

Die Cast compression type.

2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type 3R.

2.5 WIRES AND CABLES

Provide wires and cables in accordance with applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.5.1 Conductors

Provide the following:

- a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.
- b. Conductors No. 10 AWG and larger diameter: stranded.
- c. Conductors No. 12 AWG and smaller diameter: solid.
- d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.
- e. All conductors: copper.

2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

- a. Branch circuits: No. 12 AWG
- b. Class 1 remote-control and signal circuits: No. 14 AWG
- c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG
- d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG
- e. Digital low voltage lighting control (DLVLC) system at 24 Volts or less: Category 5 UTP cables in EMT conduit.

2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

- a. Grounding conductors: Green.
- b. Neutral conductors: White.
- c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or

TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.5.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.7 DEVICE PLATES

Provide the following:

- a. UL listed, one-piece device plates for outlets to suit the devices installed.
- b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.
- c. For nonmetallic boxes and fittings, other suitable plates may be provided.
- d. Plates on finished walls: satin finish stainless steel or brushed-finish aluminum, minimum 0.03 inch thick.
- e. Screws: machine-type with countersunk heads in color to match finish of plate.
- f. Sectional type device plates are not be permitted.
- g. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.8 RECEPTACLES

Provide the following:

- a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.
- b. Ratings and configurations: as indicated.
- c. Bodies: ivory as per NEMA WD 1.
- d. Face and body: thermoplastic supported on a metal mounting strap.
- e. Dimensional requirements: per NEMA WD 6.
- f. Screw-type, side-wired wiring terminals. Do not use back-stabbed conductor terminals.
- g. Grounding pole connected to mounting strap.
- h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

- i. Controlled receptacles: as required per ASHRAE 90.1. Provide marking for controlled receptacle per NFPA 70.

2.9 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Heating, Ventilating, and Air Conditioning (HVAC)" for mechanical isolation of machines and other equipment.

2.10 NAMEPLATES AND SIGNS

2.10.1 Manufacturer's Nameplate

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.10.2 Field Fabricated Nameplates

Provide field fabricated nameplates in accordance with the following:

- a. ASTM D709.
- b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.
- c. Each nameplate inscription: identify the function and, when applicable, the position.
- d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.
- e. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.
- f. Minimum size of nameplates: one by 2.5 inches.
- g. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.10.3 Warning Signs

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.11 FIRESTOPPING MATERIALS

Provide firestopping around electrical penetrations.

2.12 WIREWAYS

UL 870. Material: steel epoxy painted 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length required for

the application with hinged-cover NEMA 1 enclosure per NEMA ICS 6. As required for field installation.

2.13 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

- a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.
- b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.
- c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.
- d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.
- e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.
- f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.
- g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof as required for field installation, conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Shared neutral, or multi-wire branch circuits, are not permitted with arc-fault circuit interrupters. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors.

3.1.1.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.2 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls,

and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.2.1 Restrictions Applicable to EMT

- a. Do not install underground.
- b. Do not encase in concrete, mortar, grout, or other cementitious materials.
- c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.
- d. Do not use in hazardous areas.
- e. Do not use outdoors.
- f. Do not use in fire pump rooms.
- g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.2 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS.

3.1.2.3 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.2.4 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.2.5 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.2.6 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semi recessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.3 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. Threaded studs driven in by powder charge and provided with lock washers and nuts may be used in lieu of wood screws, expansion shields, or machine screws. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.3.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.3.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4 Mounting Heights

Mount panelboards, enclosed circuit breakers, motor controller and disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79 inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount lighting switches 48 inches above finished floor. Mount receptacles 18 inches above finished floor, unless otherwise indicated.

3.1.5 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG 10 mm² and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG 25 mm² and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves.

3.1.5.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

- a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.
- b. Use permanent ink for the wire numbers
- c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.
- d. Size marking strips to accommodate the two sets of wire numbers.
- e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.
- f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

- g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.1.6 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings.

3.1.7 Grounding and Bonding

Provide in accordance with NFPA 70 and NFPA 780. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems. In addition to the requirements specified herein, provide telecommunications grounding in accordance with ANSI/TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.7.1 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact City Engineer for further instructions.

3.1.8 Equipment Connections

Provide power wiring and connections to motors and equipment. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.9 Repair of Existing Work

Perform repair of existing work demolition, and modification of existing electrical distribution systems as follows:

3.1.9.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.9.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.9.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.1.9.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give City Engineer 5 working days' notice prior to each test

Where applicable, test electrical equipment in accordance with NETA testing standards

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG 16 mm² and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA-ATS to provide direct reading of resistance.

All existing wiring to be reused must also be tested.

3.5.3 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.5.4 Phase Rotation Test

Perform phase rotation test to ensure proper rotation of service power prior to operation of new or reinstalled equipment using a phase rotation meter. Follow the meter manual directions performing the test.

-- End of Section --

SECTION 26 32 15

ENGINE-GENERATOR SET STATIONARY 15-2500 KW, WITH AUXILIARIES

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B31.3 (2024) Process Piping

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1 (2000; R 2011) General Principles for Temperature Limits in the Rating of Electric Equipment and for the Evaluation of Electrical Insulation

IEEE 81 (2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

IEEE 115 (2019) Guide for Test Procedures for Synchronous Machines: Part I Acceptance and Performance Testing; Part II Test Procedures and Parameter Determination for Dynamic Analysis

IEEE 120 (2023) Master Test Guide for Electrical Measurements in Power Circuits

IEEE C2 (2023) National Electrical Safety Code

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETAATS (2025) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 6 (1993; R 2016) Industrial Control and Systems: Enclosures

NEMA MG 1 (2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 30 (2024; TIA 24-1) Flammable and Combustible Liquids Code

NFPA 37 (2024) Standard for the Installation and Use of Stationary Combustion Engines and Gas Turbines

NFPA 54 (2024) National Fuel Gas Code

NFPA 58	(2024; TIA 24-2) Liquefied Petroleum Gas Code
NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code
NFPA 99	(2024) Health Care Facilities Code
NFPA 110	(2025) Standard for Emergency and Standby Power Systems
SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)	
SAE ARP892	(1965; R 1994) DC Starter-Generator, Engine
SAE J537	(2023) Storage Batteries
U.S. DEPARTMENT OF DEFENSE (DOD)	
UFC 3-301-01	(2023; with Change 3, 2025) Structural Engineering
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)	
40 CFR 60	Standards of Performance for New Stationary Sources
UL SOLUTIONS (UL)	
UL 489	(2025) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 1236	(2015; Reprint Feb 2021) UL Standard for Battery Chargers and Charging Engine-Starter Batteries

1.2 RELATED MATERIALS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM apply to this section, except as modified herein.

1.3 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Shop Drawings

Engine-Generator Set and Auxiliary Equipment; G

Auxiliary Systems; G

Detailed Drawings; G

Acceptance; G

Product Data

Harmonic Requirements; G
Engine-Generator Set Efficiencies; G
Emissions; G
Filters; G
Special tools; G
Remote Alarm Annunciator; G
Engine-Generator Parameter Schedule
Generator Manufacturer's Catalog
Site Welding
Spare Parts
Onsite Training
Vibration-Isolation
Posted Data and Instructions; G
Instructions; G
Field Engineer Experience
General Installation
Exciter

Design Data

Performance Criteria
Sound Limitations; G
Integral Main
Fuel Storage Tank
Power Factor
Time-Delay on Alarms
Cooling System
Vibration Isolation
Battery Charger
Capacity Calculations for Engine-Generator Set; G
Brake Mean Effective Pressure (BMEP) Calculations; G
Torsional Vibration Stress Analysis Computations; G

Capacity Calculations for Batteries; G

Turbocharger Load Calculations; G

Test Reports

Performance Tests

Factory Inspection and Tests

Factory Tests

Onsite Inspection and Tests; G

Acceptance Checks and Tests; G

Functional Acceptance Tests; G

Maintenance Procedures; G Operation and Maintenance Manuals; G

Inspections; G

Functional Acceptance Test Procedure; G

Certificates

Cooling System

Vibration Isolation

Reliability and Durability

Fuel System Certification; G

Start-Up Engineer; G

Instructor's Qualification Resume; G

Engine Emission Limits; G

Sound Limitations

Site Visit

Current Balance

Materials and Equipment

Factory Inspection and Tests

Manufacturer's Field Reports

Engine Tests; G

Generator Tests; G

Assembled Engine-Generator Set Tests; G

Operation and Maintenance Data

Preliminary Assembled Operation and Maintenance Manuals; G

Submit in accordance with Section 01 78 23 OPERATION AND
MAINTENANCE DATA

Closeout Submittals

Posted Data and Instructions; G

Training Plan; G

1.4 QUALITY ASSURANCE

1.4.1 Conformance to Codes and Standards

Where equipment is specified to conform to requirements of any code or standard such as UL, NEMA, etc., the design, fabrication and installation must also conform to the code.

1.4.2 Vibration Limitation

Limit the maximum engine-generator set vibration in the horizontal, vertical, and axial directions to 6 mils (peak-peak RMS), with an overall velocity limit of 0.95 inches/second RMS, at rated speed for all loads through 110 percent of rated speed. The engine-generator set must be provided with vibration isolation in accordance with the manufacturer's standard recommendation. Where the vibration isolation system does not secure the base to the structure floor or unit foundation, provide seismic restraints in accordance with the seismic parameters specified.

1.4.3 Performance Data

Submit vibration isolation system performance data for the range of frequencies generated by the engine-generator set during operation from no load to full load and the maximum vibration transmitted to the floor. Also submit a description of seismic qualification of the engine-generator mounting, base, and vibration isolation.

1.4.4 Seismic Requirements

Seismic requirements must be in accordance with UFC 3-301-01 and Sections as shown on the drawings.

1.4.5 Experience

Each component manufacturer must have a minimum of 3 years' experience in the manufacture, assembly and sale of components used with stationary engine-generator sets for commercial and industrial use. The engine-generator set manufacturer/assembler must have a minimum of 3 years' experience in the manufacture, assembly and sale of stationary engine-generator sets for commercial and industrial use. Submit a statement showing and verifying these requirements.

1.4.6 Field Engineer

The engine-generator set manufacturer or assembler must furnish a qualified field engineer to supervise the complete installation of the engine-generator set, assist in the performance of the onsite tests, and instruct personnel as to the operational and maintenance features of the equipment. The field engineer must have attended the engine generator manufacturer's training courses on installation and operation and maintenance of engine generator sets.

Submit a letter listing the qualifications, schools, formal training, and experience of the field engineer.

1.4.7 Detailed Drawings

Submit detailed drawings showing the following:

- a. Base-mounted equipment, complete with base and attachments, including anchor bolt template and recommended clearances for maintenance and operation.
- b. Starting system.
- c. Fuel system.
- d. Cooling system.
- e. Exhaust system.
- f. Electric wiring of relays, breakers, programmable controllers, and switches including single line and wiring diagrams.
- g. Lubrication system, including piping, pumps, strainers, filters, controls and wiring.
- h. Location, type, and description of vibration isolation devices for all applications.
- i. The safety system, including wiring schematics.
- j. One-line schematic and wiring diagrams of the generator, exciter, regulator, governor, and instrumentation.
- k. Panel layouts.
- l. Mounting and support for each panel and major piece of electrical equipment.
- m. Engine-generator set rigging points and lifting instructions.

1.4.8 Auxiliary Systems Engine-Generator Set and Auxiliary Equipment Drawing Requirements

Submit drawings pertaining to the engine-generator set and auxiliary equipment, including but not limited to the following:

- a. Certified outline, general arrangement (setting plan), and anchor bolt details. Show total weight and center of gravity of assembled equipment on the steel sub-base.
- b. Detailed elementary, schematic wiring, and interconnection diagrams of the engine starting system, jacket coolant heating system, engine protective devices, engine alarm devices, engine speed governor system, generator and excitation system, and other integral devices.
- c. Detailed elementary, schematic wiring; and interconnection diagrams of the fuel system, starting battery system, engine-generator control panel, generator circuit breaker.
- d. Dimensional drawings or catalog cuts of exhaust silencers, radiator, fuel day tanks, fuel oil cooler, valves and pumps, intake filters, vibration isolators, and other auxiliary equipment not integral with the engine-generator set.

1.4.9 Auxiliary Systems Drawing Requirements

Submit drawings showing floor plan arrangement of systems including arrangement of piping and pipe sizes.

1.4.10 Vibration Isolation System Certification

Submit certification from the manufacturer that the vibration isolation system will reduce the vibration to the limits specified in the paragraph VIBRATION ISOLATION.

1.5 DELIVERY, STORAGE, AND HANDLING

Properly protect materials and equipment, in accordance with the manufacturers recommended storage procedures, before, during, and after installation. Protect stored items from the weather and contamination. During installation, cap piping and similar openings to keep out dirt and other foreign matter.

Deliver equipment on pallets or blocking wrapped in heavy-duty plastic, sealed to protect parts and assemblies from moisture and dirt. Protect and prepare batteries for shipment as recommended by the battery manufacturer. Store auxiliary equipment at the site in covered enclosures, protected from atmospheric moisture, dirt, and ground water.

1.6 MAINTENANCE SERVICES

Submit the operation and maintenance manuals and have them approved prior to commencing onsite tests.

1.6.1 Operation Manual

Provide three copies of the operation manual in 8-1/2 by 11 inch three-ring binders. Sections must be separated by heavy plastic dividers with tabs which identify the material in the section. Fold drawings with the title block visible, and placed in 8-1/2 by 11 inch plastic pockets with reinforced holes. The manual must include:

- a. Step-by-step procedures for system startup, operation, and shutdown;
- b. Drawings, diagrams, and single-line schematics to illustrate and define the electrical, mechanical, and hydraulic systems with their controls, alarms, and safety systems;
- c. Procedures for interface and interaction with related systems to include automatic transfer switches.

1.6.2 Maintenance Manual

Provide three copies of the maintenance manual containing the information described below in 8-1/2 x 11 inch three-ring binders. Separate each section by a heavy plastic divider with tabs. Fold drawings with the title block visible, and placed in plastic pockets with reinforced holes. The manual must include:

- a. Procedures for each routine maintenance item.
- b. Procedures for troubleshooting.
- c. Factory-service, take-down overhaul, and repair service manuals, with parts lists.
- d. The manufacturer's recommended maintenance schedule.

- e. A component list which includes the manufacturer's name, address, type or style, model or serial number, rating, and catalog number for the major components.
- f. A list of spare parts for each piece of equipment and a complete list of materials and supplies needed for operation.

1.6.3 Assembled Operation and Maintenance Manuals

The contents of the assembled operation and maintenance manuals must include the manufacturer's O&M information required by the paragraph, OPERATION AND MAINTENANCE DATA and the manufacturer's O&M information specified in Section 26 36 23 AUTOMATIC TRANSFER SWITCHES AND BY-PASS/ISOLATION SWITCH.

- a. Manuals must be in separate books or volumes, assembled and bound securely in durable, hard covered, water resistant binder, and indexed by major assembly and components in sequential order.
- b. A table of contents (index) must be made part of the assembled O&M. The manual must be assembled in the order noted in table of contents.
- c. The cover sheet or binder on each volume of the manuals must be identified and marked with the words, "Operation and Maintenance Manual."

PART 2 PRODUCTS

2.1 SYSTEM REQUIREMENTS

Provide and install a new package engine-generator set complete and totally functional, with all necessary ancillary equipment to include: air filtration; starting system; generator controls, protection, and isolation; instrumentation; lubrication; fuel system; cooling system; and engine exhaust system. Size the generator unit per the design drawings. the fuel source will be propane. Refer to the design drawings for more details and cut sheets.

2.1.1 Engine-Generator Set Enclosure

Provide engine-generator set enclosures that are corrosion resistant and fully weather resistant. The enclosure must contain all set components and provide ventilation to permit operation at Service Load under secured conditions. Provide access doors to controls and equipment requiring periodic maintenance or adjustment. Provide removable panels for access to components requiring periodic replacement. The enclosure must be capable of being removed without disassembly of the engine-generator set or removal of components other than the exhaust system. The enclosure must reduce the noise of the generator set to within the limits specified in the paragraph SOUND LIMITATIONS.

2.2 SAFETY DEVICES

Exposed moving parts, parts that produce high operating temperatures, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices such that proper operation of the equipment is not impaired.

2.3 MATERIALS AND EQUIPMENT

Submit certification stating that where materials or equipment are specified to comply with requirements of UL, written proof of such compliance has been obtained. The label or listing

of the specified agency, or a written certificate from an approved, nationally recognized testing organization equipped to perform such services, stating that the items have been tested and conform to the requirements and testing methods of the specified agency are acceptable as proof.

2.4 EMISSIONS

The finished installation must comply with Federal, state, and local regulations and restrictions regarding the limits of emissions, as listed here: Submit certification from the engine manufacturer stating that the engine exhaust emissions meet the federal, state, and local regulations and restrictions specified. At a minimum this certification must include emission factors for criteria pollutants including nitrogen oxides, carbon monoxide, particulate matter, sulfur dioxide, non-methane hydrocarbon, and for hazardous air pollutants (HAPs).

2.5 STARTING SYSTEM

Provide starting system for standby engine generator sets used in emergency applications in accordance with NFPA 99 and NFPA 110 and as follows.

2.5.1 Capacity

Provide starting system with sufficient capacity, at the maximum outdoor summer temperature specified to crank the engine without damage or overheating. The system must provide a minimum of three cranking periods with 15 second intervals between cranks. Each cranking period must have a maximum duration of 15 seconds. Starting must be accomplished using an adequately sized dc starter system with a positive shift solenoid to engage the starter motor and to crank the engine continuously for 60 seconds without overheating.

2.5.2 Electrical Starting

Manufacturers recommended dc system, utilizing a negative circuit ground. Starting motors must be in accordance with SAE ARP892.

2.5.2.1 Battery

Provide a starting battery system including the battery, battery rack, intercell connectors, spacers, automatic battery charger with overcurrent protection, metering and relaying. Provide battery in accordance with SAE J537. Size critical system components (rack, protection, etc.) to withstand the seismic acceleration forces specified. Provide lead-acid battery with sufficient capacity, at the minimum outdoor and maximum outdoor temperature specified, to provide the specified cranking periods. Valve-regulated lead-acid batteries are not acceptable.

Provide maintenance free, sealed, lead-acid, SAE Type D engine starting batteries. Batteries must have sufficient capacity to provide 60 seconds of continuous cranking of the engine in an ambient temperature of degrees F.

2.5.2.2 Battery Charger

Provide a current-limiting battery charger, conforming to UL 1236, that automatically recharges the batteries. Submit battery charger sizing calculations. The charger must be capable of an equalize charging rate which is manually adjustable in a continuous range and a floating charge rate for maintaining the batteries at fully charged condition. Provide an ammeter to indicate charging rate. Provide a voltmeter to indicate charging voltage. Provide a timer for the equalize

charging-rate setting. A battery is considered to be fully depleted when the output voltage falls to a value which will not operate the engine generator set and its components.

2.5.3 Exerciser

Provide exerciser in accordance with Section 26 36 23 AUTOMATIC TRANSFER SWITCH

2.6 GENERATOR ISOLATION AND PROTECTION

The generator circuit breaker must comply with UL 489 requirements for molded case, adjustable thermal magnetic trip type circuit breaker. The circuit breaker continuous current rating must be adequate for the power rating of the engine-generator set and the circuit breaker must be rated to withstand the short circuit current provided by the generator set. Provide circuit breaker in a NEMA ICS 6, Type enclosure mounted on the engine-generator set.

2.7 SAFETY SYSTEM

Provide and install devices, wiring, remote panels, and local panels, etc., as a complete system to automatically activate the appropriate signals and initiate the appropriate actions. Provide a safety system with a self-test method to verify its operability. Provide alarm signals that have manual acknowledgment and reset devices. The alarm signal systems must reactivate for new signals after acknowledgment is given to any signal. Configure the systems so that loss of any monitoring device will be dealt with as an alarm on that system element.

2.7.1 Audible Signal

Provide audible alarm signal sound at a frequency of Hz at a volume of 75 dB at 10 feet. The sound must be continuously activated upon alarm and silenced upon acknowledgment. Locate signal devices as shown.

2.7.2 Visual Signal

The visual alarm signal must be a panel light. The light must be normally off, activated to be blinking upon alarm. The light must change to continuously lit upon acknowledgement. If automatic shutdown occurs, the display must maintain activated status to indicate the cause of failure and must not be reset until cause of alarm has been cleared and/or restored to normal condition. Shutdown alarms must be red; all other alarms must be amber. the package generator set will have alarms and additional form C contacts to provide summary alarms the City's PLC system. the Packaged system will need to coordinate with the City for all alarm points. the use of RS485 and ethernet connection. provide spare ports.

2.8 SURGE PROTECTION

Electrical and electronic components must be protected from, or designed to withstand the effects of surges from switching and lightning.

2.9 AUTOMATIC ENGINE-GENERATOR-SET SYSTEM OPERATION

Provide fully automatic operation for the following operations: engine-generator set starting and load transfer upon loss of normal source; retransfer upon restoration of the normal source; sequential starting; paralleling, and load-sharing for multiple engine-generator sets;

and stopping of each engine-generator set after cool-down. Devices must automatically reset after termination of their function.

2.9.1 Automatic Transfer Switch

Provide automatic transfer switches in accordance with Section 26 36 23 AUTOMATIC TRANSFER SWITCH AND BY-PASS/ISOLATION SWITCH.

2.9.2 Monitoring and Transfer

Provide devices to monitor voltage and frequency for the normal power source and each engine-generator set, and control transfer from the normal source and retransfer upon restoration of the normal source. Describe functions, actuation, and time delays as described in Section 26 36 23 AUTOMATIC TRANSFER SWITCH AND BY-PASS/ISOLATION SWITCH.

2.10 MANUAL ENGINE-GENERATOR-SET SYSTEM OPERATION

Provide complete facilities for manual starting and testing of each set without load, loading and unloading of each set, and synchronization of each set with an energized bus.

2.11 PAINTING AND FINISHING

Clean, prime and paint the engine-generator set in accordance with the manufacturer's standard color and practice.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the job, perform a Site Visit to verify the information shown on the drawings, before performing any work. Submit a letter stating the date the site was visited and listing discrepancies found. Notify the City Engineer in writing of any discrepancies.

3.2 GENERAL INSTALLATION

Provide clear space for operation and maintenance in accordance with NFPA 70 and IEEE C2. Submit a copy of the manufacturer's installation procedures and a detailed description of the manufacturer's recommended break-in procedure. Install pipe, duct, conduit, and ancillary equipment to facilitate easy removal and replacement of major components and parts of the engine-generator set.

3.3 PIPING INSTALLATION

Weld piping. Provide flanged valve connections. Provide flanged connections at equipment. Provide threaded connections to the engine if the manufacturer's standard connection is threaded. Except where otherwise specified, use welded flanged fittings to allow for complete dismantling and removal of each piping system from the facility without disconnecting or removing any portion of any other system's equipment or piping. Make connections to equipment with vibration isolation-type flexible connectors. Support and align piping and tubing to prevent stressing of flexible hoses and connectors. Flash pipes extending through the roof. Install piping clear of windows, doors and openings, to permit thermal expansion and contraction without damage to joints or hangers, and install a 1/2 inch drain valve with cap at each low point.

The installation of gas engines must conform to the requirements of NFPA 37 and its references therein, including NFPA 54, NFPA 58, and ASME B31.3.

3.3.1 Cleaning

After fabrication and before assembly, piping interiors must be manually wiped clean of debris.

3.4 ELECTRICAL INSTALLATION

Perform electrical installation in compliance with NFPA 70, IEEE C2, and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. For vibration isolation, provide flexible fittings for conduit, cable trays, and raceways attached to engine-generator sets; provide flexible stranded conductor for metallic conductor cables installed on the engine generator set and from the engine generator set to equipment not mounted on the engine generator set; and provide crimp-type terminals or lugs for terminations of conductors on the engine generator set.

3.5 ONSITE INSPECTION AND TESTS

Perform and report on factory tests and inspections prior to shipment. Provide certified copies of manufacturer's test data and results. Test procedures must conform to ASME, IEEE, and ANSI standards, and to ISO requirements on testing, as appropriate and applicable. The manufacturer performing the tests must provide equipment, labor, and consumables necessary for tests and measuring and indicating devices must be certified to be within calibration. Tests must indicate satisfactory operation and attainment of specified performance. If satisfactory, equipment tested will be given a tentative approval. Equipment must not be shipped before approval of the factory test reports for the following tests.

Submit a letter giving notice of the proposed dates of onsite inspections and tests at least days prior to beginning tests.

- a. Submit a detailed description of the Contractor's procedures for onsite tests including the test plan and a listing of equipment necessary to perform the tests at least 5- days Prior to beginning tests.
- b. Submit copies of the onsite test data described below in 8-1/2 by 11 inch binders having a minimum of 3 rings from which material may readily be removed and replaced, including a separate section for each test. Separate sections by heavy plastic dividers with tabs. Provide full size (8-1/2 by 11 inch minimum) data plots showing grid lines, with full resolution.
 - (1) A detailed description of the procedures for onsite tests.
 - (2) A list of equipment used, with calibration certifications.
 - (3) A copy of measurements taken, with required plots and graphs.
 - (4) The date of testing.
 - (5) A list of the parameters verified.
 - (6) The condition specified for the parameter.
 - (7) The test results, signed and dated.
 - (8) A description of adjustments made.

3.5.1 Test Conditions

3.5.1.1 Data

Make and record measurements of all parameters necessary to verify that each set meets specified parameters. If the results of any test step are not satisfactory, make adjustments, replacements, or repairs and repeat the step until satisfactory results are obtained. Unless otherwise indicated, record data in 15 minute intervals during engine-generator set operation and include: readings of all engine-generator set meters and gauges for electrical and power parameters; oil pressure; ambient temperature; and engine temperatures available from meters and gauges supplied as permanent equipment on the engine-generator set. Perform electrical measurements in accordance with IEEE 120. Definitions of terms are in accordance with IEEE 100. Provide temperature limits in the rating of electrical equipment and for the evaluation of electrical insulations in accordance with IEEE 1.

3.5.1.2 Sequence

Provide the sequence of testing as specified in the approved testing plan unless variance is authorized by the City Engineer. Perform field testing in the presence of the City Engineer. Schedule and sequence tests in order to optimize run-time periods; however, follow the general order of testing: Construction Tests; Inspections; Pre-operational Tests; Safety Run Tests; Performance Tests; and Final Inspection.

3.5.2 Construction Tests

Perform individual component and equipment functional tests for fuel piping, coolant piping, and lubricating-oil piping, electrical circuit continuity, insulation resistance, circuit protective devices, and equipment not provided by the engine-generator set manufacturer prior to connection to the engine-generator set.

3.5.3 Generator Tests

Tests must be performed on the complete factory assembled generator prior to shipment. Conduct tests in accordance with IEEE 115, and NEMA MG 1.

3.5.4 Performance Tests

In the following tests, where measurements are to be recorded after stabilization of an engine-generator set parameter (voltage, frequency, current, temperature, etc.), stabilization is considered to have occurred when measurements are maintained within the specified bandwidths or tolerances, for a minimum of four consecutive readings. For the following tests, repeat the associated tests if any parts are changed, or adjustments made to the generator set, its controls, or auxiliaries. The start-up and performance test should be performed per the mfg. standard for a package unit on site.

3.6 START-UP ENGINEER

Provide the services of a qualified factory trained start-up engineer, regularly employed by the engine-generator set manufacturer. The start-up services must include conducting preliminary operations and functional acceptance tests. The start-up engineer must be present at the engine generator set installation-site, full-time, while preliminary operations and functional acceptance tests are being conducted.

3.7 PREREQUISITES FOR FUNCTIONAL ACCEPTANCE TESTING

Completion of the following requirements is mandatory prior to scheduling functional acceptance tests for the engine-generator set and auxiliary equipment.

3.7.1 Performance of Acceptance Checks and Tests

The acceptance checks and tests must be accomplished by the testing organization as described in NETA standards for generator testing and the Generators standards.

3.7.2 Generator Sets

Complete as specified in the paragraph ACCEPTANCE CHECKS AND TESTS.

3.7.2.1 Automatic Transfer Switches

Complete acceptance checks and tests as specified in Section 26 36 23
AUTOMATIC TRANSFER SWITCHES AND BY-PASS/ISOLATION SWITCH.

3.7.3 Preliminary Operations

The start-up engineer must conduct manufacturer recommended start-up procedures and tests to verify that the engine-generator set and auxiliary equipment are ready for functional acceptance tests. Give the City Engineer 15 days' advance notice that preliminary operations will be conducted. After preliminary operation has been successfully conducted, the start-up engineer will notify the City Engineer in writing stating the engine-generator set and auxiliary equipment are ready for functional acceptance tests.

3.7.4 Preliminary Assembled Operation and Maintenance Manuals

Preliminary assembled operation and maintenance manuals must have been submitted to and approved by the City Engineer. Manuals must be prepared as specified in the paragraph ASSEMBLED OPERATION AND MAINTENANCE MANUALS.

3.7.5 Functional Acceptance Test Procedure

Test procedure must be prepared by the start-up engineer specifically for the engine-generator set and auxiliary equipment. The test agenda must cover the requirements specified in the paragraph FUNCTIONAL ACCEPTANCE TESTS. The test procedure must indicate in detail how tests are to be conducted. A statement of the tests that are to be performed without indicating how the tests are to be performed is not acceptable. Indicate what work is planned on each workday and identify the calendar dates of the planned workdays. Specify what additional technical support personnel is needed such as factory representatives for major equipment. Specify on which testing workday each technical support personnel is needed. Data recording forms to be used to document test results are to be submitted with the proposed test procedure. A list of test equipment and instruments must also be included in the test procedure.

3.7.6 Test Equipment

Test equipment and instruments must be on hand prior to scheduling field tests or, subject to City approval, evidence must be provided to show that arrangements have been made to have the necessary equipment and instruments on-site prior to field testing.

3.8 FIELD QUALITY CONTROL

Give City Engineer 30 days' notice of dates and times scheduled for tests which require the presence of the City Engineer. The City Engineer will coordinate the activity and schedule a time that will eliminate or minimize interruptions and interference with the activity operations. The Contractor must be responsible for costs associated with conducting tests outside of normal working hours and with incorporating special arrangements and procedures, including temporary power conditions. The Contractor must provide labor, equipment, fuel, test load, and consumables required for the specified tests. The test load must be a cataloged product. Calibration of measuring devices and indicating devices must be certified. Refer to Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, for requirements for a cataloged product. Perform the following field tests.

3.8.1 Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.8.1.1 Circuit Breakers - Low Voltage Insulated Case/Molded Case

a. Visual and Mechanical Inspection

- (1) Compare nameplate data with specifications and approved shop drawings.
- (2) Inspect circuit breaker for correct mounting.
- (3) Operate circuit breaker to ensure smooth operation.
- (4) Inspect case for cracks or other defects.
- (5) Verify tightness of accessible bolted connections and cable connections by calibrated torque-wrench method. Thermographic survey is not required.
- (6) Inspect mechanism contacts and arc chutes in unsealed units.

b. Electrical Tests

- (1) Perform contact-resistance tests.
- (2) Perform insulation-resistance tests.
- (3) Adjust breaker(s) for final settings in accordance with engine-generator set manufacturer's requirements.

3.8.1.2 Metering and Instrumentation

a. Visual and Mechanical Inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify tightness of electrical connections.

b. Electrical Tests

- (1) Determine accuracy of meters at 25, 50, 75, and 100 percent of full scale.
- (2) Calibrate watt-hour meters according to manufacturer's published data.
- (3) Verify all instrument multipliers.
- (4) Electrically confirm that current transformer secondary circuits are intact.

3.8.1.3 Battery Systems

a. Visual and Mechanical Inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method. Thermo-graphic survey is not required.
- (4) Measure electrolyte specific gravity and temperature and visually check fill level.
- (5) Verify adequacy of battery support racks, mounting, anchorage, and clearances.

b. Electrical Tests

- (1) Set charger float and equalizing voltage levels.
- (2) Verify all charger functions and alarms.
- (3) Measure each cell voltage and total battery voltage with charger energized and in float mode of operation.
- (4) Perform a capacity load test.

3.8.1.4 Engine-Generator Set

a. Visual and Mechanical Inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Inspect for correct anchorage and grounding.

b. Electrical and Mechanical Tests

- (1) Perform an insulation-resistance test on generator winding with respect to ground. Calculate polarization index.

- (2) Perform phase rotation test to determine compatibility with load requirements.

3.8.1.5 Grounding System

a. Visual and Mechanical Inspection

- (1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical Tests

- (1) Perform ground-impedance measurements utilizing the fall-of-potential method defined in IEEE 81. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. Take measurements in normally dry weather, not less than 48 hours after rainfall. Provide site diagram indicating location of test probes with associated distances, and provide a plot of resistance vs. distance.

3.8.2 Functional Acceptance Tests

The tests must be performed by the start-up engineer. Upon successful test completion, the start-up engineer must provide the City Engineer with a written test report within 15 calendar days showing the tests performed and the results of each test. The report must include the completed approved test data forms and certification from the start-up engineer that the test results fall within the manufacturer's recommended limits and meet the specified requirements performance. The report must be dated and signed by the start-up engineer, and submitted for approval by the City Engineer. The City engineer will witness final acceptance tests. Testing must include, but not be limited to, the following:

- a. Verify proper functioning of each engine protective shutdown device and pre-shutdown alarm device. Testing of the devices must be accomplished by simulating device actuation and observing proper alarm and engine shutdown operation.
- b. Verify proper functioning of the engine over-speed trip device. Testing of the over-speed trip device must be accomplished by raising the speed of the engine-generator set until an over-speed trip is experienced.
- c. Verify proper functioning of the crank cycle/terminate relay. Testing of the relay must be accomplished by engaging the starter motor with the engine being prevented from running. Observe the complete crank/rest cycle as described in the paragraph STARTING SYSTEM.
- d. Verify proper functioning of the following automatic and manual operations. Testing must include, but not be limited to, the following:
 - (1) Loss of Utility: Initiate a normal power failure with connected test load of rated kW at 1.0 power factor. Record time delay on start, cranking time until engine starts and runs, time to come up to operating speed, voltage and frequency overshoot, and time to achieve steady state conditions with all switches transferred to emergency position.
 - (2) Return of Utility: Return normal power and record time delay on retransfer for each automatic transfer switch, and time delay on engine cool-down and shutdown.

- (3) Manual starting.
- (4) Emergency stop.
- e. Operate the engine-generator set at rated current (amperes) until the jacket water temperature stabilizes. Stabilization will be considered to have occurred when three consecutive temperature readings remain unchanged. Continue to operate the generator set for an additional 2 hours. Record instrument readings for terminal voltage, line current, frequency (Hz), engine speed rpm, lubricating oil pressure, jacket water temperature, and ambient temperature at 5 minute intervals for first 15 minutes and at 15 minute intervals thereafter.
- f. Emissions Tests. Provide on-site testing by a certified testing organization of each engine-generator set. Testing must be in accordance with an EPA approved method, 40 CFR 60, (Appendix, Method 7, 7A, 7B, 7C, 7D or 7E). Emissions at rated full load must be within the limits specified in the paragraph ENGINE EMISSIONS LIMITS.

3.9 DEMONSTRATION

Upon completion of the work and at a time approved by the City Engineer, the Contractor must provide instructions by a qualified instructor to the Government personnel in the proper operation and maintenance of the equipment. Government personnel must receive training comparable to the equipment manufacturer's factory training. The duration of instruction must be for not less than one 8 hour working day for instruction of operating personnel and not less than one 8 hour working day for instruction of maintenance personnel.

3.9.1 Instructor's Qualification Resume

Instructors must be regular employees of the engine-generator set manufacturer. The instruction personnel provided to satisfy the requirements above must be factory certified by the related equipment manufacturer to provide instruction services. Submit the name and qualification resume of instructor to the City Engineer for approval.

3.9.2 Training Plan

Submit training plan 30 calendar days prior to training sessions. Training plan must include scheduling, content, outline, and training material (handouts). Content must include, but not be limited to, the following:

3.9.2.1 Operating Personnel Training

This instruction includes operating the engine-generator set, auxiliary equipment including automatic transfer switches in all modes, and the use of all functions and features specified.

3.9.2.2 Maintenance Personnel Training

Training must include mechanical, hydraulic, electrical, and electronic instructions for the engine-generator set and auxiliary equipment including automatic transfer switches.

- a. Mechanical Training: Must include at least the following:

- (1) A review of mechanical diagrams and drawings.

- (2) Component location and functions.
 - (3) Troubleshooting procedures and techniques.
 - (4) Repair procedures.
 - (5) Assembly/disassembly procedures.
 - (6) Adjustments (how, when, and where).
 - (7) Preventive maintenance procedures.
 - (8) Review of flow diagram.
 - (9) Valve locations and function.
 - (10) Valve and hydraulic equipment adjustment and maintenance procedures.
 - (11) Hydraulic system maintenance and servicing.
 - (12) Lubrication points, type, and recommended procedures and frequency.
- b. Electrical and Electronic Maintenance Training: Must include at least the following:
- (1) A review of electrical and electronic systems including wiring diagrams and drawings.
 - (2) Troubleshooting procedures for the machine and control systems.
 - (3) Electrical and electronic equipment servicing and care.
 - (4) Use of diagnostics to locate the causes of malfunction.
 - (5) Procedures for adjustments (locating components, adjustments to be made, values to be measured, and equipment required for making adjustments).
 - (6) Maintenance and troubleshooting procedures for microprocessor or minicomputer where applicable.
 - (7) Circuit board repair procedures where applicable (with schematics provided).
 - (8) Use of diagnostic tapes.
 - (9) Recommended maintenance servicing and repair for motors, switches, relays, solenoids, and other auxiliary equipment and devices.

3.10 ONSITE TRAINING

Conduct a training course for the operating staff as designated by the City Engineer. The training period must consist of a total 4 hours of normal working time and must start after the system is functionally completed but prior to final acceptance.

- a. Submit a letter giving the date proposed for conducting the onsite training course, the agenda of instruction, a description of the digital video recording to be provided. The

course instructions must cover pertinent points involved in operating, starting, stopping, servicing the equipment, as well as major elements of the operation and maintenance manuals. Additionally, the course instructions must demonstrate routine maintenance procedures as described in the operation and maintenance manuals.

- b. Provide approved operation and maintenance manuals for the training course. Post approved instructions prior to the beginning date of the training course. Coordinate the training course schedule with the using service's work schedule, and submit for approval 14 days prior to beginning date of proposed beginning date of training.

3.11 INSTALLATION

Installation must conform to the applicable requirements of IEEE C2, NFPA 30, NFPA 37, and NFPA 70.

3.12 FINAL TESTING AND INSPECTION

- a. Start the engine, record the starting time, make and record all engine manufacturer's after-starting checks and inspections during a reasonable warm-up period.
- b. Increase the load in steps no greater than the Maximum Step Load Increase to 100 percent of Service Load, and operate the engine-generator set for at least 30 minutes. Measure the vibration at the end bearings (front and back of engine, outboard end of generator) in the horizontal, vertical, and axial directions. Verify that the vibration is within the same range as previous measurements and is within the required range.
- c. Remove load and shut down the engine-generator set after the recommended cool down period.
- d. Remove the lube oil filter and have the oil and filter examined by the engine manufacturer for excessive metal, abrasive foreign particles, etc. Verify any corrective action for effectiveness by running the engine for 4 hours at Service Load, then re-examine the oil and filter.
- e. Remove the fuel filter and examine the filter for trash, abrasive foreign particles, etc.
- f. Visually inspect and check engine and generator mounting bolts for tightness and visible damage.
- g. Replace air, oil, and fuel filters with new filters.

3.13 MANUFACTURER'S FIELD SERVICE

The engine generator-set manufacturer must furnish a qualified representative to supervise the installation of the engine generator-set, assist in the performance of the onsite tests, and instruct personnel as to the operational and maintenance features of the equipment.

3.14 ACCEPTANCE

Submit drawings which accurately depict the as-built configuration of the installation, upon acceptance of the engine-generator set installation. Revise layout drawings to reflect the as-built conditions and submit them with the as-built drawings. Final acceptance of the engine-generator set will not be given until the Contractor has successfully completed all tests and all defects in installation material or operation have been corrected.

-- End of Section --

SECTION 26 36 23

AUTOMATIC TRANSFER SWITCHES AND BY-PASS/ISOLATION SWITCH

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117 (2019) Standard Practice for Operating Salt Spray (Fog) Apparatus

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2025) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2020) Enclosures for Electrical Equipment (1000 Volts Maximum)

NEMA ICS 2 (2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V

NEMA ICS 4 (2015) Application Guideline for Terminal Blocks

NEMA ICS 6 (1993; R 2016) Industrial Control and Systems: Enclosures

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 1 2024; TIA 24-1) National Electrical Code

NFPA 110 (2025) Standard for Emergency and Standby Power Systems

UL SOLUTIONS (UL)

UL 508 (2018; Reprint Jul 2021) UL Standard for Safety Industrial Control Equipment

UL 1008 (2022) UL Standard for Safety Transfer Switch Equipment

UL 1066 (2022) UL Standard for Safety Low-Voltage AC and DC Power Circuit Breakers Used in Enclosures

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Shop Drawings

Automatic Transfer Switch Drawings; G

Product Data

Automatic Transfer Switches; G

By-Pass/Isolation Switch (BP/IS); G

Remote Annunciator Panel; G

Remote Annunciator and Control System Panel; G

Test Reports

Acceptance Checks and Tests; G

Functional Acceptance Tests; G

Factory Testing; G

Factory Test Reports; G

Certificates

Proof of Listing; G

Operation and Maintenance Data

Operation and Maintenance Manual, Submit in accordance with Section 01 78
23 OPERATION AND MAINTENANCE DATA, Data Package 5; G

1.3 OPERATION AND MAINTENANCE MANUAL

Assemble and bind manuals in durable, hard-covered, water resistant binders. Assemble and index the manuals per the following table of contents:

- a. Manufacturer's O&M per "Operation and Maintenance Data".
- b. Catalog data required by "Product Data"
- c. Drawings required by " Shop Drawings".

1.3.1 Additions to Operation and Maintenance Manuals

In addition to requirements of Data Package 5, include the followings on the actual equipment provided:

- a. An outline drawing, front, top, and side views.
- b. Prices for spare parts and supply list.
- c. Date of Purchase.
- d. Corrective maintenance procedures.
- e. Operating manual outlining step-by-step procedures for system startup, operation, and shutdown.

- f. Include simplified wiring and control diagrams in the manual for system as installed.
- g. Provide typical contact voltage drop readings under specified conditions for use during periodic maintenance. Provide instructions for determination of contact integrity.

1.3.2 Spare Parts

Furnish the following the following minimum spare parts and any other spare parts required in one-year operation, of the same material and workmanship, meeting the same requirements, and interchangeable with the corresponding original parts.

- a. uses: Two of each type and rating.

1.4 QUALITY ASSURANCE

1.4.1 Proof of Listing

Submit proof of listing by UL 1008.

1.4.2 Automatic Transfer Switch Drawings

Include the following as a minimum:

- a. An outline drawing, including front, top, and side views.
- b. Provide a nameplate of corrosion-resistant material with not less than 1/8 inch tall characters showing manufacturer's name and equipment ratings. Mount nameplate to front of enclosure and meet the nameplate requirements of NEMA ICS 2.
- c. Provide detail drawings that include manufacturer's name and catalog number, electrical ratings, total system transfer statement, reduced normal supply voltage at which transfer to the alternate supply is initiated, transfer delay times, short-circuit current rating, wiring diagram, description of interconnections, testing instructions, acceptable conductor type for terminals, tightening torque for each wire connector, and other required UL 1008 markings.
- d. Submit interface equipment connection diagram showing conduit and wiring between ATS and related equipment. Provide diagrams showing interlocking provisions and cautionary notes, if any.
- e. Drawings are to indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

1.4.3 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the City Engineer. Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated

1.4.4 Standard Product

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship, and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.4.1 Material and Equipment Manufacturing Date

Products manufactured more than 1 years prior to date of delivery to site are not acceptable.

1.5 DELIVERY AND STORAGE

Protect equipment placed in storage from humidity and temperature variations, moisture, water intrusion, dirt, dust, or other contaminants. In harsh environments where temperatures exceed non-operational parameters established within this specification, provide an environmentally controlled equipment storage facility to ensure temperature parameters are within equipment specification. Provide documentation of same to the City when storage is implemented.

1.6 ENVIRONMENTAL CONDITIONS

Provide an ATS that is suitable for prolonged performance under following service conditions:

- a. Operating altitude: Sea level to 3,300 ft. (Systems applied at higher altitudes are to be derated in accordance with the manufacturer's instructions).
- b. Operating ambient temperature range: 30 to 104 degrees F.
- c. Operating relative humidity: 0 to 90 percent, without condensation.

1.5 SEISMIC REQUIREMENTS

Provide seismic details per the drawings

PART 2 PRODUCTS

2.1 AUTOMATIC TRANSFER SWITCHES

Each automatic transfer switch must be rated and marked for total system transfer and have the current and voltage ratings as indicated. Provide a switch operating mechanism that is electrically operated, have quick-make, quick-break, load break contacts, and be mechanically held in both positions. Switches utilizing circuit breakers are not acceptable. Provide an ATS that is UL listed. ATS must be manufactured and tested in accordance with applicable requirements of NEMA ICS 2, UL 1008 and UL 1066. ATS must conform to NFPA 110. Provide the ATS with the following characteristics:

- a. Voltage: 480 volts ac.
- b. Amperage: 225 amps ac. Provide an ATS with a continuous load current rating of the switch rating.

- c. Number of Phases: Three.
- d. Number of Wires: Three.
- e. Frequency: 60 Hz.
- f. Poles: Three switched.
- g. ATS Withstand Current Rating: ATS must be rated to close on and withstand the available RMS symmetrical short circuit current at the ATS terminals. The ATS must be listed in accordance with UL 1008 for 30 cycle close and withstand ratings. Minimum UL listed close and withstand ratings at 208 VAC 480 VAC must be 30 42 kA.
- h. Nonwelding Contacts: Provide contacts that are nonwelding at the available fault current rating. Contacts must be suitable for repetitive power transfer switching. Switches rated 800 amps and above must have segmented, blow-on construction for high withstand and close-on capability and be protected by separate arcing contacts.
- i. Phase Contacts: Provide contacts with silver alloy composition.
- j. Configuration. Provide an ATS for use in emergency systems described in NFPA 70.
- k. ATS Configuration. Provide an open transition ATS.

2.1.1 Undervoltage Sensing - Normal/Preferred Source

Undervoltage Sensing - Normal Source. Provide undervoltage sensing for each phase in the normal/preferred source. Sense low phase-to-ground voltage on each phase. Provide sensing circuit with adjustable dropout, 75-98 percent of nominal value and adjustable pickup, 85-100 percent of nominal value. Factory set dropout value to 85percent. Factory set pickup value to 95 percent.

2.1.2 Adjustable Time Delay - Override Transfer

Adjustable Time Delay - Override Transfer. For override of normal-source voltage sensing to delay transfer signals. Engine starting control contacts with adjustable commit-to-start delay circuit, 0.0-6.0 seconds. Factory set at 1 second.

2.1.3 Voltage/Frequency Lockout Relay - Alternate/Emergency Source

Voltage/Frequency Lockout Relay. Three-phase sensing must be provided on the normal and emergency source. Prevent premature transfer to alternate/emergency source. Provide pickup voltage that is adjustable from 85-100 percent of nominal. Factory set for pickup at 90 percent. Provide pickup frequency that is adjustable from 90-97 percent of nominal. Factory set frequency pickup for 95 percent.

2.1.4 Adjustable Time Delay - Transfer to Alternate/Emergency Power Source

Adjustable Time Delay - Transfer to Alternate Power Source. Transfer to alternate power source time delay for transfer switches as indicated, adjustable 0-5 minutes. Factory set to 0 seconds. ATS is to monitor the frequency and voltage of alternate power source and transfer when frequency and voltage are stabilized.

2.1.5 Adjustable Time Delay- Re-transfer to Normal/Preferred Source

Adjustable Time Delay- Transfer to Source. Re-transfer to normal source time delay, adjustable 0-30 minutes. Factory set at 10 minutes. Time delay is automatically defeated upon loss or sustained undervoltage of alternate power source, provided that normal source has been restored.

2.1.6 Engine-Generator Exerciser

Exerciser. Solid-state, programmable-time switch exerciser to allow automatic starting of the generator set, subsequent load transfer, retransfer of load and shuts down engine after a preset cool-down period. Initiates exercise cycle at preset intervals adjustable from on a daily, weekly, bi-weekly or monthly basis. Running periods are adjustable from 10-30 minutes. Factory settings are for 7-day exercise cycle, 20 minute running period and 5-minute cool-down period. Exerciser features include the following:

- a. Exerciser Transfer Selector Switch: Permits selection of exercise with and without load transfer or dual independent exercisers that allow for unloaded and loaded schedule testing.
- b. Push-button programming control with digital display of settings.
- c. Integral battery operation of time switch when normal control power is not available.

2.1.7 Engine Shutdown Time Delay

Engine Shutdown. Provide time delay that is adjustable from 0 to 5 minutes and is factory set at 5 minutes.

2.1.8 Engine Starting Contacts

Provide 2 isolated normally closed and 2 isolated normally open contact that is rated 5 A at 250 VAC/30 VDC minimum.

2.1.9 Front Panel Devices Provide devices mounted on cabinet front consisting of:

- a. Mode selector switch with the following positions and associated functions. Selector switch can be part of the microprocessor controller consisting of an LCD screen with a graphical interface or as a stand-alone test switch.

TEST - Simulates loss of normal/preferred source system operation. NORMAL - Transfers system to normal/preferred source bypassing re-transfer time delay.
- b. Switch position indicating lights or graphical LCD display. Indicate source to which load is connected.
- c. Source-Available Monitor. Provide source-available indicating lights or graphical LCD display monitor that is labeled to show when one or both sources of power are available. If indicating lights are used, then the preference is to have Green be normal/preferred power and Red be for alternate/emergency power; however, other color schemes are allowed if clearly marked.
- d. Lamp test button.

2.1.10 Voltage Unbalance

Provide automatic transfer switch controller or control logic to include positive and negative sequence voltage detection to identify a phase loss condition that can adversely affect motor loads.

2.1.11 In-Phase Monitor

Provide an in-phase monitor that consists of a factory-wired, internal relay that controls transfer so it occurs only when the two sources are synchronized in phase. Relay compares phase relationship and frequency difference between normal and emergency sources and initiates transfer when both sources are within 5 electrical degrees, and only if transfer can be completed within 60 electrical degrees. Transfer is initiated only if both sources are within 2 Hz of nominal frequency and 70 percent or more of nominal voltage. Manufacturer is to provide information regarding what conditions a transfer cannot be accomplished.

2.2 ENCLOSURE

Provide an enclosure that meets the following:

- a. Provide ATS and accessories in a wall-mounted, unventilated NEMA 250, Type 3R, smooth sheet metal enclosure constructed in accordance with applicable requirements of NEMA ICS 6, UL 508, UL 1066, and UL 1008. Provide door with suitable hinges, locking handle latch, and gasketed jamb. Provide at least No. 14 metal gauge.
- b. Factory wiring within enclosure and field wiring terminating within enclosure must comply with NFPA 70. Provide wire that is permanently tagged or marked near terminal at each end with wire number shown on approved detail drawing, when wiring is not color coded. Conform terminal block to NEMA ICS 4. Arrange terminals for entrance of external conductors from top and bottom of enclosure as shown. Main switch terminals, including neutral terminal if used, must be pressure type suitable for termination of external copper conductors shown.
- c. Provide thermostatically controlled heater within enclosure to prevent condensation over temperature range stipulated in paragraph SERVICE CONDITIONS.

2.2.1 Construction

Construct enclosure for ease of removal and replacement of ATS components and control devices from front without disconnection of external power conductors or removal or disassembly of major components.

2.2.2 Cleaning and Painting

Protect both the inside and outside surfaces of an enclosure, including means for fastening against corrosion by enameling, galvanizing, plating, powder coating, or other equivalent means. Protection is not required for metal parts that are inherently resistant to corrosion, bearings, sliding surfaces of hinges, or other parts where such protection is impractical. Provide manufacturer's standard finish material, process, and color that is free from runs, sags, peeling, or other defects. An enclosure marked Type 1, 3R, 4 or 12 is acceptable if there is no visible rust at the conclusion of a salt spray (fog) test using the test method in ASTM B117, employing a 5 percent by weight, salt solution for 24 hours. Type 4X enclosures are acceptable following performance of the above test with an exposure time of 200 hours.

2.2.3 Field Fabricated Nameplates

Nameplate is to comply with ASTM D709. Provide laminated plastic nameplates for each equipment enclosure as specified or as indicated on the drawings. Provide an inscription on each nameplate that identifies the name of the equipment, sources of power, calculated short circuit with date and the location e.g. 'SWB-1 Electrical Room 103'. Provide nameplates that are made of melamine plastic, 0.125 inch thick, white with black center core. Provide the nameplate with a surface that is matte finished and that has square corners. Accurately align lettering and engrave into the core. Provide nameplates that are at least 1.0 by 2.5 inches with a minimum lettering size of 0.25 inch high normal block style.

2.3 FACTORY TESTING

Submit a description of proposed field test procedures, including proposed date and steps describing each test, its duration and expected results, not less than 4 weeks prior to test date. Submit certified factory and field test reports, within 14 days following completion of tests. Provide reports that are certified and dated and that demonstrate that tests were successfully completed prior to shipment of equipment.

2.3.1 Factory Test Reports

Provide three certified copies of factory test reports from the manufacturer.

PART 3 EXECUTION

3.1 INSTALLATION

Installation must conform to the requirements of NFPA 70 and manufacturer's recommendation.

3.2 PREREQUISITES FOR FUNCTIONAL ACCEPTANCE TESTING

Completion of the following requirements is mandatory prior to scheduling functional acceptance tests for the automatic transfer switch.

3.2.1 Performance of Acceptance Checks and tests

Complete as specified in paragraph entitled "Acceptance Checks and Tests". The Acceptance Checks and Tests are to be accomplished by the Testing organization as described per the NETA standards and the City's standards.

3.2.2 Manufacturers O&M Information

The manufacturers O&M information required by the paragraph entitled "Operation and Maintenance Data", is to be submitted to and approved by the City Engineer.

3.2.3 Test Equipment

Ensure all test equipment and instruments is on hand prior to scheduling field tests, or subject to City Engineer's approval, evidence must be provided to show that arrangements have been made to have the necessary equipment and instruments on site prior to field testing.

3.3 FIELD QUALITY CONTROL

Give City Engineer 15 days' notice of dates and times scheduled for tests which require the presence of the City Engineer. The City Engineer will coordinate with the using activity and

schedule a time that will eliminate or minimize interruptions and interference with the activity operations. The contractor is responsible for costs associated with conducting tests outside of normal working hours and with incorporating special arrangements and procedures, including temporary power conditions. The contractor provides labor, equipment, apparatus, including test load, and consumables required for the specified tests. Calibration of all measuring devices and indicating devices must be certified. Provide the services of a qualified factory-trained manufacturer's representative to assist the contractor in installation and start-up of the equipment specified under this section. The manufacturer's representative is to provide technical direction and assistance to the contractor in general assembly of the equipment, connections and adjustments, and testing of the assembly components contained herein. Perform the following field tests in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.3.1 Automatic Transfer Switch Acceptance Checks and Tests

a. Visual and Mechanical Inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Confirm correct application of manufacturer's recommended lubricants.
- (4) Verify that manual transfer warnings are attached and visible.
- (5) Verify tightness of all control connections.
- (6) Verify tightness of accessible bolted connections by calibrated torque-wrench method. Thermographic survey is not required.
- (7) Perform manual transfer operation.
- (8) Verify positive mechanical interlocking between normal and alternate sources.

b. Electrical Tests

- (1) Measure contact-resistance. Correct values that exceed 500 microohms and values for 1 pole deviating by more than 50 percent from other poles.
- (2) Perform insulation-resistance on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole for one minute. Perform tests in both source positions.
- (3) Verify settings and operations of control devices.
- (4) Calibrate and set all relays and timers.
- (5) Test ground-fault protective device.

3.3.2 Functional Acceptance Tests

Functional Acceptance Tests must be coordinated with Section 26 32 15 ENGINE-GENERATOR SET STATIONARY 15-2500 KW, WITH AUXILIARIES. Include simulating

power failure and demonstrating the following operations for each automatic transfer switch. Demonstrate in service that the automatic transfer switches are in good operating condition, and function not less than five times.

- a. Perform automatic transfer tests:
 - (1) Simulate loss of normal/preferred power.
 - (2) Return to normal/preferred power.
 - (3) Simulate loss of emergency/alternate power.
 - (4) Simulate all forms of single-phase conditions.
- b. Verify correct operation and timing of the following functions:
 - (1) Normal source voltage-sensing relays.
 - (2) Engine start sequence.
 - (3) Time delay upon transfer.
 - (4) Alternate source voltage-sensing relays.
 - (5) Automatic transfer operation.
 - (6) Interlocks and limit switch function.
 - (7) Time delay and retransfer upon normal power restoration.

3.3.3 Infrared Scanning

After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each switch. Remove all access panels so joints and connections are accessible to portable scanner.

- a. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after acceptance.
- b. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
- c. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

3.4 TRAINING

Provide 4 hours of training to maintenance personnel on the proper operation, maintenance and adjustment of the automatic transfer switch. Coordinate this training with that of the generator equipment.

-- End of Section --

SECTION 26 56 00

EXTERIOR LIGHTING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ILLUMINATING ENGINEERING SOCIETY (IES)

ANSI/IES LM-80 (2020) Approved Method: Measuring Luminous Flux and Color Maintenance of LED Packages, Arrays and Modules

ANSI/IES LS-1 (2020) Lighting Science: Nomenclature and Definitions for Illuminating Engineering

IES Lighting Library IES Lighting Library

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

IEEE C62.41.2 (2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2020) Enclosures for Electrical Equipment (1000 Volts Maximum)

NEMA ANSLG C78.377 (2024) Electric Lamps— Specifications for the Chromaticity of Solid State Lighting Products

NEMA C82.77-10 (2020) Harmonic Emission Limits - Related Power Quality Requirements

NEMA C136.10 (2023) American National Standard for Roadway and Area Lighting Equipment-Locking-Type Photocontrol Devices and Mating Receptacles--Physical and Electrical Interchangeability and Testing

NEMA ICS 2 (2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V

NEMA ICS 6 (1993; R 2016) Industrial Control and Systems: Enclosures

NEMA SSL 1 (2016) Electronic Drivers for LED Devices, Arrays, or Systems

NEMA SSL 3 (2011) High-Power White LED Binning for General Illumination

NEMA WD 7 (2011; R 2016; R 2021) Occupancy Motion Sensors Standard

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70

(2023; ERTA 1 2024; TIA 24-1) National
Electrical Code

UL SOLUTIONS (UL)

UL 773

(2016; Reprint Jul 2020) UL Standard for Safety
Plug-In, Locking Type Photocontrols for Use with
Area Lighting

UL 773A

(2016; Reprint Jan 2024) UL Standard for
Safety Nonindustrial Photoelectric
Switches for Lighting Control

UL 1310

(2018; Reprint Jun 2022) UL Standard for
Safety Class 2 Power Units

UL 1598

(2021; Reprint Jan 2024) UL Standard for
Safety Luminaires

1.2 RELATED REQUIREMENTS

Materials not considered to be luminaires, luminaire accessories, or lighting equipment are specified in Section(s). Luminaires and accessories installed in interior of buildings or attached to the exterior of a building are specified in the drawing set.

1.3 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications and on the drawings must be as defined in IEEE 100 and ANSI/IES LS-1.
- b. For LED luminaire light sources, "Useful Life" is the operating hours before reaching 70 percent of the initial rated lumen output (L70) with no catastrophic failures under normal operating conditions. This is also known as 70 percent "Rated Lumen Maintenance Life" as defined in ANSI/IES LM-80.
- c. For LED luminaires, "Luminaire Efficacy" (LE) is the appropriate measure of energy efficiency, measured in lumens/watt. This is gathered from LM-79 data for the luminaire, in which absolute photometry is used to measure the lumen output of the luminaire as one entity, not the source separately and then the source and housing together.
- d. Total Harmonic Distortion (THD) is the Root Mean Square (RMS) of all the harmonic components divided by the total fundamental current.

1.4 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Product Data

Luminaires; G

Light Sources; G

LED Drivers; G

Luminaire Warranty; G

Photosensors; G

Lighting Contactor; G

Brackets

Operation and Maintenance Data

Lighting System, G

Exterior Lighting Control System, G

1.5 QUALITY ASSURANCE

Data, drawings, and reports must employ the terminology, classifications and methods prescribed by the IES Lighting Library as applicable, for the lighting system specified.

1.5.1 Regulatory Requirements

Equipment, materials, installation, and workmanship must be in accordance with the mandatory provisions of NFPA 70 unless more stringent requirements are specified or indicated. Provide luminaires and assembled components that are approved by and bear the label of UL for the applicable location and conditions unless otherwise specified.

1.5.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory commercial or industrial use for six months prior to bid opening. The six-month period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the six-month period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.6 WARRANTY

Support all equipment items by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.7.1 Luminaire Warranty

Provide and transfer to the government the original LED luminaire manufacturers standard commercial warranty for each different luminaire manufacturer used in the project.

- a. Provide a written five year minimum replacement warranty for material, luminaire finish, and workmanship. Provide written warranty document that contains all warranty processing information needed, including customer service point of contact, whether or not a return authorization number is required, return shipping information, and closest return location to the luminaire location.

- (1) Finish warranty must include failure and substantial deterioration such as blistering, cracking, peeling, chalking, or fading.
- (2) Material warranty must include:
 - (a) All LED drivers and integral control equipment.
 - (b) Replacement when more than 15 percent of LED sources in any lightbar or subassembly(s) are defective, non starting, or operating below 70 percent of specified lumen output.
- b. Warranty period must begin in accordance with the manufacturer's standard warranty starting date.
- c. Provide replacements that are promptly shipped, without charge, to the using City facility point of contact and that are identical to or an improvement upon the original equipment. All replacements must include testing of new components and installation.

1.7.2 Lighting Controls Warranty

Provide and transfer to the City the original lighting controls manufacturers standard commercial warranty for each different lighting controls manufacturer used in the project. Warranty coverage must begin from date of final system commissioning or three months from date of delivery, whichever is the earliest. Warranty service must be performed by a factory-trained engineer or technician.

- a. Unless otherwise noted, provide a written five year minimum warranty on the complete system for all systems with factory commissioning. Provide warranty that covers 100 percent of the cost of any replacement parts and services required over the five years which are directly attributable to the product failure. Failures include, but are not limited to, the following:
 - (1) Software: Failure of input/output to execute switching or dimming commands.
 - (2) Damage of electronic components due to transient voltage surges.
 - (3) Failure of control devices, including but not limited to photosensors and motion sensors.
- b. Provide a written five year minimum warranty on all input devices against defect in workmanship or materials provided by device manufacturer.
- c. Provide a written five year minimum warranty on all control components attached to luminaires against defect in workmanship or materials.

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

2.2 LUMINAIRES

UL 1598, NEMA C82.77-10. Provide luminaires as indicated in the luminaire schedule and XL plates or details on project plans, complete with light source, wattage, and lumen output indicated. All luminaires of the same type must be provided by the same manufacturer.

Luminaires must be specifically designed for use with the LED driver and light source provided.

2.3 LIGHT SOURCES

NEMA ANSLG C78.377, NEMA SSL 3. Provide type, lumen rating, and wattage as indicated in luminaire schedule on project plans.

2.3.1 LED Light Sources

Provide LED light sources that meet the following requirements:

- a. NEMA ANSLG C78.377. Emit white light and have a nominal Correlated Color Temperature (CCT) of 3500 Kelvin.
- b. Minimum Color Rendering Index (CRI) of 80.
- c. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- d. Light source color consistency by utilizing a binning tolerance within a 4-step McAdam ellipse.

2.4 LED DRIVERS

NEMA SSL 1, UL 1310. Provide LED Drivers that are electronic, UL Class 1 or Class 2, constant-current type and meet the following requirements:

- a. The combined LED driver and LED light source system is greater than or equal to the minimum luminaire efficacy values as listed in the luminaire schedule provided.
- b. Operate at a voltage of 120-277 volts at 50/60 hertz, with input voltage fluctuations of plus or minus 10 percent.
- c. Power Factor (PF) greater than or equal to 0.90 at full input power and across specified dimming range.
- d. Maximum Total Harmonic Distortion (THD) less than or equal to 20 percent at full input power and across specified dimming range.
- e. Operates for at least 50,000 hours at maximum case temperature and 90 percent non-condensing relative humidity.
- f. Meets the "Elevated" (10kV/10kA) requirements per IEEE C62.41.2-2002. Manufacturer must indicate whether failure of the electrical immunity system can possibly result in disconnect of power to luminaire. Provide surge protection that is integral to the LED driver.
- g. Contains integral thermal protection that reduces the output power to protect the driver and light source from damage if the case temperature approaches or exceeds the driver's maximum operating temperature.
- h. Complies with the requirements of the Federal Communications Commission (FCC) rules and regulations, Title 47 CFR part 15, Non-Consumer (Class A) for EMI/RFI (conducted and radiated).
- i. Class A sound rating for all drivers mounted under a covered structure, such as a canopy, or where otherwise appropriate.

- j. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- k. UL listed for wet locations typical of exterior installations.
- l. Non-dimmable.
- m. Rated to operate between ambient temperatures of minus 22 degrees F and 104 degrees F

2.4.1 Remote LED Drivers

Provide remote LED Drivers that are UL listed for wet locations typical of exterior installations.

2.5 LIGHTING CONTROLS

Provide an integrated photo cell control system interface within each luminaire that is compatible of turning on and off without a controller. Refer to the design drawings.

2.6 FACTORY APPLIED FINISH

NEMA 250. Provide all luminaires and lighting equipment with factory-applied painting system that as a minimum meets requirements of corrosion-resistance testing.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Luminaires

Install all luminaires in accordance with the luminaire manufacturer's written instructions. Install all luminaires at locations and heights as indicated on the project plans. Level all luminaires in accordance to manufacturer's written instructions

3.1.2 LED Drivers

Provide LED drivers integral to luminaire as constructed by the manufacturer.

-- End of Section --

SECTION 33 11 23

NATURAL GAS AND LIQUID PETROLEUM PIPING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN GAS ASSOCIATION (AGA)

AGA ANSI B109.4 (2016) Self-Operated Diaphragm-Type Natural Gas Service Regulators for Nominal Pipe Size 1¼ inches (32 mm) and Smaller with Outlet Pressures of 2 psig (13.8 kPa) and Less

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1 (2024) Unified Inch Screw Threads (UN, UNR, and UNJ Thread Form)

ASME B1.20.1 (2013; R 2018) Pipe Threads, General Purpose (Inch)

ASME B16.5 (2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard

ASME B16.9 (2024) Factory-Made Wrought Buttwelding Fittings

ASME B16.11 (2021) Forged Fittings, Socket-Welding and Threaded

ASME B16.33 (2024) Manually Operated Metallic Gas Valves for Use in Gas Piping Systems Up to 175 psi, (Sizes NPS 1/2 Through NPS 2)

ASME B16.39 (2025) Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300

ASME B18.2.1 (2012; R 2021) Square, Hex, Heavy Hex, and Askew Head Bolts and Hex, Heavy Hex, Hex Flange, Lobed Head, and Lag Screws (Inch Series)

ASME B18.2.2 (2022) Nuts for General Applications: Machine Screw Nuts, and Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)

ASME B31.8 (2022; Supplement 2022) Gas Transmission and Distribution Piping Systems

ASME BPVC SEC VIII D1 (2023) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A193/A193M	(2025) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A194/A194M	(2024) Standard Specification for Carbon Steel, Alloy Steel, and Stainless Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both
MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)	
MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 54	(2024) National Fuel Gas Code
NFPA 58	(2024; TIA 24-2) Liquefied Petroleum Gas Code
U.S. DEPARTMENT OF DEFENSE (DOD)	
MIL-STD-101	(2014; Rev C; Notice 1 2025) Color Code for Pipelines and for Compressed Gas Cylinders
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)	
49 CFR 192	Transportation of Natural and Other Gas by Pipeline: Minimum Federal Safety Standards

1.2 SUBMITTALS

Submittals listed below with a "G" notation require City approval; submittals listed without a notation are "For Information Only." Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Product Data

- Pressure Regulator G
- Valves G
- Warning and Identification Tape
- Transition Fittings
- Liquid Propane Tanks G

Certificates

Welder's Qualifications

Welder's Identification Symbols

Manufacturer's Instructions

CSST Pipe and Fittings

Submit manufacturer's installation instructions and manufacturer's visual joint appearance chart.

1.3 QUALITY ASSURANCE

1.3.1 Welder's Qualifications

Comply with ASME B31.8. The steel welder must have a copy of a certified ASME B31.8 qualification test report.

1.3.2 Safety Standards

49 CFR 192

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, transport, and store plastic pipe and fittings carefully. Plug or cap pipe ends during transportation or storage to minimize dirt and moisture entry. Do not subject to abrasion or concentrated external loads. Discard PE pipe sections and fittings that have been damaged.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Conform to NFPA 54 and NFPA 58 and with requirements specified herein. Supply piping to appliances or equipment must be at least as large as the inlets thereof.

2.2 PIPE AND FITTINGS

2.2.1 Aboveground

- a. Pipe: Black steel in accordance with ASTM A53/A53M, Schedule 40 , threaded ends for sizes 2 inches and smaller; otherwise, plain end beveled for butt welding.
- b. Socket-Welding Fittings: ASME B16.11, forged steel.
- c. Butt-Welding Fittings: ASME B16.9, with backing rings of compatible material.
- d. Unions: ASME B16.39, black malleable iron.
- e. Flanges and Flanged Fittings: ASME B16.5 steel flanges or convoluted steel flanges conforming to ASME BPVC SEC VIII D1. Flange faces must have integral grooves of rectangular cross sections which afford containment for self-energizing gasket material.

2.3 VALVES, ABOVEGROUND

Provide lockable valves where indicated.

2.3.1 Shutoff Valves, Sizes 2 Inches and Smaller

Steel body ball valve in accordance with ASME B16.33, full port pattern, reinforced PTFE seals, threaded ends, and PTFE seat.

2.3.2 Line Appliance Pressure Regulator and Shutoff Valve

Provide regulators conforming to ANSI Z21.80/CSA 6.22 for line pressure regulators.

2.3.3 Service Regulators

- a. Provide ferrous bodied pressure regulators for individual service lines, capable of reducing distribution line pressure to pressures required for users. Provide service regulators conforming to AGA ANSI B109.4 CGA-6.18-M95 with full capacity internal relief and overpressure shutoff. Set pressure relief at a lower pressure than would cause unsafe operation of any connected user.
- b. Adjust regulators for liquified petroleum gas to 10 to 12 inches of water column, with pressure relief set a 16 inches of water column.
- c. Provide regulator(s) having a single port with orifice diameter no greater than that recommended by the manufacturer for the maximum gas flow rate at the regulator inlet pressure. Provide regulator valve vent of resilient materials designed to withstand flow conditions when pressed against the valve port, capable of regulating downstream pressure within limits of accuracy and limiting the buildup of pressure under no-flow conditions to 50 percent or less of the discharge pressure maintained under flow conditions. Provide a self-contained service regulator, and pipe not exceeding exceed 2 inch size.

2.4 BURIED UTILITY WARNING AND IDENTIFICATION TAPE

Provide detectable aluminum-foil plastic-backed tape or detectable magnetic plastic tape manufactured specifically for warning and identification of buried piping. Tape must be detectable by an electronic detection instrument. Provide tape in rolls, 3 inch minimum width, color-coded yellow for natural gas, with warning and identification imprinted in bold black letters continuously and repeatedly over entire tape length. Warning and identification must be "CAUTION BURIED GAS PIPING BELOW" or similar wording. Use permanent code and letter coloring unaffected by moisture and other substances contained in trench backfill material.

2.5 HANGERS AND SUPPORTS

MSS SP-58.

2.6 WELDING FILLER METAL

ASME B31.8.

2.7 PIPE-THREAD TAPE

Antiseize and sealant tape of polytetrafluoroethylene (PTFE).

2.8 BOLTING (BOLTS AND NUTS)

Stainless steel bolting; ASTM A193/A193M, Grade B8M or B8MA, Type 316, for bolts; and ASTM A194/A194M, Grade 8M, Type 316, for nuts. Dimensions of bolts, studs, and nuts must conform with ASME B18.2.1 and ASME B18.2.2 with coarse threads conforming to ASME B1.1, with Class 2A fit for bolts and studs and Class 2B fit for nuts. Bolts or bolt-studs must extend through the nuts and may have reduced shanks of a diameter not less than the diameter at root of threads. Bolts

must have American Standard regular square or heavy hexagon heads; nuts must be American Standard heavy semifinished hexagonal.

2.9 GASKETS

Fluorinated elastomer, compatible with flange faces.

2.10 IDENTIFICATION FOR ABOVEGROUND PIPING

MIL-STD-101 for legends and type and size of characters. For pipes 3/4 inch od and larger, provide printed legends to identify contents of pipes and arrows to show direction of flow. Color code label backgrounds to signify levels of hazard. Make labels of plastic sheet with pressure-sensitive adhesive suitable for the intended application. For pipes smaller than 3/4 inch od, provide brass identification tags 1 1/2 inches in diameter with legends in depressed black-filled characters.

2.11 LIQUID PROPANE TANK

NFPA 58, ASME containers with appurtenances, system working pressure, minimum design pressure, that is LPG vapor pressure at 100 degrees F, and water capacity as indicated. Provide containers with piping and fittings, , hose and flexible hose connectors, , strainer, and marking conforming to NFPA 58.

PART 3 EXECUTION

3.1 INSTALLATION

Install and store liquefied petroleum gas piping, appliances, and equipment in accordance with NFPA 58.

3.1.1 Excavating and Backfilling

Perform excavating and backfilling of pipe trenches as specified in Section 19 EARTHWORK and per City of Santa Rosa STD 215. Coordinate provision of utility warning and identification tape with backfill operation. Bury utility warning and identification tape with printed side up at a depth of 12 inches below the top surface of earth or the top surface of the subgrade under pavements.

3.1.2 Piping

Cut pipe to actual dimensions and assemble to prevent residual stress.

3.1.2.1 Cleanliness

Clean inside of pipe and fittings before installation. Blow lines clear using 80 to 100 psig clean dry compressed air. Rap steel lines sharply along entire pipe length before blowing clear. Cap or plug pipe ends to maintain cleanliness throughout installation.

3.1.2.2 Aboveground Steel Piping

Determine and establish measurements for piping at the job site and accurately cut pipe lengths accordingly. For 2 inch diameter and smaller, use threaded or socket-welded joints. For 2 1/2 inch diameter and larger, use flanged or butt-welded joints.

- a. Threaded Joints: Where possible use pipe with factory-cut threads, otherwise cut pipe ends square, remove fins and burrs, and cut taper pipe threads in accordance with ASME B1.20.1. Provide threads smooth, clean, and full-cut. Apply anti-seize paste or tape to male threads portion. Work piping into place without springing or forcing. Backing off to permit alignment of threaded joints will not be permitted. Engage threads so that not more

than three threads remain exposed. Use unions for connections to valves for which a means of disconnection is not otherwise provided.

- b. Welded Joints: Weld by the shielded metal-arc process, using covered electrodes and in accordance with procedures established and qualified in accordance with ASME B31.8.
- c. Flanged Joints: Use flanged joints for connecting welded joint pipe and fittings to valves to provide for disconnection. Install joints so that flange faces bear uniformly on gaskets. Engage bolts so that there is complete threading through the nuts and tighten so that bolts are uniformly stressed and equally torqued.
- d. Pipe Size Changes: Use reducing fittings for changes in pipe size. Size changes made with bushings will not be accepted.
- e. Painting: Paint new ferrous metal piping, including supports, in accordance with Section 09 90 00 PAINTS AND COATINGS. Do not apply paint until piping tests have been completed.
- f. Identification of Piping: Identify piping aboveground in accordance with MIL-STD-101, using adhesive-backed or snap-on plastic labels and arrows. In lieu of labels, identification tags may be used. Apply labels or tags to finished paint at intervals of not more than 50 feet. Provide two copies of the piping identification code framed under glass and install where directed.

3.1.2.3 Wrapping

Where connection to existing steel line is made underground, tape wrap new steel transition fittings and exposed existing pipe having damaged coating. Clean pipe to bare metal. Initially stretch first layer of tape to conform to the surface while spirally half-lapping. Apply a second layer, half-lapped and spiraled as the first layer, but with spirals perpendicular to first wrapping. Use 10 mil minimum thick polyethylene tape. In lieu of tape wrap, heat shrinkable 10 mil minimum thick polyethylene sleeve may be used.

3.1.3 Valves

Install valves approximately at locations indicated. Orient stems vertically, with operators on top, or horizontally.

3.1.3.1 Stop Valve and Shutoff Valve

Provide stop valve on service branch at connection to main and shut-off valve on riser outside of building.

3.1.4 Final Connections

Make final connections to equipment and appliances using flexible pipe and fittings, as indicated on Project Plans.

3.2 FIELD QUALITY CONTROL

3.2.1 Metal Welding Inspection

Inspect for compliance with NFPA 54 and ASME B31.8 and 49 CFR 192. Replace, repair, and then re-inspect defective welds.

3.2.2 Pressure Tests

Use test pressure of 1 1/2 times maximum working pressure, but in no case less than 50 psig. Do not test until every joint has set and cooled at least 8 hours at temperatures above 50 degrees F. Conduct testing before backfilling; however, place sufficient backfill material between fittings to hold pipe in place during tests. Test system gas tight in accordance with NFPA 54 or ASME B31.8. Use clean dry air or inert gas, such as nitrogen or carbon dioxide, for testing. Systems which may be contaminated by gas must first be purged as specified. Make tests on entire system or on sections that can be isolated by valves. After pressurization, isolate entire piping system from sources of air during test period. Maintain test pressure for at least 8 hours between times of first and last reading of pressure and temperature. Take first reading at least one hour after test pressure has been applied. Do not take test readings during rapid weather changes. Provide temperature same as actual trench conditions. There must be no reduction in the applied test pressure other than that due to a change in ambient temperature. Allow for ambient temperature change in accordance with the relationship $PF + 14.7 = (P1 + 14.7) (T2 + 460) / T1 + 460$, in which "T" and "PF" represent Fahrenheit temperature and gage pressure, respectively, subscripts "1" and "2" denote initial and final readings, and "PF" is the calculated final pressure. If "PF" exceeds the measured final pressure (final gage reading) by 1/2 psi or more, isolate sections of the piping system, retest each section individually, and apply a solution of warm soapy water to joints of each section for which a reduction in pressure occurs after allowing for ambient temperature change. Repair leaking joints and repeat test until no reduction in pressure occurs. In performing tests, use a test gage calibrated in one psi increments and readable to 1/2 psi.

3.2.3 System Purging

After completing pressure tests, and before testing a gas contaminated line, purge line with nitrogen at junction with main line to remove all air and gas. Clear completed line by attaching a test pilot fixture at capped stub-in line at building location and let gas flow until test pilot ignites. Procedures must conform to NFPA 54 and ASME B31.8.

-CAUTION-
Failure to purge may result in explosion within line when air-to-gas is at correct mixture.

-- End of Section --

A – FEES AND PERMITS

The Contractor shall obtain a permit from the State of California Division of Industrial Safety.

In the event hazardous material is encountered, the Contractor shall obtain a hazardous material excavation permit from the Santa Rosa Fire Department prior to removal and disposal contaminated soils.

The Contractor shall obtain all necessary and required permits for the project. All permits issued by the City Building Department will be issued at no cost to the Contractor; these fees will be paid by an appropriate City department. All other required permits shall be obtained at the Contractor's expense.

The Contractor shall obtain a permit from the Bay Area Air Quality Management District (BAAQMD) for each generator set installation. The Contractor shall pay permit fees and obtain "Permit to Construct" (in the City's name) from BAAQMD. Attention is directed to Section 205 of these Special Provisions.

The Contractor shall obtain a permit for the Above Ground Fuel Storage Tank (AST) from the Santa Rosa Fire Department. Contact the Fire Prevention Bureau located at 2373 Circadian Way, Santa Rosa, CA 95407, or phone (707)543-3500. The Contractor shall comply with all requirements indicated in the "Santa Rosa Fire Department Fire Prevention Bureau Plan Review Checklist – Aboveground Storage Tank Installation" which is included as an attachment to this Section.

All electrical service charges or fees that may be required by Pacific Gas and Electric Company shall be paid for by an appropriate City department.